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# Projective k-invariants

MICHEAL N. DYER

## 1. Introduction

Let  $\pi$  be a group. A  $(\pi, m)$ -complex X is a finite connected m-dimensional CW complex having fundamental group  $\pi$  and trivial homotopy modules  $\pi_i(X) = 0$  in dimensions i = 2, ..., m-1. A  $\pi$ -module  $\pi_m$  is said to be topologically realizable if  $\pi_m \approx \pi_m(X)$  for some  $(\pi, m)$ -complex X. The classification problem for  $(\pi, m)$ -complexes is the problem of describing the set HT  $(\pi, m)$  of homotopy types of  $(\pi, m)$ -complexes.

For  $\pi$  a finite group of order n,  $H^{m+1}(\pi; \pi_m) \cong Z_n$  as a ring. An important aspect in this classification is the boundary operator  $\partial: Z_n^* = \text{Units}(H^{m+1}(\pi; \pi_m)) \to \tilde{K}_0 Z_{\pi}$ , the (reduced) projective class group of the integral group ring  $Z_{\pi}$ , associated with the Milnor Mayer-Vietoris sequence in algebraic K-theory [10].

This arises as follows. The cellular chain complex  $C_*(\tilde{X})$  of the universal cover  $\tilde{X}$  is a truncated resolution of the trivial  $\pi$ -module Z:

$$0 \longrightarrow \pi_m \longrightarrow C_m(\tilde{X}) \xrightarrow{\partial_m} \cdots \xrightarrow{\partial_1} C_0(\tilde{X}) \xrightarrow{\epsilon} Z \longrightarrow 0.$$

The algebraic m-type T(X) of X is the triple  $(\pi, \pi_m(X), k(X))$  where  $k(X) \in H^{m+1}(\pi, \pi_m)$  is the k-invariant which arises by comparing the truncated resolution above with a standard resolution (see section 6; also [5], [6]). One can show that  $k(X) \in \text{Units } (H^{m+1}(\pi; \pi_m))$ ; furthermore any  $k \in \mathbb{Z}_n^*$  can be the k-invariant of a finitely generated truncated projective resolution

(\*) 
$$\mathcal{P}_k: 0 \to \pi_m \to P_m \to P_{m-1} \to \cdots \to P_0 \to Z \to 0.$$

Also the assignment  $(\pi, \pi_m, k) \rightarrow \text{Euler}$  characteristic  $\chi(\mathcal{P}_k) = \sum_{i=0}^m (-1)^i [P_i]$  ([P] is the class of the projective P in  $\tilde{K}_0 Z \pi$ ) is the negative of the Milnor boundary  $\partial$ . Then  $(\pi, \pi_m, k)$   $(k \in \mathbb{Z}_n^*, m \ge 3)$  is the m-type of a  $(\pi, m)$ -complex iff  $k \in \ker \partial$  [4].

The purpose of this paper is to generalize the above to groups other than finite groups.

- **1.1.** THEOREM. Let  $\pi$  be a group and m be an integer  $m \ge 0$  such that  $H^{m+1}(\pi; Z\pi) = 0$ . Let  $\pi_m$  be any finitely generated topologically realizable  $\pi$ -module. Then
- (a)  $H^{m+1}(\pi; \pi_m)$  has the structure of a ring with identity such that the units  $U(H^{m+1}(\pi, \pi_m))$  are the projective k-invariants, i.e., those k-invariants realizable by a resolution of the form (\*).
- (b) The function  $\chi_m: U(H^{m+1}(\pi; \pi_m)) \to \tilde{K}_0 Z \pi$  which assigns to each  $k \in U$  the Euler characteristic of a truncated resolution  $\mathcal{P}_k$  realizing the m-type  $(\pi, \pi_m, k)$  is a homomorphism.

We say that an m-type  $(\pi, \pi_m, k)$  comes from a  $(\pi, m)$ -complex if there exists a  $(\pi, m)$ -complex X such that  $T(X) \cong (\pi, \pi_m, k)$  in the appropriate sense (see [4], [6] for a definition).

**1.2.** COROLLARY. If  $m \ge 3$  and  $H^{m+1}(\pi; Z\pi) = 0$ , then  $\ker \chi_m$  is the set of k-invariants which come from  $(\pi, m)$ -complexes.

The corollary follows from a theorem of J. Milnor [11, theorem 3.1] concerning the realizability of a resolution by a  $(\pi, m)$ -complex.

DEFINITION. The subgroup im  $\chi_m \subset \tilde{K}_0 Z \pi$  is called the Swan subgroup of  $\tilde{K}_0 Z \pi$  in dimension m.

If  $\pi$  is a finite group of order n, let  $N = \sum_{x \in \pi} x \in Z\pi$  be the norm element. The left ideal (p, N) of  $Z\pi$  is projective provided p is prime to n. For  $\pi$  finite, im  $\chi_m = \text{im } \partial = \{[(p, N)] \in \tilde{K}_0 Z\pi \mid 1 \le p < n, (p, n) = 1\}$ . If  $\pi$  is a (Poincaré) duality group of cohomological dimension m, then im  $\chi_{m-i} = 0$   $(2 \le i \le m)$ .

The Swan subgroup im  $\chi_m$  is important because the Wall obstruction of any CW complex having fundamental group  $\pi$  and realizable  $\pi_m$ , which is dominated by a  $(\pi', m)$ -complex lies in im  $\chi_m$  [12].

The organization of the paper is as follows. Let R be a ring. Section 2 gives certain constructions associated with the exact sequence of R-modules  $0 \rightarrow K \rightarrow P \rightarrow C \rightarrow 0$ . We say that P is K-projective if  $\partial$ : End  $(K) \rightarrow$  Ext (C, K) is surjective. Section 3 gives conditions under which Ext (C, K) inherits a ring structure from End (K), provided P is K-projective. Section 4 shows that elements in End (K) which determine K-projective extensions are right units in Ext (C, K). Section 5 studies conditions under which each K-projective element in End (K) is a unit in Ext (C, K). Theorem 1 is proved in section 6. In an appendix we study conditions under which  $H^i(\pi; Z\pi) = 0$ .

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### 2. Extensions as Pushouts and Pull-backs.

Let R be a ring. All modules are left R-modules. Let C be a given R-module and  $\xi: 0 \longrightarrow K \xrightarrow{i} P \xrightarrow{j} C \longrightarrow 0$  be an exact sequence of R-modules.

It is shown in [9, page 66] that given any module homomorphism  $k: K \to K'$  there exists a module kP and a homomorphism  $k\beta: P \to kP$  such that the following diagram commutes

$$0 \longrightarrow K \xrightarrow{i} P \xrightarrow{j} C \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

Here the bottom row is exact also. kP is defined as the pushout of i and k.

Furthermore, given any module homomorphism  $s: C \rightarrow C$ , there exists a module Ps and a homomorphism  $\beta s: Ps \rightarrow P$  such that the following diagram commutes

$$0 \longrightarrow K \xrightarrow{i^{s}} Ps \xrightarrow{j^{s}} C \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad$$

Ps is defined to be the pullback of j and s.

3.  $\operatorname{Ext}_{R}(C, K)$  as a Ring.

Let R be a ring and

$$\xi: 0 \longrightarrow K \xrightarrow{i} P \xrightarrow{j} C \longrightarrow 0$$

be an exact sequence of (left) R-modules.

DEFINITION We say that P is K-projective if

$$i^*$$
: Ext<sup>1</sup><sub>R</sub> $(P, K) \rightarrow$  Ext<sup>1</sup><sub>R</sub> $(K, K)$ 

is a monomorphism.

Of course, it follows from the long exact sequence for  $\operatorname{Ext}_R^i(-, K)$  [9, page 74] associated with  $\xi$  that P is K-projective iff the boundary operator  $\partial : \operatorname{End}_R(K) \to \operatorname{Ext}_R^1(C, K)$  is surjective. Here  $\partial(k)$  equals the equivalence class of the extension kP for any  $k \in \operatorname{End}(K)$ . If  $\operatorname{Ext}_R(P, K) = 0$ , then P is K-projective; in particular, any projective R-module is K-projective.

**3.1.** THEOREM. If  $0 \longrightarrow K \xrightarrow{i} P \xrightarrow{j} C \longrightarrow 0$  is an exact sequence of R-modules with P K-projective, then the boundary operator  $\partial$  induces an isomorphism

$$\bar{\partial}: \frac{\operatorname{End}_{R}(K)}{i^{*}(\operatorname{Hom}_{R}(P,K))} \to \operatorname{Ext}_{R}^{1}(C,K).$$

For each  $k \in \text{End}(K)$ , let  $\{k\}$  denote the element  $\partial(k)$  in  $\text{Ext}^1_R(C, K)$ .

End (K) has a ring structure under composition. The question is: when is  $B = i^* \operatorname{Hom}(P, K)$  a two-sided ideal? If we denote the composition  $K \xrightarrow{\alpha} K \xrightarrow{\beta} K$  by  $\beta \alpha$ , then

$$B = {\alpha : K \rightarrow K \mid \alpha \text{ extends to a map } \alpha' : P \rightarrow K}$$

is always a left ideal. For, if  $\alpha \in B$ ,  $\beta \in \text{End}(K)$  and  $\alpha' \in \text{Hom}(P, K)$  extends  $\alpha$ , then  $\beta \alpha'$  extends  $\beta \alpha$ . Thus B is a right ideal and  $B \neq \text{End}(K)$  implies that Ext(C, K) is a ring with identity.

We will now delineate a sequence of sufficient conditions that imply that B is a right ideal.

**3.2.** (C). The composition in End(K) is commutative modulo B.

- **3.3.** (RE). Each homomorphism in End(K) extends to a homomorphism in End(P).
- **3.4.** (E). Each homomorphism in Hom(K, P) extends to a homomorphism in End(P).

Note that the following implications hold:

- $(E) \Rightarrow (RE) \Rightarrow B$  is a right ideal  $\Leftarrow (C)$ .
- **3.5.** If  $\operatorname{Ext}(C, P) = 0$ , then (E) is true. This follows because  $\operatorname{Ext}(C, P) = 0$  implies  $i^* : \operatorname{End}(P) \to \operatorname{Hom}(K, P)$  is surjective. If  $\operatorname{Ext}(P, P) = 0$ , then (E) is equivalent to  $\operatorname{Ext}(C, P) = 0$ . In particular, this is true if P is projective.
- **3.6.** Also, one can easily see that (RE) iff the boundary homomorphism  $\partial: \operatorname{End}(C) \to \operatorname{Ext}(C, K)$  is surjective iff  $j_*: \operatorname{Ext}(C, P) \to \operatorname{Ext}(C, C)$  is a monomorphism.

Note that Ext(C, K) is cyclic automatically implies (C).

We may call P C-injective if  $j_*$ :  $\operatorname{Ext}(C, P) \to \operatorname{Ext}(C, C)$  is a monomorphism. Thus  $\operatorname{Ext}(C, K)$  has a ring structure as above if P is C-injective and K-projective. More generally, we may proceed as follows: let P be K-projective.

DEFINITION. Let  $\operatorname{Ext}(C, K)_K$  denote the subset of  $\operatorname{Ext}(C, K)$  such that  $\{k\} \in \operatorname{Ext}(C, K)_K$  iff  $Bk \subset B$ .

It is clear that

- (a)  $\operatorname{Ext}(C, K)_K$  is a subgroup of  $\operatorname{Ext}(C, K)$ .
- (b)  $\operatorname{Ext}(C, K)_K$  is a ring with identity under composition.
- (c) The image of the center of  $\operatorname{End}(K)$  is contained in  $\operatorname{Ext}(C, K)_K$ .

 $\operatorname{Ext}(C, K)_K$  is called the maximal K-ring of  $\operatorname{Ext}(C, K)$ .

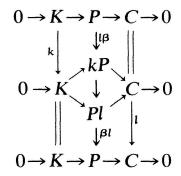
Let  $\partial_C$ : End  $(C) \to \text{Ext}(C, K)$  be the boundary operator in the exact sequence for  $\text{Ext}^i(C, -)$  associated with the extension  $\xi: 0 \to K \to P \to C \to 0$ .  $\partial_C(r)$  is the equivalence class of the extension Pr (see 2.2).

#### **3.7.** PROPOSITION.

- (a) End (C) always induces a ring structure on the subgroup im  $\partial_C = CExt(C, K)$ .
  - (b)  $_{C}$ Ext(C, K) is a subring of Ext(C, K) $_{K}$
  - (c) If  $\partial C$  is surjective, then  $_{C}\text{Ext}(C, K) \cong \text{Ext}(C, K)_{K}$  as rings.

Proof.

(a) P is K-projective implies that im  $\{j_*: \operatorname{Hom}(C, P) \to \operatorname{End}(C)\}$  is a two-sided ideal. This follows because each homomorphism in  $\operatorname{End}(C)$  extends to a homomorphism in  $\operatorname{End}(P)$ . Consider  $l \in \operatorname{End}(C)$  and the extension Pl. Then P is K-projective implies that there exists a  $k \in \operatorname{End}(K)$  such kP and Pl are equivalent extensions. Thus there is an isomorphism  $\alpha: kP \to Pl$  such that the following diagram commutes:



(b) Any  $\{k\} \in \text{Ext}(C, K) \ (k \in \text{End}(K))$  which is in the image of  $\partial_C$  clearly satisfies  $Bk \subset B$ . Let  $\partial_C(l) = \{k\}$ . Then we may choose an extension as in (a) so that the following commutes

$$0 \to K \to P \to C \to 0$$

$$\downarrow \downarrow \qquad \downarrow \downarrow \downarrow \downarrow$$

$$0 \to K \to P \to C \to 0$$

Now  $\alpha \in B$  iff  $\alpha$  extends the zero map  $0: C \rightarrow C$ , i.e., the following diagram commutes:

$$0 \rightarrow K \rightarrow P \rightarrow C \rightarrow 0$$

$$\stackrel{\alpha}{\downarrow} \qquad \stackrel{\beta}{\downarrow} \stackrel{\beta}{\downarrow} \qquad \stackrel{0}{\downarrow} 0$$

$$0 \rightarrow K \rightarrow P \rightarrow C \rightarrow 0$$

But  $\alpha \in B$  and  $\{k\} \in \text{im } \partial_C \text{ implies that } \alpha \circ k \text{ extends } 0 \circ l = 0.$  Thus (b) is proved.

(c) follows easily from (a) and (b). We only note that the ring isomorphism is given by the correspondence  $\partial_C(l) \mapsto \{k\}$  where  $k \in \text{End}(K)$  extends  $l \in \text{End}(C)$ . This completes 3.7.

Note that  $\partial_C$  is surjective iff condition (RE).

We now give a simple example to show that B is not always a right ideal. Let R = Z and let the basic extension be given by

$$0 \longrightarrow Z \oplus Z \xrightarrow{i} Z \oplus Z \xrightarrow{j} Z_3 \oplus Z_2 \longrightarrow 0$$

$$\parallel \qquad \qquad \parallel \qquad \qquad \parallel$$

$$K \qquad P \qquad C$$

where *i* has matrix  $\binom{3}{0} \binom{0}{2}$  with respect to the natural bases. Then  $B \subset \operatorname{End}(Z \oplus Z)$  is the set of all  $2 \times 2$  matrices  $\binom{a_{11}}{a_{21}} \binom{a_{12}}{a_{22}}$  over Z with the first column divisible by 3, the second by 2.  $\operatorname{Ext}(C, K) \cong Z_3^2 \oplus Z_2^2$ . Representatives of the cosets modulo B are given by

$$\mathcal{R} = \left\{ \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \middle| \begin{array}{l} 0 \le a_{i1} \le 2 \\ 0 \le a_{i2} \le 1 \end{array}, \quad i = 1, 2 \right\}$$

It is easy to check that only the diagonal matrices in  $\Re$  have the property that  $B \circ k \subset B$ . Hence  $\operatorname{Ext}(C, K)_K \cong Z_3 \oplus Z_2 \hookrightarrow \operatorname{Ext}(C, K)$  by embedding in the first and fourth coordinates.

# 4. K-Projective k-Invariants

Throughout this section we assume that  $i^*$ : End  $(K) \rightarrow \text{Ext}(C, K)$  is surjective; i.e., that P is K-projective.

DEFINITION. The class  $\{k\} \in \operatorname{Ext}(C, K)$  determined by  $k \in \operatorname{End}(K)$  is called the k-invariant of the extension kP. A k-invariant  $\{k\}$  is called K-projective if kP is a K-projective R-module. An element  $k \in \operatorname{End}(K)$  is also called K-projective if  $\{k\}$  is K-projective. Let  $\mathscr{P}_K(\operatorname{Ext}(C, K))$  denote the set of K-projective k-invariants in  $\operatorname{Ext}(C, K)$ ,  $\mathscr{P}_K(\operatorname{End}(K))$  the set of K-projective elements  $\operatorname{End}(K)$ .

DEFINITION. Let E be a ring with identity. An element  $\alpha \in E$  is a right unit if there exists  $\beta \in E$  such that  $\beta \alpha = 1$ . The set of (right) units of E is denoted by (R)U(E).

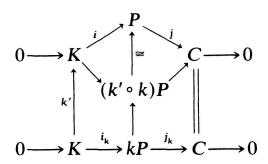
For each  $\alpha \in E$ , let  $\alpha^*$  denote the abelian group homomorphism  $E \to E$  given by right multiplication by  $\alpha$ .  $\alpha$  is a right unit iff  $\alpha^*$  is surjective.

**4.1.** THEOREM. Let Ext(C, K) inherit a ring structure from End(K).  $\{k\}$  is a K-projective k-invariant iff  $\{k\}$  is a right unit.

*Proof.* Suppose that k is K-projective. Then  $\partial_k : \operatorname{End}(K) \to \operatorname{Ext}(C, K)$   $(\partial_k(\alpha) = (\alpha \circ k)P, \alpha \in \operatorname{End}(K))$  is surjective. Thus there is a  $k' \in \operatorname{End}(K)$  such that  $(k' \circ k)P$  is equivalent to P as extensions. Hence  $k' \circ k - 1 \in B$ , and k is a right unit.

If  $k' \circ k - 1 \in B$ , we will show that kP is K-projective. P and  $(k' \circ k)P$  are

equivalent extensions, so there is a commutative diagram



Call the resulting map  $\beta: kP \rightarrow P$ . Apply Ext (-, K) to this diagram to obtain the commutative diagram:

$$\operatorname{Ext}(C, K) \xrightarrow{j^{*}} \operatorname{Ext}(P, K) \xrightarrow{i^{*}} \operatorname{Ext}(K, K)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad$$

Thus  $j_k^* = \beta^* j^* = 0$  because  $j^* = 0$ . Thus  $i_k^*$  is a monomorphism. This completes 4.1.

**4.2.** THEOREM. If  $\{k \circ k'\} = \{k \circ k'\} = \{1\}$  in Ext (C, K), then Ext (kP, M) = 0 iff Ext (P, M) = 0, where M is an R-module.

If we were to define the "degree of projectivity" of k by the class of modules  $\mathcal{M}_k$  such that  $M \in \mathcal{M}_k$  iff  $\operatorname{Ext}(kP, M) = 0$ , then the above says that  $\{k\}$  is a unit implies that  $\mathcal{M}_k = \mathcal{M}_1$ ; i.e., kP is "just as projective" as P is.

*Proof.* Because  $k' \circ k - 1 \in B$ , the argument of (4.1) implies the existence of the following commutative diagram:

$$0 \longrightarrow K \xrightarrow{i_{k}} kP \xrightarrow{j_{k}} C \longrightarrow 0$$

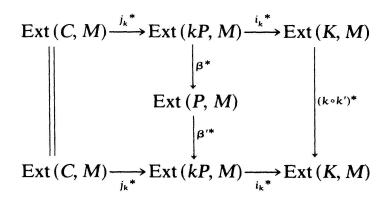
$$\downarrow k \qquad \qquad \downarrow \beta \qquad \qquad \parallel$$

$$0 \longrightarrow K \xrightarrow{i} P \xrightarrow{j} C \longrightarrow 0$$

$$\downarrow k \qquad \qquad \downarrow \beta \qquad \qquad \parallel$$

$$0 \longrightarrow K \xrightarrow{i_{k}} kP \xrightarrow{j_{k}} C \longrightarrow 0$$

Now  $k \circ k' = 1 + \alpha' \circ i$ , where  $\alpha' \in \text{Hom}(P, K)$ . Let M be any R-module such that Ext(P, M) = 0. Apply the functor Ext(-, M) to the above diagram.



The rows are exact at  $\operatorname{Ext}(kP, M)$ .  $(k \circ k')^* = (1 + \alpha' \circ i)^* = 1 + (\alpha' \circ i)^* = 1$ , since  $(\alpha' \circ i)^* = 0$ . Thus  $\beta'^* \circ \beta^*$  is an isomorphism. Then  $\operatorname{Ext}(P, M) = 0$  implies  $\operatorname{Ext}(kP, M) = 0$ . A similar argument shows the converse. This completes (4.2).

Since the set of right units is a semigroup under composition, the following is clear.

**4.3.** COROLLARY. Let  $\operatorname{Ext}(C, K)$  have a ring structure as above. Then the set  $\mathcal{P}_k(\operatorname{Ext}(C, K))$  of K-projective k-invariants is a semigroup with identity under composition.  $\mathcal{P}_k$  is a group iff each K-projective k-invariant is a unit.

### 5. k-Invariants as Units.

In this section we will study conditions under which right units are units in the ring Ext(C, K). We continue our assumption that P is K-projective. We also assume in this section that B is a right ideal.

DEFINITION. For each  $k \in \text{End}(K)$ , let  $B_k = \text{im} \{ \text{Hom}(kP, K) \rightarrow \text{End}(K) \} = \text{ker} \{ \partial_k : \text{End}(K) \rightarrow \text{Ext}(C, K) \}$ , where  $\partial_k(\alpha) = (\alpha \circ k) P (\alpha \in \text{End}(K))$ .

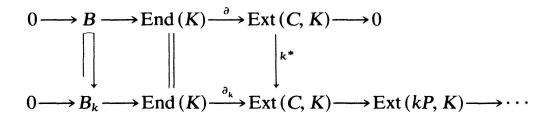
**5.1.** LEMMA.  $B = \text{im} \{ \text{Hom} (P, K) \rightarrow \text{End} (K) \}$  is a right ideal iff  $B \subseteq B_k$  for all  $k \in \text{End} (K)$ .

*Proof.* Let  $\alpha \in B$ . For any  $k \in \text{End}(K)$ ,  $\alpha \circ k \in B$  since B is a right ideal. Thus  $(\alpha \circ k)P \cong \alpha(kP)$  is trivial implies that  $\alpha \in B_k$ . Conversely, if  $B \subseteq B_k$  for all  $k \in \text{End}(K)$ , then let  $\alpha \in B$ , and consider  $\alpha \circ k$   $(k \in \text{End}(K))$ .  $\alpha \in B_k$  implies  $\alpha(kP) \cong (\alpha \circ k)P \cong K \times C$  which in turn implies that  $\alpha \circ k \in B$ .

We say that  $\{k\} \in \text{Ext}(C, K)$  is a right zero divisor if there exists a  $\{k'\} \neq 0$  such that  $\{k' \circ k\} = 0$ .

**5.2.** PROPOSITION.  $\{k\} \in \text{Ext}(C, K)$  is not a right zero divisor iff  $B = B_k$ . If k is K-projective, then  $\{k\}$  is a unit iff  $B = B_k$ .

*Proof.* For each  $k \in \text{End}(K)$ , let  $k^* : \text{Ext}(C, K) \to \text{Ext}(C, K)$  be the function defined by right multiplication by  $\{k\}$ . It is a homomorphism of the underlying abelian group structure. Thus  $\{k\}$  is not a right zero divisor iff  $k^*$  is a monomorphism. But  $k^*$  is a monomorphism iff  $B = B_k$  follows from the following commutative diagram:



Here  $\partial(\alpha) = \alpha P$ ,  $\partial_k(\alpha) = \alpha(kP) = (\alpha \circ k)P$  and the horizontal sequences are exact. Furthermore,  $k^*$  is an isomorphism implies that  $\partial_k$  is surjective and hence  $B = B_k$ .  $B = B_k$  together with  $\partial_k$  surjective implies  $k^*$  is an isomorphism.

**5.3.** LEMMA. Let  $k \in \text{End}(K)$  and suppose there exists  $k' \in \text{End}(K)$  such that  $k' \circ k - 1 \in B$ . Then  $B = B_{k'}$ .

*Proof.* Consider the homomorphisms  $k^*$ ,  $k'^*$  as in the proof of (5.2). The composite  $k^* \circ k'^* = (k' \circ k)^* = 1$ . Thus  $k'^*$  is a monomorphism and, by (5.2),  $B = B_{k'}$ .

We will now give several conditions under which K-projective k-invariants are units. Clearly, if Ext(C, K) is commutative or has no zero divisors, then every right unit is a unit. Furthermore a theorem of N. Jacobson [7] shows that any ring having right units which are not units must be very large. The following is just a restatement of theorem 1 of [7].

**5.4.** THEOREM. If E = Ext(C, K) has either the ascending or descending chain condition for principal right ideals generated by idempotent elements, then right units are units.

Thus it follows that if E is finitely generated as a left (or right) E module, then right units are units in E. For example, if R is commutative and K is a finitely generated R-module, then  $\operatorname{Ext}(C, K)$  is a finitely generated R-module and hence, by (5.4), right units are units.

Now let P be a projective R-module and consider any exact sequence

$$0 \longrightarrow K_1 \xrightarrow{\iota_1} P_1 \xrightarrow{j_1} K \longrightarrow 0$$

of R-modules where  $P_1$  is projective. The boundary operator

$$\partial: \operatorname{Ext}^{1}(C, K) \to \operatorname{Ext}^{2}(C, K_{1}) = \operatorname{Ext}^{1}(K, K_{1})$$

is given by  $\partial(\{k\}) = \text{class of the extension } P_1 k \text{ (see 2.2)}.$ 

- **5.5.** THEOREM. If  $\partial: \operatorname{Ext}^1(C, K) \to \operatorname{Ext}^2(C, K_1)$  is a monomorphism, then projective k-invariants are units in  $\operatorname{Ext}(C, K)$ .
- **5.6.** COROLLARY. If  $\operatorname{Ext}(C, R) = 0$  and K is finitely generated as an R-module, then projective k-invariants are units in  $\operatorname{Ext}(C, K)$ .

The proof of (5.5) is postponed to (6.13). The corollary follows because K is finitely generated implies  $P_1$  may be chosen to be finitely generated. Ext (C, R) = 0 then yields Ext  $(C, P_1) = 0$  and this implies that  $\partial$  is a monomorphism.

# 6. The k-Invariant of a Truncated Resolution.

Let M be an R-module. Choose a projective resolution

$$\mathscr{F}(M): \cdots \longrightarrow C_m \xrightarrow{\partial_m} C_{m-1} \xrightarrow{\partial_{m-1}} C_{m-2} \longrightarrow \cdots \xrightarrow{\partial_1} C_0 \xrightarrow{\partial_0} M \longrightarrow 0$$

of M, where each  $C_i$  is projective R-module.  $\mathscr{F}(M)$  is called the base resolution; each  $\pi_m = \ker \partial_m (m \ge 0)$  is called an M-realizable R-module. If M = Z, the trivial R-module, then  $\pi_m$  is realizable means it is Z-realizable. We say that a resolution  $\mathscr{F}$  is of finite type if each  $C_i$  is a finitely generated R-module.

Let

$$\mathscr{G}(M): \cdots \longrightarrow G_m \xrightarrow{g_m} G_{m-1} \xrightarrow{g_{m-1}} \cdots \xrightarrow{g_1} G_0 \xrightarrow{g_0} M \longrightarrow 0$$

be a (not necessarily projective) resolution of M. Let  $\pi'_m$  denote  $\ker g_m$ . The k-invariant of  $\mathcal{G}$  in dimension m relative to  $\mathcal{F}$  is the element  $\{k\} \in \operatorname{Ext}_R^{m+1}(M, \pi'_m)$  determined by a chain map  $f: \mathcal{F}(M) \to \mathcal{G}(M)$  covering the identity on M. Thus f is a sequence of maps making the following diagram commute:

quence of maps making the following diagram commute:
$$C_{m+1} \xrightarrow{\partial_{m+1}} C_m \xrightarrow{\partial_m} C_{m-1} \longrightarrow \cdots \longrightarrow C_0 \longrightarrow M \longrightarrow 0$$

$$\downarrow^k \qquad \downarrow^{f_m} \qquad \downarrow^{f_{m-1}} \qquad \downarrow^{f_0} \qquad \downarrow^{f_0} \qquad \downarrow^{g_0} \qquad \downarrow^{$$

The map  $k = f_m \circ \partial_{m+1} : C_{m+1} \to \pi'_m$  determines an element  $\{k\} \in \operatorname{Ext}_R^{m+1}(M, \pi'_m)$ . This is well-defined by a standard argument [5].

**6.1.** LEMMA. For each  $m \ge 0$  and each element  $\bar{k} \in \operatorname{Ext}_{R}^{m+1}(M, \pi'_{m}) \exists a$  resolution  $\mathcal{G}_{\bar{k}}$  of M realizing  $\bar{k}$ . If  $C_{i}$  (i = 0, 1, ..., m) and  $\pi'_{m}$  are finitely generated, then  $\mathcal{G}_{\bar{k}}^{(m)}$  may be chosen to be of finite type.

*Proof.* Consider  $k: C_{m+1} \to \pi'_m$  realizing  $\bar{k}$ ;  $k \cdot \partial_{m+2} = 0$  implies that k defines a map  $k': \pi_m \to \pi'_m$ . Use the construction of section 2 to build

$$0 \longrightarrow \pi_{m} \longrightarrow C_{m} \longrightarrow \pi_{m-1} \longrightarrow 0$$

$$\downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \parallel$$

$$\pi'_{m} \xrightarrow{i'} k' C_{m} \xrightarrow{j'} \pi_{m-1} \longrightarrow 0$$

Then the m-skeleton  $\mathscr{G}_{\bar{k}}^{(m)}$  is given by

$$0 \longrightarrow \pi'_m \xrightarrow{i'} k' C_m \xrightarrow{\partial'_m} C_{m-1} \longrightarrow \cdots \longrightarrow C_0 \longrightarrow M \longrightarrow 0$$

where  $\partial'_m$  is the composite  $k'C_m \xrightarrow{j'} \pi_{m-1} \subset C_{m-1}$ . This completes 6.1.

DEFINITION. An element  $k \in \operatorname{Ext}^{m+1}(M, \pi'_m)$  is called *projective* if k can be realized as the k-invariant of a truncated projective resolution:

$$\mathcal{P}_{k}^{(m)}: 0 \to \pi'_{m} \to P_{m} \to P_{m-1} \to \cdots \to P_{0} \to M \to 0$$

when compared with the base resolution  $\mathcal{F}(M)$ . The set of projective k-invariants of  $\operatorname{Ext}^{m+1}(M, \pi'_m)$  is denoted by  $\mathcal{P}(\operatorname{Ext}^{m+1}(M, \pi'_m))$ .

**6.2.** THEOREM. Let M be any R-module and  $\pi_m$  be M-realizable for  $m \ge 0$ . Then

(a) 
$$\operatorname{Ext}_{R}^{m+1}(M, \pi_{m}) \cong \frac{\operatorname{End}(\pi_{m})}{\operatorname{im}\operatorname{Hom}(C_{m}, \pi_{m})}$$
.

(b) If  $B^m = \operatorname{im} \{ \operatorname{Hom} (C_m, \pi_m) \to \operatorname{End} (\pi_m) \}$  is a right ideal, then  $\operatorname{Ext}^{m+1}(M, \pi_m)$  has a ring structure induced from that of  $\operatorname{End} (\pi_m)$  such that the projective k-invariants lie between the units and right units of  $\operatorname{Ext}^{m+1}(M, \pi_m)$ :

$$U(\operatorname{Ext}^{m+1}(M, \pi_m)) \subset \mathcal{P}(\operatorname{Ext}^{m+1}(M, \pi_m)) \subset RU(\operatorname{Ext}^{m+1}(M, \pi_m)).$$

(c) If  $B^m$  is a right ideal,  $\mathcal{P}(\operatorname{Ext}^{m+1}(M, \pi_m)) = U(\operatorname{Ext}^{m+1}(M, \pi_m))$ , and each  $C_i$ 

(i = 0, 1, ..., m + 1) a finitely generated free module, then the function

$$\chi_m: \mathscr{P}(\operatorname{Ext}^{m+1}(M; \pi_m)) \to \tilde{K}_0 R$$

which assigns to each  $k \in \mathcal{P}$  the Euler characteristic  $\chi_m(\mathcal{P}_k^{(m)}) = \sum_{i=0}^m (-1)^i [P_i] \in \tilde{K}_0 R$  of  $\mathcal{P}_k^{(m)}$  is a homomorphism.

- Note. (1) Theorem 6.2 is theorem 1.1 in the case  $R = Z\pi$  and M = Z. This follows because  $H^{m+1}(\pi; Z\pi) = 0$  and  $C_m$  finitely generated implies that  $H^{m+1}(\pi; C_m) = 0$ . Thus  $H^{m+1}(\pi; \pi_m)$  is a ring (3.5) and by (5.6) right units are units because  $\pi_m$  is finitely generated.
- (2) It follows from [11, theorem 3.1] that if  $m \ge 3$ , any  $\pi$ -module  $\pi_m$  realizable by a truncated *free* resolution over Z is topologically realizable as well.
- (3) It follows from (4.1) that the set  $\mathcal{P}_{\pi_m}$  of  $\pi_m$ -projective k-invariants is equal to the set of right units of  $\operatorname{Ext}^{m+1}(M; \pi_m)$ . Furthermore, (4.2) implies that any unit in  $\operatorname{Ext}^{m+1}(M, \pi_m)$  must be projective. We do not know whether in general  $\mathcal{P}$  is distinct from U or RU (see 5.4).

The following lemma is useful in the subsequent work:

**6.3.** LEMMA OF COCKCROFT-SWAN [3, Appendix]. Let  $\xi_i^{(m)}: 0 \to \pi_m \to E_m^i \to P_{m-1}^i \to \cdots \to P_0^i \to M \to 0$  (i = 1, 2) be resolutions of M with each  $P_i^i$   $(j = 0, 1, \ldots, m-1)$  projective. Let  $f: \xi_1^{(m)} \to \xi_2^{(m)}$  be a chain map covering the identity on M and inducing an isomorphism on  $\pi_m$ . Then

$$E_m^1 \oplus P_{m-1}^2 \oplus P_{m-2}^1 \oplus \cdots \cong E_m^2 \oplus P_{m-1}^1 \oplus P_{m-2}^2 \oplus \cdots$$

Note the similarity between this and Schanuel's lemma [11].

**6.4.** COROLLARY. Let  $\xi_1^{(m)}$  be projective (i.e.,  $E_m^1$  is projective) and suppose  $k(\xi_1^{(m)}) = k(\xi_2^{(m)})$  when compared to  $\mathcal{F}$ . Then

$$E_m^1 \oplus P_{m-1}^2 \oplus P_{m-2}^1 \oplus \cdots \cong E_m^2 \oplus P_{m-1}^1 \oplus P_{m-2}^2 \oplus \cdots$$

and hence  $\xi_2^{(m)}$  is projective also.

*Proof.* By standard obstruction arguments, there exists a chain map  $\xi_1^{(m)} \to \xi_2^{(m)}$  inducing the identity on M and  $\pi_m$ . Then apply (6.3).

**Proof** of 6.2. We will only show that if  $\mathcal{P} = U$ , then  $\chi: \mathcal{P} \to \tilde{K}_0 R$  is a homomorphism. Let  $k, k' \in \text{End}(\pi_m)$  represent projective k-invariants in  $\text{Ext}^{m+1}(M; \pi_m)$ . We will show that

$$(k' \circ k)C_m \oplus C_m \oplus C_{m+1} \cong kC_m \oplus k'C_m \oplus C_{m+1}.$$

Let  $\partial k' \in \text{End}(\pi_{m+1})$  be any map determined by extending k':

$$0 - \pi_{m+1} \rightarrow C_{m+1} \rightarrow \pi_m \rightarrow 0$$

$$\downarrow^{\beta'_{m+1}} \downarrow^{k'}$$

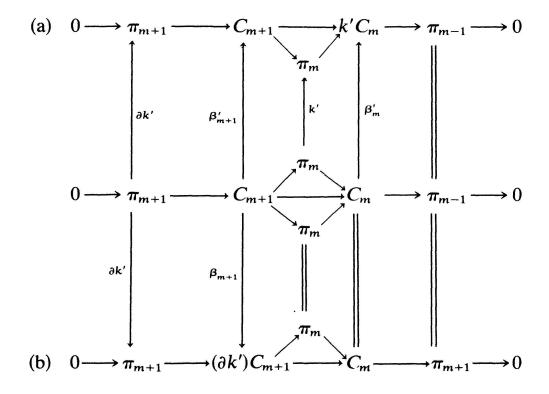
$$0 \rightarrow \pi_{m+1} \rightarrow C_{m+1} \rightarrow \pi_m \rightarrow 0$$

The correspondence  $\{k'\} \rightarrow \{\partial k'\}$  gives the boundary homomorphism

$$\partial: \operatorname{Ext}^{m+1}(M; \pi_m) \to \operatorname{Ext}^{m+2}(M; \pi_{m+1}).$$

**6.5.** LEMMA. Let  $k' \in \text{End}(\pi_m)$  be projective. Then  $(\partial k')C_{m+1} \oplus k'C_m \cong C_m \oplus C_{m+1}$ . Hence  $(\partial k')C_{m+1}$  is projective and  $[(\partial k')C_{m+1}] + [k'C_m] = 0$  in  $\tilde{K}_0R$ .

*Proof.* Consider the resolutions

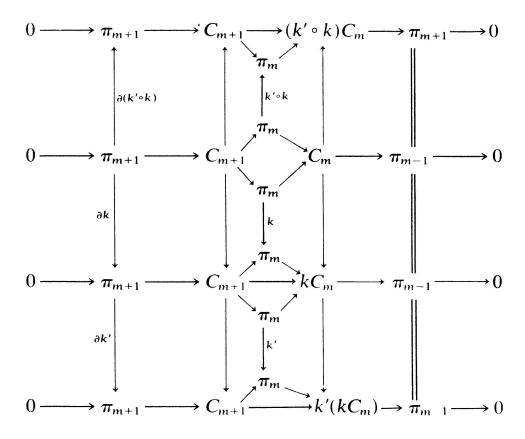


These resolutions (a) and (b) necessarily have the same k-invariant, (a) is projective; hence (b) is also projective by lemma 6.4.  $(\partial k')C_{m+1} \oplus k'C_m \cong C_{m+1} \oplus C_m$  follows from (6.4).

**6.6.** LEMMA. k is projective and  $k' \circ k - 1 \in B^m$  implies  $C_{m+1} \oplus kC_m \cong (k' \circ k)C_m \oplus (\partial k')C_{m+1}$ .

*Proof.* Realize the k-invariant  $\{\partial(k' \circ k)\} = \{\partial k' \circ \partial k\} \in \operatorname{Ext}^{m+2}(M; \pi_{m+1})$  in

three ways:

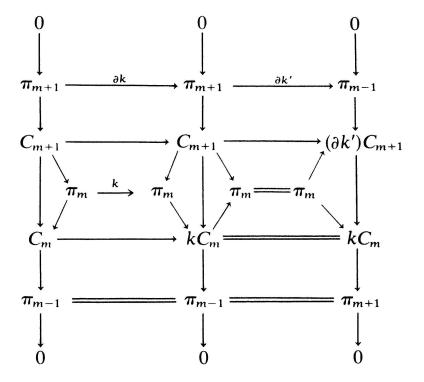


It follows that

$$(k' \circ k)C_m \cong k'(kC_m)$$

via a map inducing identity on  $\pi_{m-1}$  and  $\pi_m$  because the k-invariants are the same. Thus  $\{\partial(k'\circ k)\}=\{\partial k'\circ \partial k\}$ . Note that  $k'\circ k$  is projective because it is a unit.

Furthermore, the following also has k-invariant  $\partial k' \circ \partial k$ :



Thus, by another application of lemma 6.4, we have  $C_{m+1} \oplus kC_m \cong (k' \circ k)C_m \oplus (\partial k')C_{m+1}$ . (6.5) and (6.6) taken together prove (c).

CONJECTURE (see [11, lemma 6.1 (c)]).

$$(k' \circ k)C_m \oplus C_m \cong kC_m \oplus k'C_m$$
.

Let  $\partial: \operatorname{Ext}^{m+1}(M, \pi_m) \to \operatorname{Ext}^{m+2}(M, \pi_{m+1})$  be the boundary operator in the coefficient exact sequence associated with the functor  $\operatorname{Ext}^i(M, -)$  and the exact sequence

$$0 \to \pi_{m+1} \to C_{m+1} \to \pi_m \to 0.$$

The previous proof shows that  $\partial$  is a ring homomorphism, provided the domain and range are rings.

Furthermore, we see that because  $C_i$  is finitely generated and free for  $i = 0, \ldots, m+1$ , then im  $\chi_m \subset \text{im } \chi_{m+1}$ . This follows from the commutative diagram:

$$\mathcal{P}(\operatorname{Ext}^{m+2}(M, \pi_{m+1})) \xrightarrow{\tilde{K}_0 R} \tilde{K}_0 R$$

$$\mathcal{P}(\operatorname{Ext}^{m+1}(M, \pi_m))$$

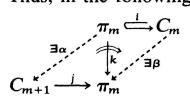
The conditions of section 3 have obvious analogs in this setting:

- **6.7.** (C(m)). The composition in End $(\pi_m)$  is commutative modulo  $B^m$ .
- **6.8.**  $(RE_m)$ . Each map  $k \in \operatorname{End}(\pi_m)$  extends to a map in  $\operatorname{End}(C_m) \Leftrightarrow \partial : \operatorname{Ext}^m(M, \pi_{m-1}) \to \operatorname{Ext}^{m+1}(M, \pi_m)$  is surjective  $\Leftrightarrow \operatorname{Ext}^{m+1}(M; C_m) \to \operatorname{Ext}^{m+1}(M; \pi_{m-1})$  is monic.
- **6.9.**  $(E_m)$ . Each map  $f \in \text{Hom}(\pi_m, C_m)$  extends to a map in  $\text{End}(C_m) \Leftrightarrow \text{Ext}^1(\pi_{m-1}, C_m) = \text{Ext}^{m+1}(M; C_m) = 0$

Again:  $(E_m) \Rightarrow (RE_m) \Rightarrow B^m$  is a right ideal  $\Leftarrow (C(m))$ 

At the present writing, I know of no examples where C(m) is not satisfied. We can "dualize"  $RE_m$  as follows:

**6.10**.  $(RE^m)$ . Any map  $k \in \operatorname{End}(\pi_m)$  which coextends to  $C_{m+1}$  extends to  $C_m$ . Thus, in the following diagram,



the existence of  $\alpha$  such that  $j \circ \alpha = k$  implies the existence of a  $\beta$  such that  $\beta \circ i = k$ . The converse is always true because  $C_m$  is projective.

- **6.11.** PROPOSITION. Any map  $k \in \text{End}(\pi_m)$  which coextends to  $C_{m+1}$  extends to  $C_m$  iff  $\partial: \text{Ext}^{m+1}(M, \pi_m) \to \text{Ext}^{m+2}(M, \pi_{m+1})$  is a monomorphism iff  $i_*: \text{Ext}^{m+1}(M, \pi_{m+1}) \to \text{Ext}^{m+1}(M, C_{m+1})$  is surjective.
- **6.12.** PROPOSITION. If each  $k \in \text{End}(\pi_m)$  which coextends to  $C_{m+1}$  also extends to  $C_m$ , then  $\text{Ext}^{m+1}(M; \pi_m)$  is a ring.

*Proof.* Let  $k, \bar{k} \in \text{End}(\pi_m)$ , let k extend to  $C_m$ . We must show that  $k \circ \bar{k}$  extends to  $C_m$ . But k extends to  $C_m$  implies that k coextends to  $C_{m+1}$  by (6.10). Thus  $k \circ k'$  coextends to  $C_{m+1}$ . But condition  $RE^m$  implies that  $k \circ k'$  extends to  $C_m$ . This proves (6.12).

Note that  $(RE_m) \Leftarrow (E_m) \Rightarrow (RE^m)$ .

Notice that it follows from (6.6) that if  $\{k\} \in \operatorname{Ext}^m(M, \pi_{m-1})$  is projective and  $\{k' \circ k\} = 1$ , then  $\{\partial k'\} \in \operatorname{Ext}^{m+1}(M; \pi_m)$  is projective. Also, (6.5) implies that  $\partial \{k\}$  is projective if  $\{k\}$  is.

**6.13.** COROLLARY. If  $\partial: \operatorname{Ext}^{m+1}(M; \pi_m) \to \operatorname{Ext}^{m+2}(M; \pi_{m+1})$  is a monomorphism (condition  $RE^m$ ), then each projective k-invariant is a unit.

*Proof.* Let  $\{k\} \in \operatorname{Ext}^{m+1}(M; \pi_m)$  be projective. By (5.3), there is a  $k' \in \operatorname{End}(\pi_m)$  such that  $k' \circ k' - 1 \in B^m$ . Thus  $\partial k' \circ \partial k - 1 \in B^{m+1}$ . By (6.6),  $\{\partial k'\}$  is projective. By (5.3) again,  $\{\partial k \circ \partial k'\} = 1 = \{\partial k' \circ \partial k\}$ . Since  $\partial$  is a monomorphism, im  $\partial$  a ring, and  $\partial \{k \circ k'\} = \{\partial k \circ \partial k'\}$ , then  $\{k \circ k'\} = 1 = \{k' \circ k\}$ . This completes (6.13).

The proof of the following corollary is similar to 6.13.

**6.14.** COROLLARY. If  $\partial|_{\mathscr{P}}: \mathscr{P}(\operatorname{Ext}^m(M, \pi_{m-1}) \to \mathscr{P}(\operatorname{Ext}^{m+1}(M, \pi_m)))$  is surjective, then each projective k-invariant in  $\operatorname{Ext}^{m+1}(M, \pi_m)$  is a unit.

Questions. (a) If M = Z, is  $B^m$  always a right ideal? For example, if  $A(\pi)$  is the augmentation ideal in  $Z\pi$ , is  $H^1(\pi; A(\pi))$  a ring?

(b) If  $B^m$  is a right ideal, is  $\mathfrak{P}(\operatorname{Ext}^{m+1}(M; \pi_m))$  a semigroup under composition?

# Appendix: Groups Having $H^i(\pi; Z\pi) = 0$

We will give some results that show that the hypothesis of theorem 1.1 is often satisfied.

- (a) If  $\pi$  is a finite group, then  $H^i(\pi; Z\pi) = 0$  (i > 0). This follows because any projective  $\pi$ -module is weakly injective.
- (b) If  $\pi$  is a (Poincare) duality group with cohomological dimension m, then  $H^i(\pi; Z\pi) = 0$   $(i \neq m)$  [1].
- (c) If F is a free abelian group of countable rank, then  $H^{i}(F; ZF) = 0$  for all  $i \ge 0$ .
- (d) [1, Proposition 3.1] If S is a subgroup of G with finite index (not necessarily normal), then  $H^i(S; ZS) \cong H^i(G; ZG)$  as right S-modules. Thus if S < G such that  $[G:S] < \infty$ , then  $H^k(S; ZS) = 0 \Leftrightarrow H^k(G; ZG) = 0$ .

For example, if  $0 \rightarrow C \rightarrow G \rightarrow T \rightarrow 0$  is an exact sequence of groups where C is a group of cohomological dimension n and T is finite, then  $H^{i}(G; ZG) = 0$  for i > n. Thus, any finitely generated abelian group A of rank n has  $H^{i}(A; ZA) = 0$  for  $i \ne n$ .

(e) The following theorem is an easy consequence of the spectral sequence of a group extension: Let  $1 \rightarrow N \rightarrow \pi \rightarrow G \rightarrow 1$  be an exact sequence of groups. Let N be finite. Then  $H^i(\pi; Z\pi) \cong H^i(G; ZG)$  for all i > 0.

For example, if  $\pi$  is an extension of a finite group by a duality group of cohomological dimension n, then  $H^i(\pi; Z\pi) = 0$  for  $i \neq n$ . Also any one relator group G[8] is such that  $H^i(G; ZG) = 0$  for  $i \geq 3$ .

(f) We say that a group  $\pi$  has property  $\mathcal{P}^n$  if  $H^i(\pi; Z\pi) = 0$ , 0 < i < n. The functor  $H^*(\pi, -)$  is strongly additive if it commutes with arbitrary direct sums. For example, if  $\pi$  admits a projective resolution of finite type

$$\cdots \rightarrow P_m \rightarrow P_{m-1} \rightarrow \cdots \rightarrow P_0 \rightarrow Z \rightarrow 0$$

of the trivial  $\pi$ -module Z (i.e., each  $P_i$  is a finitely generated projective  $\pi$ -module), then  $H^*(\pi; -)$  is strongly additive. The following is then true: Let  $1 \to A \to \pi \to B \to 1$  be an exact sequence of groups such that  $H^*(A; -)$  is strongly additive. Then A has  $\mathcal{P}^i$  and B has  $\mathcal{P}^j$  implies that  $\pi$  has  $\mathcal{P}^k$ , where  $k = \min(i, j)$ .

(g) Let n(G) denote the smallest integer  $\leq \infty$  such that  $H^i(G; ZG) = 0$  for all i > n(G). Let  $\mathcal{L}$  be the class of all groups G such that n(G) is finite. It follows easily from (d) and (e) that  $\mathcal{L}$  contains all polycyclic (= soluble with maximum condition on subgroups) groups. More generally, if  $\mathcal{L}$  is a class of groups, we say that a group G is poly ( $\mathcal{L}$ ) if there exists a *finite* sequence of subgroups

$$G = G_0 \supset G_1 \supset G_2 \supset \cdots \supset G_n = 1$$

such that  $G_{i+1} \triangleleft G_i$  and  $G_i/G_{i+1}$  is a member of  $\mathcal{A}$ . Let fcd denote the class of

groups of finite cohomological dimension. By the use of (d) and (e) one may show the following:

THEOREM. If G is poly (finitely generated abelian) or poly (finite or fcd) then G is a member of  $\mathcal{L}$ .

Furthermore, it follows from [13, page 138] that  $\mathcal{L}$  is closed under finite sums. It is closed under infinite sums provided that each of the summands  $G_i$  has  $n(G_i) < k$ , k being independent of i.  $\mathcal{L}$  is closed under amalgamated sums by [2]. If  $G = \bigcup_{i \in \mathbb{Z}} G_i$  is a countable union of subgroups  $G_i$  such that  $n(G_i) \le M < \infty$  for all  $i \in \infty$ , then  $n(G) \le M + 1$  (R. Bieri). Thus any countable torsion group G has  $n(G) \le 1$ , because G is the countable union of finite subgroups. There are simple examples to show that  $\mathcal{L}$  is not closed under arbitrary direct limits.

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