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## Poincaré duality groups of dimension two

Beno Eckmann and Heinz Müller

In this paper we prove that 2 -dimensional Poincaré duality groups with positive first Betti number $\beta_{1}$ are surface groups. As a corollary it follows that a connected Poincaré 2 -complex with $\beta_{1}>0$ is homotopy equivalent to a closed surface, and so is any finite connected Poincaré 2-complex.

## 1. Statement of algebraic results

1.1. A Poincaré duality group of dimension $n$, in short $\mathrm{PD}^{n}$-group, is a group $G$ acting on $\mathbf{Z}$ such that there are natural duality isomorphisms

$$
\begin{equation*}
H^{k}(G ; A) \cong H_{n-k}(G ; \mathbf{Z} \otimes A) \tag{1}
\end{equation*}
$$

for all integers $k$ and all $G$-modules $A$ (where $G$ acts diagonally on $\mathbf{Z} \otimes A$ ); the isomorphisms (1) can be given by the cap-product $e \cap-$ with an element $e \in H_{n}(G ; \mathbf{Z})$ called fundamental class. If (1) holds, the "formal dimension" $n$ ( $=$ cohomology dimension of $G$ ) and the $G$-module $\mathbf{Z}\left(\cong H^{n}(G ; \mathbf{Z} G)\right.$ ) are determined by $G$. A PD ${ }^{n}$-group $G$ is called orientable or non-orientable according to whether $\mathbf{Z}$ is a trivial $G$-module or not.

The fundamental group $\pi_{1}\left(M^{n}\right)$ of a closed connected aspherical $n$ dimensional manifold is a $\mathrm{PD}^{n}$-group. In particular, if $M^{2}$ is a closed surface of genus $\geqslant 1$, then $\pi_{1}\left(M^{2}\right)$ is a $\mathrm{PD}^{2}$-group. We will call such a group $\pi_{1}\left(M^{2}\right)$ a "surface group"; it admits a finite presentation of well-known canonical type. It has been conjectured that these surface groups are the only $\mathrm{PD}^{2}$-groups. We will show that this is so except in a very special case which remains open.
1.2. From general arguments [5], [2] it is known that $\mathrm{PD}^{n}$-groups are of type $(F P)$; this means that there exists a $\mathbf{Z} G$-projective resolution of the trivial $G$-module $\mathbf{Z}$, of finite length and finitely generated over $\mathbf{Z} G$. In particular, a $\mathrm{PD}^{n}$-group $G$ is finitely generated, and its Betti numbers $\beta_{i}(G)$ and the Euler characteristic $\chi(G)=\sum_{i=0}^{n}(-1)^{i} \beta_{i}$ are defined. Our main result is

THEOREM 1. Let $G$ be a $P^{2}$-group with $\beta_{1}(G)>0$. Then $G$ is a surface group.

The condition $\beta_{1}(G)>0$ means, in the orientable case, that $\beta_{1}(G)$ is an even integer $\geqslant 2$; in the non-orientable case, an integer $\geqslant 1$. Thus $\beta_{1}(G)>0$ is equivalent to $\chi(G) \leqslant 0$ (since $\chi(G)=2-\beta_{1}(G)$ in the orientable, $1-\beta_{1}(G)$ in the non-orientable case). If $G$ is non-orientable, it contains an orientable $\mathrm{PD}^{2}$-group $G_{1}$ as subgroup of index 2 . By the multiplicative property of the Euler characteristic (which holds for groups of type (FP), cf. [6]) one has $\chi\left(G_{1}\right)=2 \chi(G)$; hence $\beta_{1}(G)>0$ if and only if $\beta_{1}\left(G_{1}\right)>0$.
1.3. A group $G$ is said to be of type ( $F F$ ) if it admits a $\mathbf{Z} G$-free resolution of finite length and finitely generated over $\mathbf{Z} G$. Obviously surface groups are of type $(F F)$. It is not known whether there exist groups of type ( $F P$ ) which are not of type (FF).

COROLLARY 1. A $\mathrm{PD}^{2}$-group $G$ of type (FF) is a surface group.
Proof. We first assume $G$ orientable. Then the method of proof used by J. Cohen [7] is valid for any $(F F)$-resolution and shows that the assumption $\beta_{1}(G)=0$ (i.e. $H_{1}(G ; \mathbf{Z})=0$ ) leads to a contradiction. Hence $\beta_{1}(G)>0$, and the assertion follows from Theorem 1.

If $G$ is non-orientable, let $G_{1}$ be the orientable subgroup of index 2 ; it is also of type $(F F)$, and thus $\beta_{1}\left(G_{1}\right)>0$. The Euler characteristic argument above then shows that $\beta_{1}(G)>0$.
1.4. We thus see that the case $\beta_{1}(G)=0$ not covered by Theorem 1 is equivalent to the existence of a $\mathrm{PD}^{2}$-group $G$ not of type $(F F)$, but of course of type $(F P)$. We further note that, by Theorem 1 , the condition $\beta_{1}(G)>0$ not only implies type $(F F)$ but also finite presentability.
1.5. A further corollary concerns the "Nielsen conjecture" for surface groups.

COROLLARY 2. Let $G$ be a torsion-free group containing a surface group $G_{1}$ as a subgroup of finite index. Then $G$ itself is a surface group.

Proof. Any torsion-free group $G$ containing a $\mathrm{PD}^{2}$-group $G_{1}$ as subgroup of finite index is itself a $\mathrm{PD}^{2}$-group (cf. [1], [2]). Since $\beta_{1}\left(G_{1}\right)>0$, i.e., $\chi\left(G_{1}\right) \leqslant 0$, the multiplicative property of the Euler characteristic, $\chi\left(G_{1}\right)=\left|G: G_{1}\right| \chi(G)$, yields $\chi(G) \leqslant 0$. Hence $\beta_{1}(G)>0$, and the assertion follows from Theorem 1 .
1.6. The relative analogue of a $\mathrm{PD}^{n}$-group is a $\mathrm{PD}^{n}$-pair, cf. Bieri-Eckmann [3]. A group pair $\left(G ; S_{0}, S_{1}, \ldots, S_{m}\right)$ consists of a group $G$ and a family of subgroups $S=\left(S_{0}, S_{1}, \ldots, S_{m}\right), m \geqslant 0$; it is called a $\mathrm{PD}^{n}$-pair if for some $G$-action on $\mathbf{Z}$ there are duality isomorphisms between the cohomology of $G$ and the relative homology of $(G ; \underline{S})$, analogous to (1) and also given by the cap product
$e \cap-$ with a fundamental class $e \in H_{n}(G, \underline{S} ; \mathbf{Z})$. The duality is, of course, of exactly the same form as that of compact manifolds-with-boundary. Examples of $\mathrm{PD}^{2}$-pairs are obtained by taking for $G$ the fundamental group of a closed surface with $m+1$ discs removed ( $m \geqslant 0$, and $m \geqslant 1$ if the surface is the sphere) together with the family of infinite cyclic subgroups generated by the circles bounding the discs. These PD ${ }^{2}$-pairs of groups are called "geometric".

THEOREM 2. All $\mathrm{PD}^{2}$-pairs of groups are geometric.

This result is actually a consequence of Corollary 1. Indeed it is shown in [3] that it is implied by the assertion that one-relator $\mathrm{PD}^{2}$-groups are surface groups. Since one-relator $\mathrm{PD}^{2}$-groups are of type $(F F)$, Corollary 1 tells that this is the case.

However, Theorem 2 will be used in the proof of Theorem 1 and therefore requires a direct proof.
1.7. The proof of Theorem 2 will be given in Section 4, of Theorem 1 in Section 5. In Section 3 we describe the procedure of proof and list some auxiliary results, in particular the "decomposition theorems for group pairs" (H. Müller [10]). Section 2 deals with the topological aspect.

## 2. Topological application: Poincaré 2-complexes

2.1. A Poincaré $n$-complex is a CW-complex $X$ dominated by a finite complex and fulfilling Poincaré duality for arbitrary local coefficients, with respect to a dualizing $\pi_{1}(X)$-module $\mathbf{Z}$ and a formal dimension $n$. We will always assume here that it is connected.

The study of Poincare complexes was initiated by Wall in the 60-s. In [15] Wall proved, in particular, that if $X$ is a Poincaré 2 -complex with $\pi_{1}(X)$ finite, then $X$ is homotopy equivalent to $S^{2}$ or $\mathbf{R} P^{2}$; if $\pi_{1}(X)$ is infinite, then $X$ is aspherical, i.e., it is an Eilenberg-Mac Lane complex $K(G, 1)$ for $G=\pi_{1}(X)$. In the latter case the investigation is thus reduced to the study of finitely presented $\mathrm{PD}^{2}$-groups. Later J. Cohen [7] showed that if $X$ is a finite Poincaré 2-complex with $\beta_{1}(X)=0$ then the conclusion is the same as for $\pi_{1}(X)$ finite; and that a Poincaré 2-complex $X$ with $\beta_{1}(X)=1$ or 2 is homotopy equivalent to the appropriate closed surface.
2.2. As a consequence of Theorem 1 we obtain

COROLLARY 3. Let $X$ be a Poincaré 2-complex with $\beta_{1}(X)>0$. Then $X$ is homotopy equivalent to a closed surface (of genus $\geqslant 1$ ).

Indeed, since $\beta_{1}(X)>0$ implies that $\pi_{1}(X)$ is infinite, $G=\pi_{1}(X)$ is a $\mathrm{PD}^{2}$ group with $\beta_{1}(G)>0$ and thus isomorphic to $\pi_{1}(Y)$, where $Y$ is a closed surface of genus $\geqslant 1$. The isomorphism provides a homotopy equivalence between $X=K(G, 1)$ and $Y$.

COROLLARY 4. A finite Poincaré 2-complex $X$ is homotopy equivalent to a closed surface.

Proof. If $\pi_{1}(X)$ is finite, one applies Wall's result mentioned above. If $\pi_{1}(X)=$ $G$ is infinite, then $G$ is a $\mathrm{PD}^{2}$-group of type $(F F)$, hence isomorphic to a surface group by Corollary 1 . Thus $X=K(G, 1)$ is homotopy equivalent to a closed surface.
2.3. Thus all Poincaré 2-complexes $X$ are homotopy equivalent to closed surfaces, except possibly if (a) $\pi_{1}(X)$ is infinite and $\beta_{1}(X)=0$, and (b) $X$ is not homotopy equivalent to a finite complex. Note that each of properties (a) and (b) implies the other. Except for finite presentability of $G=\pi_{1}(X)$ this exceptional possibility is exactly the same as the case not covered by Theorem 1, cf. 1.4.

## 3. Splitting of groups and group pairs

3.1. A group $G$ is said to split over a subgroup $H$ if it is either ( $\alpha$ ) an amalgamated free product $G=G_{1} *_{H} G_{2}, G_{1} \neq H \neq G_{2}$ or $(\beta)$ an HNN-extension $G=G_{1} *_{H, p}$. Cases where $H$ is finitely generated or even finite will be of special importance.

If $G$ is a $\mathrm{PD}^{2}$-group with $\beta_{1}(G)>0$ then $G$ admits an infinite cyclic factor group (infinite cyclic groups will be denoted by $C$ in the following, or by $C(g)$ if we want to emphasize a generator $g$ ). Since $G$ is of type ( $F P$ ), it is "almost finitely presented". By a theorem of Bieri-Strebel [4], any almost finitely presented group admitting a factor group $C$ splits over a finitely generated group $L$ (by a splitting $(\beta))$. Thus Theorem 1 is a consequence of

THEOREM 1'. Let $G$ be a $\mathrm{PD}^{2}$-group which splits over a finitely generated subgroup $L$. Then $G$ is a surface group.

If one confines attention to finitely presented $\mathrm{PD}^{2}$-groups only (e.g., in the context of Poincare 2-complexes or of the Nielsen conjecture), the Bieri-Strebel argument can be replaced by a somewhat simpler one which is just a modification of Moldavanskii's method [9]; cf. Eckmann-Müller [8].
3.2. The proof of Theorem $1^{\prime}$ will proceed as follows. By Strebel's theorem [13] the subgroup $L$, being of infinite index in $G$, is free. If the rank of $L$ is $>1$, the splitting can be changed so as to become a splitting of $G$ over a subgroup of smaller rank. One is thus reduced to the case where $L=C$ is infinite cyclic. Then the group pairs $\left(G_{1} ; C\right)$ and $\left(G_{2} ; C\right)$ in case $(\alpha)$, or $\left(G_{1} ; C, p^{-1} C p\right)$ in case $(\beta)$, are $\mathrm{PD}^{2}$-pairs; this follows from general results on $\mathrm{PD}^{n}$-groups and -pairs (BieriEckmann [3]). By our Theorem 2 these $\mathrm{PD}^{2}$-pairs are geometric, which easily implies that $G=G_{1} *_{C} G_{2}$, or $G=G_{1} *_{C, p}$ respectively, is a surface group.
3.3. Both the reduction process above and the proof of Theorem 2 are based on "decomposition theorems for group pairs" (H. Müller [10]). For the convenience of the reader we summarize the appropriate definitions and those results which are needed.

In this context, a splitting of $G$ is understood to be over a finite subgroup $K$. A group pair $\left(G ; S_{1}, S_{2}, \ldots, S_{m}\right), m \geqslant 0$, and a splitting $(\alpha) G=G_{1} *_{K} G_{2}$ or $(\beta)$ $G=G_{1} *_{K, p}$ are said to be adapted to each other if each $S_{j}, j=1, \ldots, m$ is conjugate to a subgroup of $G_{1}$ or $G_{2}$. If for $\left(G ; S_{1}, S_{2}, \ldots, S_{m}\right)$ such a splitting of $G$ exists we simply say that the pair is adapted. If $G$ is finitely generated, the pair ( $G ; S_{1}, \ldots, S_{m}$ ) is adapted if and only if $\bigcap_{j=1}^{m} N_{\mathrm{J}} \neq 0$, where $N_{j}$ is the kernel of the restriction map $\operatorname{res}_{j}: H^{1}(G ; \mathbf{Z} G) \rightarrow H^{1}\left(S_{j} ; \mathbf{Z} G\right)$. This is just a restatement of Swarup's relative version of Stallings' structure theorem for finitely generated groups with more than one end.

In the following we assume that $\left(G ; S_{1}, \ldots, S_{m}\right)$ is an adapted pair and that $G$ is finitely generated. With respect to the pair $\left(G ; S_{1}, \ldots, S_{m}\right)$ a number $n(T)$, called weight of $T$, is associated with every subgroup $T$ of $G$. The definition uses the restriction map

$$
\text { res : } H^{1}(G ; \mathbf{Z} G) \rightarrow H^{1}(T ; \mathbf{Z} G)
$$

For simplicity we only consider the case where $T$ is finitely generated. We regard $H^{1}(T ; \mathbf{Z} T)$ as $T$-submodule of the (right) $G$-module $H^{1}(T ; \mathbf{Z} G)$ (the embedding is induced by the inclusion $\mathbf{Z} T \rightarrow \mathbf{Z} G)$. Since $T$ is finitely generated, we have a decomposition (as abelian group)

$$
H^{1}(T ; \mathbf{Z} G)=\bigoplus_{x_{1} \in G / T} H^{1}(T ; \mathbf{Z} T) x_{i}
$$

(see, e.g., [2] Proposition 5.3).

DEFINITION. The weight $n(T)$ is the minimal number of non-trivial components of $\operatorname{res}(c) \in \bigoplus_{x_{1} \in G / T} H^{1}(T ; \mathbf{Z} T) x_{i}$ for all $c \in \bigcap_{j=1}^{m} N_{j}, c \neq 0$.
3.4. For different values of $n(T)$ various types of a simultaneous splitting of $G$ and a graph-decomposition of $T$ are obtained. We describe here only two special cases (Corollaire 2 and Corollaire 5 of [11]). In the statements the splitting $G=G_{1} * G_{2}$ or $G=G_{1} * e, p$ written $G *\langle p\rangle$, is always meant to be adapted to the pair $\left(G ; S_{1}, \ldots, S_{m}\right)$.

THEOREM A. Assume that $T$ is torsion-free and $n(T)=1$. Then we have one of the following cases

1) $G=G_{1} * G_{2}, \quad T=T_{1} * T_{2}, \quad T_{1} \subset G_{1}, T_{2} \subset G_{2}$;
2) $G=G_{1} *\langle p\rangle, \quad T=T_{1} * p T_{2} p^{-1}, \quad T_{1}, T_{2} \subset G_{1}$;
3) $G=\langle p\rangle, \quad T=C(p), \quad S_{1}=\cdots=S_{m}=e \quad$ or $\quad m=0$.

THEOREM B. Assume that $G$ is torsion-free, $T$ infinite cyclic and $n(T)=2$. Then we have one of the following cases

1) $G=G_{1} * G_{2}, \quad T=C\left(g_{1} g_{2}\right), \quad e \neq g_{i} \in G_{i}, \quad i=1,2 ;$
2) $G=G_{1} *\langle p\rangle, \quad T=C\left(p g_{1} p^{-1} g_{2}\right), \quad e \neq g_{1}, g_{2} \in G_{1}$;
3) $G=\langle p\rangle, \quad T=C\left(p^{2}\right), \quad S_{1}=\cdots=S_{m}=e \quad$ or $\quad m=0$.

## 4. Proof of Theorem 2

4.1. Let $\left(G ; S_{0}, S_{1}, \ldots, S_{m}\right), m \geqslant 0$, in short $(G ; \underline{S})$, be a $\mathrm{PD}^{2}$-pair. $G$ acts on $\mathbf{Z}$, and there is a fundamental class $e \in H_{2}(G, S ; \mathbf{Z})$ such that

$$
\begin{equation*}
e \cap-: H^{k}(G ; A) \rightarrow H_{2-k}(G, \underline{S} ; \mathbf{Z} \otimes A) \tag{2}
\end{equation*}
$$

is an isomorphism for all $k$ and $A$. The geometric $\mathrm{PD}^{2}$-pairs (cf. 1.6) are as follows:

Orientable case
(3) $G$ is freely generated by $t_{1}, \ldots, t_{m}, x_{1}, y_{1}, \ldots, x_{g}, y_{g}, \quad m+g>0$, $S_{1}, \ldots, S_{m}$ are generated by conjugates to $t_{1}, \ldots, t_{m}$ and $S_{0}$ is generated by $t_{1} \cdots t_{m} \cdot \prod_{i=1}^{\mathrm{g}}\left[x_{i}, y_{i}\right]$.

Non-orientable case
(4) $G$ is freely generated by $t_{1}, \ldots, t_{m}, z_{0}, \ldots, z_{g}, m \geqslant 0, g \geqslant 0$,
$S_{1}, \ldots, S_{m}$ are generated by conjugates to $t_{1}, \ldots, t_{m}$ and $S_{0}$ is generated by $t_{1} \cdots t_{m} \cdot \prod_{i=0}^{\mathrm{g}} z_{i}^{2}$.
4.2. By Theorem 4.2 and 9.3 of [3] we know that a $\mathrm{PD}^{2}$-pair $\left(G ; S_{0}, S_{1}, \ldots, S_{m}\right)$ consists of a finitely generated free group $G$ and a family $\underline{S}=\left(S_{0}, S_{1}, \ldots, S_{m}\right)$ of cyclic subgroups. Moreover, the fundamental class $e \in$ $H_{2}(G ; S ; \mathbf{Z})$ determines fundamental classes $e_{i}$ for the PD ${ }^{1}$-groups $S_{0}, \ldots, S_{m}$, namely the components of $\partial e \in H_{1}(\underline{S} ; \mathbf{Z})=\bigoplus_{i=0}^{m} H_{1}\left(S_{i} ; \mathbf{Z}\right)$, where $\partial$ is the connecting homomorphism in the exact homology sequence of $G$ modulo $S$. By [3], Theorem 2.1 one has the following commutative diagram

$$
\begin{align*}
& 0 \rightarrow H^{1}(G ; \mathbf{Z} G) \xrightarrow{\left\{\mathrm{res}_{\mathrm{i}}\right\}} \bigoplus_{i=0}^{m} H^{1}\left(S_{i} ; \mathbf{Z} G\right) \xrightarrow{\delta} H^{2}(G, \underline{S} ; \mathbf{Z} G) \rightarrow 0 \\
& \cong \downarrow^{\left\{e_{1} \cap-\right\}} \neq \downarrow(e n-) \\
& \underset{i=0}{\oplus} H_{0}\left(S_{i} ; \mathbf{Z} \otimes \mathbf{Z} G\right) \xrightarrow{\text { cor }} H_{0}(G ; \mathbf{Z} \otimes \mathbf{Z} G)  \tag{5}\\
& \cong j \quad \cong 1 \\
& \underset{i=0}{m}\left(\mathbf{Z} \otimes_{S_{i}} \mathbf{Z} G\right) \xrightarrow{p} \mathbf{Z}
\end{align*}
$$

where the top row is exact and $p\left(1 \bigotimes_{S_{1}} y\right)=1 \cdot y$ for $y \in G$.
4.3. We now prove, by induction on the $\operatorname{rank} \operatorname{rk}(G)$, that $(G ; \underline{S})$ has a presentation (3) or (4) and thus is geometric.

If $r k(G)=1$ then $\bigoplus_{i=0}^{m}\left(\mathbf{Z} \otimes_{S_{i}} \mathbf{Z} G\right)$ is free Abelian of rank 2, by (5). This is possible only if either $m=1$ and $S_{0}=S_{1}=G$; or if $m=0$ and $S_{0}=C\left(a^{2}\right)$ where $G=\langle a\rangle$. Thus we either have a presentation (3) with $m=1, g=0$, or a presentation (4) with $m=0, g=0$.

If $r k(G) \geqslant 2$ we put $T=S_{0}$ and determine the weight $n(T)$ with respect to the pair $\left(G ; S_{1}, \ldots, S_{m}\right)$, which is adapted by (5). We consider elements res $_{0}(c)$, $0 \neq c \in \bigcap_{j=1}^{m} N_{j}$ (i.e., elements $\left.(d, 0, \ldots, 0) \in \operatorname{im}\left\{\operatorname{res}_{i}\right\}, d \neq 0\right)$ and count the number of components of $d$ in $H^{1}(T ; \mathbf{Z} G)=\bigoplus_{x_{\nu} \in G / T} H^{1}(T ; \mathbf{Z} T) x_{\nu}$. From (5) we see that $\operatorname{im}\left\{\operatorname{res}_{i}\right\}=\operatorname{ker} \delta=\operatorname{ker} p j\left\{e_{i} \cap-\right\}$, and $p j\left\{e_{i} \cap-\right\}$ restricted to any $H^{1}(T ; \mathbf{Z} T) x_{\nu}$ is bijective. Thus the minimal number of components of elements $d \neq 0$ is two, i.e., the weight of $T=S_{0}$ is 2 . By Theorem B we therefore have one of the two following cases:

1) $G=G_{1} * G_{2} ; S_{0}=C\left(g_{1} g_{2}\right), e \neq g_{i} \in G_{i}, i=1,2$, and the subgroups $S_{1}, \ldots, S_{k}$ are conjugate to subgroups of $G_{1}$, while $S_{k+1}, \ldots, S_{m}$ are conjugate to subgroups of $G_{2}$, for some $k, 0 \leqslant k \leqslant m$.
2) $G=G_{1} *\langle p\rangle ; S_{0}=C\left(p g_{1} p^{-1} g_{2}\right), e \neq g_{1}, g_{2} \in G_{1}$, and $S_{1}, \ldots, S_{m}$ are conjugate to subgroups of $G_{1}$.

Since hypothesis and assertion are invariant under conjugation we may assume that $S_{1}, \ldots, S_{m}$ are actually subgroups of $G_{1}$ or $G_{2}$ respectively.

Case 1). We can write $G$ as $G=\left(G_{1} * C\left(g_{2}\right)\right) *{ }_{C\left(\left(_{2}\right)\right.} G_{2}$. The subgroups $S_{0}=$ $C\left(g_{1} g_{2}\right)$ and $S_{1}, \ldots, S_{k}$ are in $G_{1} * C\left(g_{2}\right)$, and the $S_{k+1}, \ldots, S_{m}$ in $G_{2}$. If $G_{2} \neq C\left(g_{2}\right)$, Theorem 8.1 of [3] tells that $\left(G_{2} ; C\left(g_{2}\right), S_{k+1}, \ldots, S_{m}\right)$ is a $\mathrm{PD}^{2}$-pair. We claim that this is also true if $G_{2}=C\left(g_{2}\right)$; namely, that pair is then ( $\left.C\left(g_{2}\right) ; C\left(g_{2}\right), C\left(g_{2}\right)\right)$.

To prove this we note that quite generally, in Case 1), diagram (5) implies that res: $H^{1}(G ; \mathbf{Z} G) \rightarrow \oplus_{i=k+1}^{m} H^{1}\left(S_{i} ; \mathbf{Z} G\right)$ is surjective, and so is res: $H^{1}\left(G_{2} ; \mathbf{Z} G_{2}\right) \rightarrow \oplus_{i=k+1}^{m}\left(S_{i} ; \mathbf{Z} G_{2}\right)$. If $G_{2}=C\left(g_{2}\right)$, then $H^{1}\left(G_{2} ; \mathbf{Z} G_{2}\right)=\mathbf{Z}$, so this is possible only if $k=m$, or $k=m-1$ and $S_{m}=G_{2}=C\left(g_{2}\right)$. Assume $k=m$; then all subgroups $S_{1}, \ldots, S_{m}$ are in $G_{1}$, hence $H^{1}(G, \underline{S} ; \mathbf{Z}) \neq 0$, since $G=$ $G_{1} * C\left(g_{2}\right)=G_{1} * C\left(g_{1} g_{2}\right)=G_{1} * S_{0}$. However, for a $\mathrm{PD}^{2}$-pair $H^{1}(G, \underline{S} ; \mathbf{Z} G)=0$, so $k=m$ is not possible and we are left with $k=m-1$ and $\left(G_{2} ; C\left(g_{2}\right), S_{k+1}, \ldots, S_{m}\right)=\left(C\left(g_{2}\right) ; C\left(g_{2}\right), C\left(g_{2}\right)\right)$, which is a $\mathrm{PD}^{2}$-pair.

Thus ( $\left.G_{2} ; C\left(g_{2}\right), S_{k+1}, \ldots, S_{m}\right)$ is a $\mathrm{PD}^{2}$-pair, and so is ( $\left.G_{1} ; C\left(g_{1}\right), S_{1}, \ldots, S_{k}\right)$. By induction hypothesis they have presentations of the type (3) or (4). It follows immediately that ( $G ; \underline{S}$ ) has a presentation (3) or (4): This is obvious if both above pairs have a presentation (3), or both a presentation (4). Otherwise one gets a presentation (4), i.e. non-orientable, by using transformations of the form

$$
\begin{equation*}
a^{2}[b, c]=\bar{a}^{2} \bar{b}^{2} \bar{c}^{2} ; \quad \bar{a}=a^{2} b c a^{-1}, \quad \bar{b}=a c^{-1} b^{-1} a^{-1} c a^{-1}, \quad \bar{c}=a c^{-1} \tag{6}
\end{equation*}
$$

Case 2). Write $G$ as $G=\left(G_{1} * C(a)\right) * C\left(a_{2}^{-1}\right), p$ with $p^{-1}\left(a g_{2}^{-1}\right) p=g_{1}$. The subgroups $S_{0}=C(a)$ and $S_{1}, \ldots, S_{m}$ are in $G_{1} * C(a)$. By [3], Theorem 8.3, $\left(G_{1} * C(a) ; C(a), S_{1}, \ldots, S_{m}, C\left(a g_{2}^{-1}\right), C\left(g_{1}\right)\right)$ is a $\mathrm{PD}^{2}$-pair. By the method used in Case 1) it follows that $\left(G_{1} ; S_{1}, \ldots, S_{m}, C\left(g_{1}\right), C\left(g_{2}\right)\right)$ is a $P D^{2}$-pair; the induction hypothesis tells that it has a presentation of the type (3) or (4). We may assume that this presentation is as follows.
$G_{1}$ is freely generated by $t_{0}, t_{1}, \ldots, t_{m}$ and some $x_{i}, y_{i}$ (orientable case (3)) or some $z_{i}$ (non-orientable case (4)); and $S_{i}$ is conjugate to $C\left(t_{i}\right), i=1, \ldots, m, C\left(g_{1}\right)$ to $C\left(t_{0}\right)$, i.e., $g_{1}$ is conjugate to $t_{0}$ or $t_{0}^{-1}$; and $g_{2}=t_{0} \cdots t_{m} r$ where $r=\Pi\left[x_{j}, y_{j}\right]$ or $\Pi z_{j}^{2}$ respectively. $S_{0}$ is generated by $p g_{1} p^{-1} t_{0} \ldots t_{m} r$. By changing $p$ if necessary we may assume $g_{1}=t_{0}^{ \pm 1}$. Using transformations of the form

$$
\begin{equation*}
p t p^{-1} t=\bar{p}^{2} \bar{t}^{2} ; \bar{p}=p t p^{-1} t^{-1} p^{-1}, \bar{t}=p t \tag{7}
\end{equation*}
$$

and of the form (6), we get a presentation (3) or (4) for the pair ( $G ; S_{0}, S_{1}, \ldots, S_{m}$ ).

The passage from the two geometric pairs $\left(G_{1} ; \ldots\right)$ and $\left(G_{2} ; \ldots\right)$ to $(G ; S)$ in Case 1), or from ( $G_{1} ; \ldots$ ) to ( $G ; \underline{S}$ ) in Case 2) can, of course, be replaced by a geometric procedure on the corresponding surfaces-with-boundary.

## 5. Proof of Theorem $\mathbf{1}^{\prime}$

5.1. We recall that surface groups have canonical presentations

$$
\begin{equation*}
G=\left\langle x_{1}, y_{1}, \ldots, x_{g}, y_{g} \mid \prod_{j=1}^{g}\left[x_{j}, y_{j}\right]=1\right\rangle, \quad g \geqslant 1 \tag{8}
\end{equation*}
$$

in the orientable, and

$$
\begin{equation*}
G=\left\langle z_{0}, \ldots, z_{8} \mid \prod_{j=0}^{g} z_{j}^{2}=1\right\rangle, \quad g \geqslant 1 \tag{9}
\end{equation*}
$$

in the non-orientable case.
Let $G$ be a $\mathrm{PD}^{2}$-group which splits over a finitely generated group $L$ as ( $\alpha$ ) $G=G_{1} *_{L} G_{2}, G_{1} \neq L \neq G_{2}$ or ( $\beta$ ) $G=G_{1} *_{L, p}$. Since $L$ has infinite index in $G$ it is free [13].

If $r k(L)=1, L=C$, we consider the pairs $\left(G_{1} ; C\right)$ and $\left(G_{2} ; C\right)$ in case $(\alpha)$, or $\left(G_{1} ; C, p^{-1} C p\right)$ in case $(\beta)$. By [3], Theorem 8.1 and 8.3 these pairs are $\mathrm{PD}^{2}$-pairs and hence geometric; they have presentations (3) or (4), and by amalgamation or HNN-extension these yield presentations of the form (8) or (9) (by using, if necessary, transformations (6) and (7)). Thus $G$ is a surface group.

Of course, the appropriate surface can also be obtained geometrically from the surfaces-with-boundary corresponding to the group pairs.
5.2. If $r k(L) \geqslant 2$, we will obtain from Theorem A a new splitting of $G$ over a subgroup $M$ with $r k(M)<r k(L)$. This reduces the problem to the case $r k(L)=1$ above.
$(\alpha)$ Assume first that $G=G_{1} *_{L} G_{2}$. We consider the Mayer-Vietoris sequence

$$
\cdots \rightarrow 0 \rightarrow H^{1}\left(G_{1} ; \mathbf{Z} G\right) \oplus H^{1}\left(G_{2} ; \mathbf{Z} G\right) \xrightarrow{\left(\text { res }_{1},- \text { res }_{2}\right)}
$$

$$
H^{1}(L ; \mathbf{Z} G) \xrightarrow{\delta} H^{2}(G ; \mathbf{Z} G) \rightarrow \cdots
$$

and show the following:
(10) If the weight of $L$ with respect to both $\left(G_{1} ; \varnothing\right)$ and $\left(G_{2} ; \varnothing\right)$ is greater
than one, then $H^{1}(L ; \mathbf{Z} L) \cap \operatorname{im}\left(\operatorname{res}_{1},-\operatorname{res}_{2}\right)=0$. (Here we consider $H^{1}(L ; \mathbf{Z} L)$ as submodule of $H^{1}(L ; \mathbf{Z} G)$.)

Proof. Let $C_{L}$ denote $H^{1}(L ; \mathbf{Z} L)$ and $C_{1}=H^{1}\left(G_{i} ; \mathbf{Z} G_{i}\right), i=1,2$. Choose sets $\left\{x_{i} ; i \in I\right\}$ and $\left\{y_{j} ; j \in J\right\}$ of representatives of the (right) cosets $\in G_{1} / L$ and $G_{2} / L$ (both sets containing $e$ ). We then have the following sets of representatives:

$$
\begin{aligned}
& \Sigma_{1}=\{e\} \cup\left\{y_{i_{1}} x_{i_{2}} \cdots ; y_{i_{1}} \neq e \neq x_{i_{1}}\right\} \text { for } G / G_{1} \\
& \Sigma_{2}=\{e\} \cup\left\{x_{i_{1}} y_{J_{2}} \cdots ; y_{J_{1}} \neq e \neq x_{i_{1}}\right\} \text { for } G / G_{2} \\
& \Sigma_{L}=\Sigma_{1} \cup \Sigma_{2} \text { for } G / L
\end{aligned}
$$

Hence we get decompositions

$$
\begin{aligned}
H^{1}\left(G_{i} ; \mathbf{Z} G\right) & =\bigoplus_{z \in \Sigma_{i}} C_{i} z, \quad i=1,2 \\
H^{1}(L ; \mathbf{Z} G) & =\underset{z \in \Sigma_{L}}{\bigoplus} C_{L} z
\end{aligned}
$$

The "length" of a summand $C_{i} z$ or $C_{L} z$ is defined as the number of representatives $x_{i}, y_{i} \neq e$ occurring in $z$. Consider now $0 \neq\left(c_{1}, c_{2}\right) \in H^{1}\left(G_{1} ; \mathbf{Z} G\right) \oplus$ $H^{1}\left(G_{2} ; \mathbf{Z} G\right)$. We want to show that $\operatorname{res}_{1}\left(c_{1}\right)-\operatorname{res}_{2}\left(c_{2}\right) \notin C_{L}$. For this we consider a non-trivial component $d$ of $\left(c_{1}, c_{2}\right)$ lying in a summand (of the above decompositions) of maximal length; say $d=c z_{1}$ in $C_{1} z_{1}$ of length $l$. Let res ${ }_{1}(c)$ be $\sum_{i \in I} b_{i} x_{i}$, $b_{i} \in C_{L}$. Because the weight of $L$ with respect to $\left(G_{1} ; \varnothing\right)$ is greater than one, there is at least one $i_{0}$ with $x_{i_{0}} \neq e, b_{i_{0}} \neq 0$. So res ${ }_{1}\left(c z_{1}\right)$ contains the summand $b_{i_{0}} x_{i_{0}} z_{1}$ in $C_{L} x_{i_{0}} z_{1}$ of length $l+1$, and because of the maximality of $l$ there is no other contribution in $\operatorname{res}_{1}\left(c_{1}\right)-\operatorname{res}_{2}\left(c_{2}\right)$ to the component $C_{L} x_{i_{0}} z_{1}$. So indeed res ${ }_{1}\left(c_{1}\right)-$ $\operatorname{res}_{2}\left(c_{2}\right) \notin C_{L}$, which proves (10).

By assumption, $H^{2}(G ; \mathbf{Z} G)$ is free abelian of rank one and $L$ has infinitely many ends. Therefore the restriction of $\delta$ to $H^{1}(L ; \mathbf{Z} L)$ cannot be injective. Because of the exactness of the Mayer-Vietoris sequence, $H^{1}(L ; \mathbf{Z} L) \cap$ $\operatorname{im}\left(\right.$ res $\left._{1},-\operatorname{res}_{2}\right) \neq 0$. By (10), $L$ has weight one with respect to $\left(G_{1} ; \varnothing\right)$ or $\left(G_{2} ; \varnothing\right)$, say $\left(G_{1} ; \varnothing\right)$. (Note that $L$ cannot have weight 0 , since res $_{1}$ and res $_{2}$ are injective.) By Theorem A, we have one of the following two cases:

1) $G_{1}=H_{1} * H_{2}, \quad L=L_{1} * L_{2}, \quad e \neq L_{i} \subset H_{i}, \quad i=1,2$;
2) $G_{1}=H_{1} *\langle t\rangle, \quad L=L_{1} * t L_{2} t^{-1}, \quad e \neq L_{1}, L_{2} \subset H_{1}$.

In Case 1), we have $G=H_{1} *_{L_{1}}\left(H_{2} *_{L_{2}} G_{2}\right)$. If $L_{1} \neq H_{1}, G$ splits over $L_{1}$; if $L_{1}=H_{1}$, then $L_{2} \neq H_{2}$ and $G=H_{2} *_{L_{2}} G_{2}$ splits over $L_{2}$.

In Case 2), $G=\left(H_{1} *_{L_{1}} G_{2}\right) *_{L_{2}, t^{-1}}$ splits over $L_{2}$.
So in both cases we have a splitting of $G$ over a group $M$ with $r k(M)<r k(L)$.
( $\beta$ ) The case $G=G_{1} *_{L, p}$ is treated similarly. If $L$ is not cyclic, one can show that (by changing the notation if necessary) $n(L)=1$ with respect to ( $G_{1} ; p^{-1} L p$ ); to prove that the pair is adapted and to compute the weight one proceeds by methods analogous to those in the proof of (10). By Theorem A we have again the cases 1) or 2) above, where moreover $p^{-1} L p$ is conjugate to a subgroup of $H_{1}$. By changing the stable letter $p$ we can get $p^{-1} L p \subset H_{1}$.

In Case 1), $G=\left(H_{1} *_{L_{1, p}}\right) *_{L_{2}} H_{2}$ splits over $L_{2}$ if $L_{2} \neq H_{2}$; or else over $L_{1}$.
In Case 2), $G=\left(H_{1} *_{L_{1, p}}\right) *_{L_{2}, t^{-1}}$ splits over $L_{2}$. This completes the proof of Theorem 1'.

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