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Autor(en): Eckmann, Beno / Müller, Heinz

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## Poincaré duality groups of dimension two

BENO ECKMANN and HEINZ MÜLLER

In this paper we prove that 2-dimensional Poincaré duality groups with positive first Betti number  $\beta_1$  are surface groups. As a corollary it follows that a connected Poincaré 2-complex with  $\beta_1 > 0$  is homotopy equivalent to a closed surface, and so is any finite connected Poincaré 2-complex.

#### 1. Statement of algebraic results

1.1. A Poincaré duality group of dimension n, in short PD<sup>n</sup>-group, is a group G acting on  $\mathbb{Z}$  such that there are natural duality isomorphisms

$$H^{k}(G; A) \cong H_{n-k}(G; \mathbb{Z} \otimes A)$$
<sup>(1)</sup>

for all integers k and all G-modules A (where G acts diagonally on  $\mathbb{Z} \otimes A$ ); the isomorphisms (1) can be given by the cap-product  $e \cap -$  with an element  $e \in H_n(G; \mathbb{Z})$  called fundamental class. If (1) holds, the "formal dimension" n (= cohomology dimension of G) and the G-module  $\mathbb{Z}$  ( $\cong H^n(G; \mathbb{Z}G)$ ) are determined by G. A PD<sup>n</sup>-group G is called orientable or non-orientable according to whether  $\mathbb{Z}$  is a trivial G-module or not.

The fundamental group  $\pi_1(M^n)$  of a closed connected aspherical *n*-dimensional manifold is a PD<sup>*n*</sup>-group. In particular, if  $M^2$  is a closed surface of genus  $\geq 1$ , then  $\pi_1(M^2)$  is a PD<sup>2</sup>-group. We will call such a group  $\pi_1(M^2)$  a "surface group"; it admits a finite presentation of well-known canonical type. It has been conjectured that these surface groups are the only PD<sup>2</sup>-groups. We will show that this is so except in a very special case which remains open.

1.2. From general arguments [5], [2] it is known that PD<sup>n</sup>-groups are of type (*FP*); this means that there exists a ZG-projective resolution of the trivial G-module Z, of finite length and finitely generated over ZG. In particular, a PD<sup>n</sup>-group G is finitely generated, and its Betti numbers  $\beta_i(G)$  and the Euler characteristic  $\chi(G) = \sum_{i=0}^{n} (-1)^i \beta_i$  are defined. Our main result is

THEOREM 1. Let G be a PD<sup>2</sup>-group with  $\beta_1(G) > 0$ . Then G is a surface group.

The condition  $\beta_1(G) > 0$  means, in the orientable case, that  $\beta_1(G)$  is an even integer  $\ge 2$ ; in the non-orientable case, an integer  $\ge 1$ . Thus  $\beta_1(G) > 0$  is equivalent to  $\chi(G) \le 0$  (since  $\chi(G) = 2 - \beta_1(G)$  in the orientable,  $1 - \beta_1(G)$  in the non-orientable case). If G is non-orientable, it contains an orientable PD<sup>2</sup>-group  $G_1$  as subgroup of index 2. By the multiplicative property of the Euler characteristic (which holds for groups of type (FP), cf. [6]) one has  $\chi(G_1) = 2\chi(G)$ ; hence  $\beta_1(G) > 0$  if and only if  $\beta_1(G_1) > 0$ .

1.3. A group G is said to be of type (FF) if it admits a ZG-free resolution of finite length and finitely generated over ZG. Obviously surface groups are of type (FF). It is not known whether there exist groups of type (FP) which are not of type (FF).

### COROLLARY 1. A PD<sup>2</sup>-group G of type (FF) is a surface group.

*Proof.* We first assume G orientable. Then the method of proof used by J. Cohen [7] is valid for any (FF)-resolution and shows that the assumption  $\beta_1(G) = 0$  (i.e.  $H_1(G; \mathbb{Z}) = 0$ ) leads to a contradiction. Hence  $\beta_1(G) > 0$ , and the assertion follows from Theorem 1.

If G is non-orientable, let  $G_1$  be the orientable subgroup of index 2; it is also of type (FF), and thus  $\beta_1(G_1) > 0$ . The Euler characteristic argument above then shows that  $\beta_1(G) > 0$ .

1.4. We thus see that the case  $\beta_1(G) = 0$  not covered by Theorem 1 is equivalent to the existence of a PD<sup>2</sup>-group G not of type (FF), but of course of type (FP). We further note that, by Theorem 1, the condition  $\beta_1(G) > 0$  not only implies type (FF) but also finite presentability.

1.5. A further corollary concerns the "Nielsen conjecture" for surface groups.

COROLLARY 2. Let G be a torsion-free group containing a surface group  $G_1$  as a subgroup of finite index. Then G itself is a surface group.

*Proof.* Any torsion-free group G containing a PD<sup>2</sup>-group  $G_1$  as subgroup of finite index is itself a PD<sup>2</sup>-group (cf. [1], [2]). Since  $\beta_1(G_1) > 0$ , i.e.,  $\chi(G_1) \leq 0$ , the multiplicative property of the Euler characteristic,  $\chi(G_1) = |G:G_1|\chi(G)$ , yields  $\chi(G) \leq 0$ . Hence  $\beta_1(G) > 0$ , and the assertion follows from Theorem 1.

1.6. The relative analogue of a  $PD^n$ -group is a  $PD^n$ -pair, cf. Bieri-Eckmann [3]. A group pair  $(G; S_0, S_1, \ldots, S_m)$  consists of a group G and a family of subgroups  $\underline{S} = (S_0, S_1, \ldots, S_m)$ ,  $m \ge 0$ ; it is called a  $PD^n$ -pair if for some G-action on  $\mathbf{Z}$  there are duality isomorphisms between the cohomology of G and the relative homology of  $(G; \underline{S})$ , analogous to (1) and also given by the cap product  $e \cap -$  with a fundamental class  $e \in H_n(G, \S; \mathbb{Z})$ . The duality is, of course, of exactly the same form as that of compact manifolds-with-boundary. Examples of PD<sup>2</sup>-pairs are obtained by taking for G the fundamental group of a closed surface with m+1 discs removed ( $m \ge 0$ , and  $m \ge 1$  if the surface is the sphere) together with the family of infinite cyclic subgroups generated by the circles bounding the discs. These PD<sup>2</sup>-pairs of groups are called "geometric".

THEOREM 2. All  $PD^2$ -pairs of groups are geometric.

This result is actually a consequence of Corollary 1. Indeed it is shown in [3] that it is implied by the assertion that one-relator  $PD^2$ -groups are surface groups. Since one-relator  $PD^2$ -groups are of type (FF), Corollary 1 tells that this is the case.

However, Theorem 2 will be used in the proof of Theorem 1 and therefore requires a direct proof.

1.7. The proof of Theorem 2 will be given in Section 4, of Theorem 1 in Section 5. In Section 3 we describe the procedure of proof and list some auxiliary results, in particular the "decomposition theorems for group pairs" (H. Müller [10]). Section 2 deals with the topological aspect.

### 2. Topological application: Poincaré 2-complexes

2.1. A Poincaré *n*-complex is a CW-complex X dominated by a finite complex and fulfilling Poincaré duality for arbitrary local coefficients, with respect to a dualizing  $\pi_1(X)$ -module **Z** and a formal dimension *n*. We will always assume here that it is *connected*.

The study of Poincaré complexes was initiated by Wall in the 60-s. In [15] Wall proved, in particular, that if X is a Poincaré 2-complex with  $\pi_1(X)$  finite, then X is homotopy equivalent to  $S^2$  or  $\mathbb{R}P^2$ ; if  $\pi_1(X)$  is infinite, then X is aspherical, i.e., it is an Eilenberg-Mac Lane complex K(G, 1) for  $G = \pi_1(X)$ . In the latter case the investigation is thus reduced to the study of finitely presented PD<sup>2</sup>-groups. Later J. Cohen [7] showed that if X is a finite Poincaré 2-complex with  $\beta_1(X) = 0$  then the conclusion is the same as for  $\pi_1(X)$  finite; and that a Poincaré 2-complex X with  $\beta_1(X) = 1$  or 2 is homotopy equivalent to the appropriate closed surface.

2.2. As a consequence of Theorem 1 we obtain

COROLLARY 3. Let X be a Poincaré 2-complex with  $\beta_1(X) > 0$ . Then X is homotopy equivalent to a closed surface (of genus  $\ge 1$ ).

Indeed, since  $\beta_1(X) > 0$  implies that  $\pi_1(X)$  is infinite,  $G = \pi_1(X)$  is a PD<sup>2</sup>group with  $\beta_1(G) > 0$  and thus isomorphic to  $\pi_1(Y)$ , where Y is a closed surface of genus  $\ge 1$ . The isomorphism provides a homotopy equivalence between X = K(G, 1) and Y.

COROLLARY 4. A finite Poincaré 2-complex X is homotopy equivalent to a closed surface.

*Proof.* If  $\pi_1(X)$  is finite, one applies Wall's result mentioned above. If  $\pi_1(X) = G$  is infinite, then G is a PD<sup>2</sup>-group of type (FF), hence isomorphic to a surface group by Corollary 1. Thus X = K(G, 1) is homotopy equivalent to a closed surface.

2.3. Thus all Poincaré 2-complexes X are homotopy equivalent to closed surfaces, except possibly if (a)  $\pi_1(X)$  is infinite and  $\beta_1(X) = 0$ , and (b) X is not homotopy equivalent to a finite complex. Note that each of properties (a) and (b) implies the other. Except for finite presentability of  $G = \pi_1(X)$  this exceptional possibility is exactly the same as the case not covered by Theorem 1, cf. 1.4.

### 3. Splitting of groups and group pairs

3.1. A group G is said to split over a subgroup H if it is either ( $\alpha$ ) an amalgamated free product  $G = G_1 *_H G_2$ ,  $G_1 \neq H \neq G_2$  or ( $\beta$ ) an HNN-extension  $G = G_1 *_{H,p}$ . Cases where H is finitely generated or even finite will be of special importance.

If G is a PD<sup>2</sup>-group with  $\beta_1(G) > 0$  then G admits an infinite cyclic factor group (infinite cyclic groups will be denoted by C in the following, or by C(g) if we want to emphasize a generator g). Since G is of type (FP), it is "almost finitely presented". By a theorem of Bieri–Strebel [4], any almost finitely presented group admitting a factor group C splits over a *finitely generated* group L (by a splitting ( $\beta$ )). Thus Theorem 1 is a consequence of

THEOREM 1'. Let G be a  $PD^2$ -group which splits over a finitely generated subgroup L. Then G is a surface group.

If one confines attention to *finitely presented*  $PD^2$ -groups only (e.g., in the context of Poincaré 2-complexes or of the Nielsen conjecture), the Bieri–Strebel argument can be replaced by a somewhat simpler one which is just a modification of Moldavanskii's method [9]; cf. Eckmann–Müller [8].

3.2. The proof of Theorem 1' will proceed as follows. By Strebel's theorem [13] the subgroup L, being of infinite index in G, is free. If the rank of L is >1, the splitting can be changed so as to become a splitting of G over a subgroup of smaller rank. One is thus reduced to the case where L = C is infinite cyclic. Then the group pairs  $(G_1; C)$  and  $(G_2; C)$  in case  $(\alpha)$ , or  $(G_1; C, p^{-1}Cp)$  in case  $(\beta)$ , are PD<sup>2</sup>-pairs; this follows from general results on PD<sup>n</sup>-groups and -pairs (Bieri-Eckmann [3]). By our Theorem 2 these PD<sup>2</sup>-pairs are geometric, which easily implies that  $G = G_1 *_C G_2$ , or  $G = G_1 *_{C,p}$  respectively, is a surface group.

3.3. Both the reduction process above and the proof of Theorem 2 are based on "decomposition theorems for group pairs" (H. Müller [10]). For the convenience of the reader we summarize the appropriate definitions and those results which are needed.

In this context, a splitting of G is understood to be over a finite subgroup K. A group pair  $(G; S_1, S_2, \ldots, S_m)$ ,  $m \ge 0$ , and a splitting  $(\alpha)$   $G = G_1 *_K G_2$  or  $(\beta)$   $G = G_1 *_{K,p}$  are said to be adapted to each other if each  $S_j$ ,  $j = 1, \ldots, m$  is conjugate to a subgroup of  $G_1$  or  $G_2$ . If for  $(G; S_1, S_2, \ldots, S_m)$  such a splitting of G exists we simply say that the pair is adapted. If G is finitely generated, the pair  $(G; S_1, \ldots, S_m)$  is adapted if and only if  $\bigcap_{j=1}^m N_j \neq 0$ , where  $N_j$  is the kernel of the restriction map res<sub>j</sub>:  $H^1(G; \mathbb{Z}G) \rightarrow H^1(S_j; \mathbb{Z}G)$ . This is just a restatement of Swarup's relative version of Stallings' structure theorem for finitely generated groups with more than one end.

In the following we assume that  $(G; S_1, \ldots, S_m)$  is an adapted pair and that G is finitely generated. With respect to the pair  $(G; S_1, \ldots, S_m)$  a number n(T), called *weight* of T, is associated with every subgroup T of G. The definition uses the restriction map

res:  $H^1(G; \mathbb{Z}G) \rightarrow H^1(T; \mathbb{Z}G)$ .

For simplicity we only consider the case where T is finitely generated. We regard  $H^1(T; \mathbb{Z}T)$  as T-submodule of the (right) G-module  $H^1(T; \mathbb{Z}G)$  (the embedding is induced by the inclusion  $\mathbb{Z}T \rightarrow \mathbb{Z}G$ ). Since T is finitely generated, we have a decomposition (as abelian group)

$$H^{1}(T; \mathbf{Z}G) = \bigoplus_{x_{i} \in G/T} H^{1}(T; \mathbf{Z}T) x_{i}$$

(see, e.g., [2] Proposition 5.3).

DEFINITION. The weight n(T) is the minimal number of non-trivial components of res $(c) \in \bigoplus_{x_i \in G/T} H^1(T; \mathbb{Z}T) x_i$  for all  $c \in \bigcap_{i=1}^m N_i$ ,  $c \neq 0$ .

3.4. For different values of n(T) various types of a simultaneous splitting of G and a graph-decomposition of T are obtained. We describe here only two special cases (Corollaire 2 and Corollaire 5 of [11]). In the statements the splitting  $G = G_1 * G_2$  or  $G = G_1 *_{e,p}$  written  $G * \langle p \rangle$ , is always meant to be adapted to the pair  $(G; S_1, \ldots, S_m)$ .

THEOREM A. Assume that T is torsion-free and n(T) = 1. Then we have one of the following cases

1)  $G = G_1 * G_2$ ,  $T = T_1 * T_2$ ,  $T_1 \subset G_1$ ,  $T_2 \subset G_2$ ; 2)  $G = G_1 * \langle p \rangle$ ,  $T = T_1 * p T_2 p^{-1}$ ,  $T_1$ ,  $T_2 \subset G_1$ ; 3)  $G = \langle p \rangle$ , T = C(p),  $S_1 = \cdots = S_m = e \text{ or } m = 0$ .

THEOREM B. Assume that G is torsion-free, T infinite cyclic and n(T) = 2. Then we have one of the following cases

1) 
$$G = G_1 * G_2$$
,  $T = C(g_1g_2)$ ,  $e \neq g_i \in G_i$ ,  $i = 1, 2$ ;  
2)  $G = G_1 * \langle p \rangle$ ,  $T = C(pg_1p^{-1}g_2)$ ,  $e \neq g_1, g_2 \in G_1$ ;  
3)  $G = \langle p \rangle$ ,  $T = C(p^2)$ ,  $S_1 = \cdots = S_m = e \text{ or } m = 0$ .

### 4. Proof of Theorem 2

4.1. Let  $(G; S_0, S_1, \ldots, S_m)$ ,  $m \ge 0$ , in short  $(G; \underline{S})$ , be a PD<sup>2</sup>-pair. G acts on **Z**, and there is a fundamental class  $e \in H_2(G, \underline{S}; \mathbf{Z})$  such that

$$e \cap -: H^{k}(G; A) \to H_{2-k}(G, \underline{S}; \mathbf{Z} \otimes A)$$
<sup>(2)</sup>

is an isomorphism for all k and A. The geometric  $PD^2$ -pairs (cf. 1.6) are as follows:

Orientable case

(3) G is freely generated by  $t_1, \ldots, t_m, x_1, y_1, \ldots, x_g, y_g, \qquad m+g>0,$  $S_1, \ldots, S_m$  are generated by conjugates to  $t_1, \ldots, t_m$  and  $S_0$  is generated by  $t_1 \cdots t_m \cdot \prod_{i=1}^{g} [x_i, y_i]$ .

### Non-orientable case

(4) G is freely generated by  $t_1, \ldots, t_m, z_0, \ldots, z_g, m \ge 0, g \ge 0,$  $S_1, \ldots, S_m$  are generated by conjugates to  $t_1, \ldots, t_m$  and  $S_0$  is generated by  $t_1 \cdots t_m \cdot \prod_{i=0}^{g} z_i^2$ . 4.2. By Theorem 4.2 and 9.3 of [3] we know that a PD<sup>2</sup>-pair  $(G; S_0, S_1, \ldots, S_m)$  consists of a finitely generated *free* group G and a family  $\underline{S} = (S_0, S_1, \ldots, S_m)$  of cyclic subgroups. Moreover, the fundamental class  $e \in H_2(G; \underline{S}; \mathbf{Z})$  determines fundamental classes  $e_i$  for the PD<sup>1</sup>-groups  $S_0, \ldots, S_m$ , namely the components of  $\partial e \in H_1(\underline{S}; \mathbf{Z}) = \bigoplus_{i=0}^m H_1(S_i; \mathbf{Z})$ , where  $\partial$  is the connecting homomorphism in the exact homology sequence of G modulo  $\underline{S}$ . By [3], Theorem 2.1 one has the following commutative diagram

$$0 \to H^{1}(G; \mathbb{Z}G) \xrightarrow{\{\operatorname{res}_{i}\}} \bigoplus_{i=0}^{m} H^{1}(S_{i}; \mathbb{Z}G) \xrightarrow{\delta} H^{2}(G, \underline{S}; \mathbb{Z}G) \to 0$$

$$\cong \bigvee_{i=0}^{m} \{e_{i} \cap -\} \qquad \cong \bigvee_{i=0}^{(e \cap -)} H_{0}(G; \mathbb{Z} \otimes \mathbb{Z}G) \qquad (5)$$

$$\cong \bigvee_{i=0}^{m} H_{0}(S_{i}; \mathbb{Z} \otimes \mathbb{Z}G) \xrightarrow{\operatorname{cor}} H_{0}(G; \mathbb{Z} \otimes \mathbb{Z}G) \qquad (5)$$

$$\cong \bigvee_{i=0}^{m} (\mathbb{Z} \otimes_{S_{i}} \mathbb{Z}G) \xrightarrow{p} \mathbb{Z}$$

where the top row is exact and  $p(1 \bigotimes_{s} y) = 1 \cdot y$  for  $y \in G$ .

4.3. We now prove, by induction on the rank rk(G), that  $(G; \underline{S})$  has a presentation (3) or (4) and thus is geometric.

If rk(G) = 1 then  $\bigoplus_{i=0}^{m} (\mathbb{Z} \otimes_{S_i} \mathbb{Z}G)$  is free Abelian of rank 2, by (5). This is possible only if either m = 1 and  $S_0 = S_1 = G$ ; or if m = 0 and  $S_0 = C(a^2)$  where  $G = \langle a \rangle$ . Thus we either have a presentation (3) with m = 1, g = 0, or a presentation (4) with m = 0, g = 0.

If  $rk(G) \ge 2$  we put  $T = S_0$  and determine the weight n(T) with respect to the pair  $(G; S_1, \ldots, S_m)$ , which is adapted by (5). We consider elements  $res_0(c)$ ,  $0 \ne c \in \bigcap_{j=1}^m N_j$  (i.e., elements  $(d, 0, \ldots, 0) \in im \{res_i\}, d \ne 0$ ) and count the number of components of d in  $H^1(T; \mathbb{Z}G) = \bigoplus_{x_\nu \in G/T} H^1(T; \mathbb{Z}T)x_\nu$ . From (5) we see that  $im \{res_i\} = \ker \delta = \ker pj\{e_i \cap -\}$ , and  $pj\{e_i \cap -\}$  restricted to any  $H^1(T; \mathbb{Z}T)x_\nu$  is bijective. Thus the minimal number of components of elements  $d \ne 0$  is two, i.e., the weight of  $T = S_0$  is 2. By Theorem B we therefore have one of the two following cases:

1)  $G = G_1 * G_2$ ;  $S_0 = C(g_1g_2)$ ,  $e \neq g_i \in G_i$ , i = 1, 2, and the subgroups  $S_1, \ldots, S_k$  are conjugate to subgroups of  $G_1$ , while  $S_{k+1}, \ldots, S_m$  are conjugate to subgroups of  $G_2$ , for some  $k, 0 \le k \le m$ .

2)  $G = G_1 * \langle p \rangle$ ;  $S_0 = C(pg_1p^{-1}g_2)$ ,  $e \neq g_1, g_2 \in G_1$ , and  $S_1, \ldots, S_m$  are conjugate to subgroups of  $G_1$ .

Since hypothesis and assertion are invariant under conjugation we may assume that  $S_1, \ldots, S_m$  are actually subgroups of  $G_1$  or  $G_2$  respectively.

Case 1). We can write G as  $G = (G_1 * C(g_2)) *_{C(g_2)} G_2$ . The subgroups  $S_0 = C(g_1g_2)$  and  $S_1, \ldots, S_k$  are in  $G_1 * C(g_2)$ , and the  $S_{k+1}, \ldots, S_m$  in  $G_2$ . If  $G_2 \neq C(g_2)$ , Theorem 8.1 of [3] tells that  $(G_2; C(g_2), S_{k+1}, \ldots, S_m)$  is a PD<sup>2</sup>-pair. We claim that this is also true if  $G_2 = C(g_2)$ ; namely, that pair is then  $(C(g_2); C(g_2), C(g_2))$ .

To prove this we note that quite generally, in Case 1), diagram (5) implies that res:  $H^1(G; \mathbb{Z}G) \rightarrow \bigoplus_{i=k+1}^m H^1(S_i; \mathbb{Z}G)$ is surjective, and so is res:  $H^1(G_2; \mathbb{Z}G_2) \to \bigoplus_{i=k+1}^m (S_i; \mathbb{Z}G_2)$ . If  $G_2 = C(g_2)$ , then  $H^1(G_2; \mathbb{Z}G_2) = \mathbb{Z}$ , so this is possible only if k = m, or k = m - 1 and  $S_m = G_2 = C(g_2)$ . Assume k = m; then all subgroups  $S_1, \ldots, S_m$  are in  $G_1$ , hence  $H^1(G, \underline{S}; \mathbf{Z}) \neq 0$ , since G = $G_1 * C(g_2) = G_1 * C(g_1g_2) = G_1 * S_0$ . However, for a PD<sup>2</sup>-pair  $H^1(G, \underline{S}; \mathbf{Z}G) = 0$ , so k = m is not possible and are left k = m - 1we with and  $(G_2; C(g_2), S_{k+1}, \ldots, S_m) = (C(g_2); C(g_2), C(g_2))$ , which is a PD<sup>2</sup>-pair.

Thus  $(G_2; C(g_2), S_{k+1}, \ldots, S_m)$  is a PD<sup>2</sup>-pair, and so is  $(G_1; C(g_1), S_1, \ldots, S_k)$ . By induction hypothesis they have presentations of the type (3) or (4). It follows immediately that  $(G; \underline{S})$  has a presentation (3) or (4): This is obvious if both above pairs have a presentation (3), or both a presentation (4). Otherwise one gets a presentation (4), i.e. non-orientable, by using transformations of the form

$$a^{2}[b,c] = \bar{a}^{2}\bar{b}^{2}\bar{c}^{2}; \quad \bar{a} = a^{2}bca^{-1}, \quad \bar{b} = ac^{-1}b^{-1}a^{-1}ca^{-1}, \quad \bar{c} = ac^{-1}$$
(6)

Case 2). Write G as  $G = (G_1 * C(a)) *_{C(ag_2^{-1}),p}$  with  $p^{-1}(ag_2^{-1})p = g_1$ . The subgroups  $S_0 = C(a)$  and  $S_1, \ldots, S_m$  are in  $G_1 * C(a)$ . By [3], Theorem 8.3,  $(G_1 * C(a); C(a), S_1, \ldots, S_m, C(ag_2^{-1}), C(g_1))$  is a PD<sup>2</sup>-pair. By the method used in Case 1) it follows that  $(G_1; S_1, \ldots, S_m, C(g_1), C(g_2))$  is a PD<sup>2</sup>-pair; the induction hypothesis tells that it has a presentation of the type (3) or (4). We may assume that this presentation is as follows.

 $G_1$  is freely generated by  $t_0, t_1, \ldots, t_m$  and some  $x_i, y_i$  (orientable case (3)) or some  $z_i$  (non-orientable case (4)); and  $S_i$  is conjugate to  $C(t_i), i = 1, \ldots, m, C(g_1)$ to  $C(t_0)$ , i.e.,  $g_1$  is conjugate to  $t_0$  or  $t_0^{-1}$ ; and  $g_2 = t_0 \cdots t_m r$  where  $r = \prod [x_i, y_i]$  or  $\prod z_i^2$  respectively.  $S_0$  is generated by  $pg_1p^{-1}t_0 \ldots t_m r$ . By changing p if necessary we may assume  $g_1 = t_0^{\pm 1}$ . Using transformations of the form

$$ptp^{-1}t = \bar{p}^2 \,\bar{t}^2; \, \bar{p} = ptp^{-1}t^{-1}p^{-1}, \, \bar{t} = pt \tag{7}$$

and of the form (6), we get a presentation (3) or (4) for the pair  $(G; S_0, S_1, \ldots, S_m)$ .

The passage from the two geometric pairs  $(G_1; \ldots)$  and  $(G_2; \ldots)$  to  $(G; \underline{S})$  in Case 1), or from  $(G_1; \ldots)$  to  $(G; \underline{S})$  in Case 2) can, of course, be replaced by a geometric procedure on the corresponding surfaces-with-boundary.

### 5. Proof of Theorem 1'

5.1. We recall that surface groups have canonical presentations

$$G = \left\langle x_1, y_1, \dots, x_g, y_g \middle| \prod_{j=1}^g [x_j, y_j] = 1 \right\rangle, \qquad g \ge 1$$
(8)

in the orientable, and

$$G = \left\langle z_0, \dots, z_g \middle| \prod_{j=0}^g z_j^2 = 1 \right\rangle, \qquad g \ge 1$$
(9)

in the non-orientable case.

Let G be a PD<sup>2</sup>-group which splits over a finitely generated group L as  $(\alpha)$   $G = G_1 *_L G_2$ ,  $G_1 \neq L \neq G_2$  or  $(\beta) G = G_1 *_{L,p}$ . Since L has infinite index in G it is free [13].

If rk(L) = 1, L = C, we consider the pairs  $(G_1; C)$  and  $(G_2; C)$  in case  $(\alpha)$ , or  $(G_1; C, p^{-1}Cp)$  in case  $(\beta)$ . By [3], Theorem 8.1 and 8.3 these pairs are PD<sup>2</sup>-pairs and hence geometric; they have presentations (3) or (4), and by amalgamation or HNN-extension these yield presentations of the form (8) or (9) (by using, if necessary, transformations (6) and (7)). Thus G is a surface group.

Of course, the appropriate surface can also be obtained geometrically from the surfaces-with-boundary corresponding to the group pairs.

5.2. If  $rk(L) \ge 2$ , we will obtain from Theorem A a new splitting of G over a subgroup M with rk(M) < rk(L). This reduces the problem to the case rk(L) = 1 above.

( $\alpha$ ) Assume first that  $G = G_1 *_L G_2$ . We consider the Mayer-Vietoris sequence

$$\cdots \rightarrow 0 \rightarrow H^1(G_1; \mathbb{Z}G) \oplus H^1(G_2; \mathbb{Z}G) \xrightarrow{(\operatorname{res}_1, -\operatorname{res}_2)} \rightarrow$$

 $H^1(L; \mathbb{Z}G) \xrightarrow{\delta} H^2(G; \mathbb{Z}G) \rightarrow \cdots$ 

and show the following:

(10) If the weight of L with respect to both  $(G_1; \emptyset)$  and  $(G_2; \emptyset)$  is greater

than one, then  $H^1(L; \mathbb{Z}L) \cap \text{im}(\text{res}_1, -\text{res}_2) = 0$ . (Here we consider  $H^1(L; \mathbb{Z}L)$  as submodule of  $H^1(L; \mathbb{Z}G)$ .)

*Proof.* Let  $C_L$  denote  $H^1(L; \mathbb{Z}L)$  and  $C_i = H^1(G_i; \mathbb{Z}G_i)$ , i = 1, 2. Choose sets  $\{x_i; i \in I\}$  and  $\{y_j; j \in J\}$  of representatives of the (right) cosets  $\in G_1/L$  and  $G_2/L$  (both sets containing e). We then have the following sets of representatives:

$$\Sigma_1 = \{e\} \cup \{y_{j_1} x_{i_2} \cdots; y_{j_l} \neq e \neq x_{i_l}\} \text{ for } G/G_1;$$
  

$$\Sigma_2 = \{e\} \cup \{x_{i_1} y_{j_2} \cdots; y_{j_l} \neq e \neq x_{i_l}\} \text{ for } G/G_2;$$
  

$$\Sigma_L = \Sigma_1 \cup \Sigma_2 \text{ for } G/L.$$

Hence we get decompositions

$$H^{1}(G_{i}; \mathbb{Z}G) = \bigoplus_{z \in \Sigma_{i}} C_{i}z, \qquad i = 1, 2;$$
$$H^{1}(L; \mathbb{Z}G) = \bigoplus_{z \in \Sigma_{L}} C_{L}z.$$

The "length" of a summand  $C_i z$  or  $C_L z$  is defined as the number of representatives  $x_i, y_i \neq e$  occurring in z. Consider now  $0 \neq (c_1, c_2) \in H^1(G_1; \mathbb{Z}G) \oplus$  $H^1(G_2; \mathbb{Z}G)$ . We want to show that  $\operatorname{res}_1(c_1) - \operatorname{res}_2(c_2) \notin C_L$ . For this we consider a non-trivial component d of  $(c_1, c_2)$  lying in a summand (of the above decompositions) of maximal length; say  $d = cz_1$  in  $C_1z_1$  of length l. Let  $\operatorname{res}_1(c)$  be  $\sum_{i \in I} b_i x_i$ ,  $b_i \in C_L$ . Because the weight of L with respect to  $(G_1; \emptyset)$  is greater than one, there is at least one  $i_0$  with  $x_{i_0} \neq e$ ,  $b_{i_0} \neq 0$ . So  $\operatorname{res}_1(cz_1)$  contains the summand  $b_{i_0}x_{i_0}z_1$  in  $C_L x_{i_0}z_1$  of length l+1, and because of the maximality of l there is no other contribution in  $\operatorname{res}_1(c_1) - \operatorname{res}_2(c_2)$  to the component  $C_L x_{i_0} z_1$ . So indeed  $\operatorname{res}_1(c_1) - \operatorname{res}_2(c_2) \notin C_L$ , which proves (10).

By assumption,  $H^2(G; \mathbb{Z}G)$  is free abelian of rank one and L has infinitely many ends. Therefore the restriction of  $\delta$  to  $H^1(L; \mathbb{Z}L)$  cannot be injective. Because of the exactness of the Mayer—Vietoris sequence,  $H^1(L; \mathbb{Z}L) \cap$ im (res<sub>1</sub>, -res<sub>2</sub>)  $\neq 0$ . By (10), L has weight *one* with respect to  $(G_1; \emptyset)$  or  $(G_2; \emptyset)$ , say  $(G_1; \emptyset)$ . (Note that L cannot have weight 0, since res<sub>1</sub> and res<sub>2</sub> are injective.) By Theorem A, we have one of the following two cases:

- 1)  $G_1 = H_1 * H_2$ ,  $L = L_1 * L_2$ ,  $e \neq L_i \subset H_i$ , i = 1, 2;
- 2)  $G_1 = H_1 * \langle t \rangle$ ,  $L = L_1 * t L_2 t^{-1}$ ,  $e \neq L_1, L_2 \subset H_1$ .

In Case 1), we have  $G = H_1 *_{L_1} (H_2 *_{L_2} G_2)$ . If  $L_1 \neq H_1$ , G splits over  $L_1$ ; if  $L_1 = H_1$ , then  $L_2 \neq H_2$  and  $G = H_2 *_{L_2} G_2$  splits over  $L_2$ .

In Case 2),  $G = (H_1 *_{L_1} G_2) *_{L_2,t^{-1}}$  splits over  $L_2$ .

So in both cases we have a splitting of G over a group M with rk(M) < rk(L).

( $\beta$ ) The case  $G = G_1 *_{L,p}$  is treated similarly. If L is not cyclic, one can show that (by changing the notation if necessary) n(L) = 1 with respect to  $(G_1; p^{-1}Lp)$ ; to prove that the pair is adapted and to compute the weight one proceeds by methods analogous to those in the proof of (10). By Theorem A we have again the cases 1) or 2) above, where moreover  $p^{-1}Lp$  is conjugate to a subgroup of  $H_1$ . By changing the stable letter p we can get  $p^{-1}Lp \subseteq H_1$ .

In Case 1),  $G = (H_1 *_{L_{1,p}}) *_{L_2} H_2$  splits over  $L_2$  if  $L_2 \neq H_2$ ; or else over  $L_1$ .

In Case 2),  $G = (H_1 *_{L_{1,p}}) *_{L_2,t^{-1}}$  splits over  $L_2$ . This completes the proof of Theorem 1'.

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Forschungsinstitut für Mathematik ETH Zürich

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