Zeitschrift: L'Enseignement Mathématique

Herausgeber: Commission Internationale de l'Enseignement Mathématique

Band: 14 (1968)

Heft: 1: L'ENSEIGNEMENT MATHÉMATIQUE

Artikel: FLATNESS AND PRIVILEGE

Autor: Douady, A.

Kapitel: IV. FLATNESS AND PRIVILEGE

DOI: https://doi.org/10.5169/seals-42343

Nutzungsbedingungen

Die ETH-Bibliothek ist die Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Zeitschriften und ist nicht verantwortlich für deren Inhalte. Die Rechte liegen in der Regel bei den Herausgebern beziehungsweise den externen Rechteinhabern. Siehe Rechtliche Hinweise.

Conditions d'utilisation

L'ETH Library est le fournisseur des revues numérisées. Elle ne détient aucun droit d'auteur sur les revues et n'est pas responsable de leur contenu. En règle générale, les droits sont détenus par les éditeurs ou les détenteurs de droits externes. <u>Voir Informations légales.</u>

Terms of use

The ETH Library is the provider of the digitised journals. It does not own any copyrights to the journals and is not responsible for their content. The rights usually lie with the publishers or the external rights holders. See Legal notice.

Download PDF: 03.02.2025

ETH-Bibliothek Zürich, E-Periodica, https://www.e-periodica.ch

whenever $x \in K$, we get $a = \inf_{K} |h(x)| > 0$. Hence $||hf|| = \sup_{K} |hf(x)| \ge 2$ $\ge a \sup_{K} |f(x)| = a ||f||$.

(i') \Rightarrow (ii). Suppose that $X \cap K \neq \emptyset$ and $x = (x_1, x_2) \in X \cap K$. We choose an analytic function $f_1 : U_1 \to \mathbb{C}$, where $U_1 \supset K_1$, and U_1 is open, such that $f_1(x_1) = 1$, $|f_1(z)| < 1$ if $z \in K_1$, $z \neq x_1$. Similarly we choose an analytic function $f_2 : U_2 \to \mathbb{C}$, with the same properties. Consider the function $f \in B(K) : (z_1, z_2) \to f_1(z_1) f_2(z_2)$. Since h(x) = 0 it follows that the sequence $\{hf^n\}$ converges pointwise to 0 in K.

Applying Dini's theorem we get $||hf^n|| \to 0$. From the inequality $a||f^n|| \le$ $\le ||hf^n||$ we get $||f^n|| \to 0$, which is a contradiction, because for every $n: f^n(x) = 1$.

(b) Use the Weierstrass preparation theorem (extended form).

Question. Does the condition (ii) imply that $h: B(K) \rightarrow B(K)$ is a split monomorphism?

IV. FLATNESS AND PRIVILEGE

§ 1. Morphisms from an analytic space into B(K)

Let S be an analytic space and K a polycylinder in an open set $U \subset \mathbb{C}^n$. We want to construct an \mathcal{O}_S -algebra homomorphism $\phi : \mathcal{O}_{S \times U} (S \times U) \to \mathcal{H} (S; B(K))$.

- (a) Consider first $S = U' \subset \mathbb{C}^m$, U'-open. If $h \in \mathcal{O}_{U' \times U}$ ($U' \times U$) and $s \in U'$, $x \in K$, define $(\phi(h)(s))(x) = h(s,x)$. Using the Cauchy integral, one can show that $\phi(h)$ is analytic. On the other hand its obvious that ϕ is an $\mathcal{O}_{U'}$ -algebra homomorphism.
- (b) Let S have a special model in the polydisc Δ in \mathbb{C}^m , defined by a sheaf \mathscr{J} of ideals of \mathscr{O}_{Δ} , and let \mathscr{J} be generated by $f_1, ..., f_p$, V-a polycylinder neighbourhood of K in U. By Cartan's theorem B for a polycylinder,

the sequence $0 \rightarrow \mathcal{J}(\Delta \times V) \rightarrow \mathcal{O}(\Delta \times V) \rightarrow \mathcal{O}(S \times V) \rightarrow 0$ is exact. If we denote by π the projection $\mathcal{H}(\Delta, B(K)) \rightarrow \mathcal{H}(S, B(K)), (f_1, ..., f_p) \cdot \mathcal{H}(\Delta, B(K)) \subset$

 \subset Ker π . Therefore, because π is surjection, there exists a unique

 $\phi: \mathcal{O}(S \times V) \rightarrow \mathcal{H}(S, B(K))$, such that the diagram

$$\mathcal{O}\left(\Delta \times V\right) \xrightarrow{\phi} \mathcal{H}\left(\Delta, B\left(K\right)\right) \\
\pi \downarrow \qquad \qquad \downarrow \widetilde{\pi} \\
\mathcal{O}\left(S \times V\right) \xrightarrow{\phi} \mathcal{H}\left(S, B\left(K\right)\right)$$

is commutative; ϕ is evidently an \mathcal{O}_S -algebra homomorphism.

§ 2. The flatness and privilege theorem

Notation

Let S be an analytic space, U an open set in \mathbb{C}^n , and $\pi: S \times U \rightarrow S$ the first projection.

If \mathscr{F} is an $\mathscr{O}_{S\times U}$ module, then for every $s\in S$ we denote by $\mathscr{F}(s)$ the \mathscr{O}_U -module $i_s^*\mathscr{F}$, where i_s is the injective morphism $x\to(s,x)$ from U into $S\times U$. If $x\in U$

$$(\mathscr{F}(s))_x \simeq \mathscr{F}_{(s,x)}/m_s \cdot \mathscr{F}_{(s,x)} \simeq \mathscr{F}_{(s,x)} \otimes_{\mathscr{O}_{S,s}} \mathbf{C}_s.$$

Theorem 1: Let $\mathscr E$ be a coherent and S-flat $\mathscr O_{S\times U}$ -module, and K a polycylinder in U.

- (a) When K is privileged for $\mathscr{E}(s_0)$, s_0 has a neighbourhood V such that K is $\mathscr{E}(s)$ -privileged for each $s \in V$. In other words: the set $S' = \{s \in S \mid K \text{ is } \mathscr{E}(s)\text{-privileged}\}$ is open in S.
- (b) It is possible to define a Banach vector bundle over S' whose fibre at any $s \in S'$ is $B(K, \mathscr{E}(s))$.

To prove the theorem we need:

Lemma 1: Under the conditions of the theorem, we can, for every $s \in S$, find a neighbourhood W of $\{s\} \times K$ and a free resolution of finite length

$$0 \! \to \! \mathcal{L}_p \! \xrightarrow{d_p} \! \dots \xrightarrow{d_2} \! \mathcal{L}_1 \! \xrightarrow{d_1} \! \mathcal{L}_0 \! \xrightarrow{\varepsilon} \! \mathscr{E} \! \to \! 0 \; \text{in} \; W.$$

Proof: Let (s, x) be a point of $S \times U$ and \mathcal{L}^0_* a finite resolution of $\mathcal{F}(x)$ in a neighbourhood of x (there exists such one, by the theorem of syzygies). We shall show that that there exists a resolutin \mathcal{L}^* of \mathcal{F} in a neighbourhood of (s, x) such that $\mathcal{L}^*(s) = \mathcal{L}^0_*$; if $\mathcal{L}^0_i = \mathcal{O}^{r_i}_x$ define

$$\mathcal{L}_i = \mathcal{O}_{S \times U}^{r_i}$$
 and $\mathcal{K}_i^0 = \operatorname{Ker} d_i^0 : \mathcal{L}_i^0 \to \mathcal{L}_{i-1}^0$.

We shall construct by induction (with respect to i) $d_i: \mathcal{L}_1 \to \mathcal{L}_{i-1}$ in a neighbourhood of (s, x) such that $d_i(s) = d_i^0$, and prove that $\mathcal{K}_i = \operatorname{Ker} d_i$ is S-flat and that $\mathcal{K}_i(s) = \mathcal{K}_i^0$.

Nakayama's lemma shows that Im $d_{i+1} = \mathcal{K}_i$ at the point (s, x), therefore in a neighbourhood of that point.

The exact sequence

$$0 \to \mathcal{K}_{i+1} \to \mathcal{L}_{i+1} \to \mathcal{K}_i \to 0$$
,

where \mathcal{K}_i and \mathcal{L}_{i+1} are S-flat, shows that \mathcal{K}_{i+1} is S-flat, and that $\mathcal{K}_{i+1}(s) = \mathcal{K}_{i+1}^0$. The first step of the induction is analogous.

Proof of the theorem: Let $s_0 \in S$ and

$$\begin{array}{cc} d_p & d_1 \\ 0 \rightarrow \mathcal{L}_p \rightarrow \dots \rightarrow \mathcal{L}_0 \rightarrow \mathcal{E} | W \rightarrow 0 \end{array}$$

be a free $\mathscr{O}_{S\times U}$ resolution of \mathscr{E} in a neighbourhood $W=V_1\times V_2$ of $\{s_0\}\times K$. The sheaf \mathscr{E} is \mathscr{O}_S -flat, so for each $s\in V_1$, the sequence

$$0 \to \mathcal{L}_p \otimes_{\mathscr{O}_{S|V_1}} \mathbf{C}_s \to \dots \to \mathcal{L}_1 \otimes_{\mathscr{O}_{S|V_1}} \mathbf{C}_s \to \mathcal{L}_0 \otimes_{\mathscr{O}_{S|V_1}} \mathbf{C}_s \to \mathscr{E}_{|W} \otimes_{\mathscr{O}_{S|V_1}} \mathbf{C}_s \to 0$$

is exact. So the sequence

$$\begin{array}{ccc} d_p(s) & d_1(s) & \varepsilon(s) \\ (A) & 0 \rightarrow \mathcal{L}_p(s) & \rightarrow \dots \rightarrow \mathcal{L}_1(s) & \rightarrow \mathcal{L}_0(s) & \rightarrow \mathcal{E}(s)_{|V_2} \rightarrow 0 \end{array}$$

is exact when $s \in V_1$. Now $\mathcal{L}_i(s) \simeq \mathcal{O}_{V_2}^{r_i}$ $(0 \le i \le p)$ and every $d_i(s)$ induces a continuous linear map:

 $B(K, \mathcal{L}_i(s)) \rightarrow B(K, \mathcal{L}_{i-1}(s))$, which we also denote by $d_i(s)$. We can consider $d_i = (d_{ijk})$ as an $r_i \times r_{i-1}$ -matrix with entries from $\mathcal{O}_{S \times U}(W)$.

By § 1 we have a \mathcal{O}_S -algebra homomorphism

$$\mathcal{O}_{S\times W}(S\times W) \rightarrow \mathcal{H}(S, B(K))$$
.

From the matrix (d_{ijk}) we get by this homomorphism a morphism d_i :

$$V_0 \to \mathcal{L}\left(B\left(K\right)^{r_i}, B\left(K\right)^{r_{i-1}}\right) = \mathcal{L}\left(B\left(K, \mathcal{L}_i(s)\right), B\left(K, \mathcal{L}_{i-1}(s)\right)\right).$$

(Here V_0 is some neighbourhood of s_0) such that $d_i(s) = d_i(s)$ for each $s \in V_0$. In other words we have a sequence of Banach vector bundle morphisms

$$\begin{array}{ccc} d_p & \widetilde{d}_1 \\ 0 \rightarrow B(K, \mathcal{L}_p) \rightarrow \dots \rightarrow B(K, \mathcal{L}_0). \end{array}$$

Using the fact that $\mathcal{O}_{S\times U}(S\times U)\to \mathcal{H}(S,B(K))$ is an \mathcal{O}_S -algebra homomorphism, it easily follows that (B) is complex of Banach vector bundles over S.

Now K is $\mathscr{E}(s_0)$ -privileged, thus

$$0 \to B\left(K, \, \mathcal{L}_p\left(s_0\right)\right) \stackrel{d_1(s_0)}{\to} \dots \stackrel{}{\to} B\left(K, \, \mathcal{L}_0\left(s_0\right)\right)$$

is split exact, so by theorem III.1

$$\tilde{d}_{p}|V \quad \tilde{d}_{i}|V
0 \to B(K, \mathcal{L}_{p})|V \to \dots \to B(K, \mathcal{L}_{0})|V$$

is split exact for some neighbourhood V of s_0 .

Because $d_i(s) = d_i(s)$ and the sequence (A) is exact part (a) of the theorem follows.

(b) $B(K, \mathcal{L}_0)|V$ splits as the direct sum of im d_1 and a bundle E_V , such that $E_{V,s} \simeq B(K, \mathcal{E}(s))$, for each $s \in V$. We must show that these bundle structures fit together globally.

Suppose therefore that V is open in S' and that

$$\begin{aligned} d_{p} & d_{2} & d_{1} \\ 0 \rightarrow \mathcal{L}_{p} \rightarrow \dots \rightarrow \mathcal{L}_{1} \rightarrow \mathcal{L}_{0} \rightarrow \mathcal{E}_{|V \times V_{2}} \rightarrow 0 \\ d_{p}^{'} & d_{2}^{'} & d_{1}^{'} \\ 0 \rightarrow \mathcal{L}_{p}^{'} \rightarrow \dots \rightarrow \mathcal{L}_{1}^{'} \rightarrow \mathcal{L}_{0}^{'} \rightarrow \mathcal{E}_{|V \times V_{2}} \rightarrow 0 \end{aligned}$$

are free resolutions of ξ over $V \times V_2$.

If V_1 , V_2 are open polycylinders, we can find an $\mathcal{O}_{S\times U}$ -homomorphism $\phi_0: \mathcal{L}_0 \to \mathcal{L}_0'$ such that

$$\mathcal{L}_{0}^{'} \xrightarrow{\varepsilon'} \mathcal{E}_{|V \times V_{2}} \to 0$$

$$\phi_{0} \uparrow \qquad ||$$

$$\mathcal{L}_{0} \xrightarrow{\varepsilon} \mathcal{E}_{|V \times V_{2}} \to 0$$

commutes. ϕ_0 determines a bundle morphism $\overset{\sim}{\phi}_0: B(K, \mathcal{L}_0) \to B(K, \mathcal{L}_0')$. $B(K, \mathcal{L}_0')$ (resp. $B(K, \mathcal{L}_0')$) splits as $(\text{im } \tilde{d}_1) \otimes E_V$ [Resp. $(\text{im } \tilde{d}_1') \otimes E_V'$].

Let p' be the projection morphism: $B(K, \mathcal{L}_0) \to E_V'$ with kernel im d_1' , and put $\phi = p' \circ \phi_0 | E_V$.

The commutative diagram

and the open mapping theorem shows that $\phi(s)$ is an isomorphism of Banach spaces for each $s \in V$, so $\widetilde{\phi}: E_V \to E_V'$ is a bundle isomorphism. We also notice that $\widetilde{\phi}$ depends only on the choice of splittings in $B(K, \mathcal{L}_0)$ and $B(K, \mathcal{L}_0')$, and not on the choice of $\widetilde{\phi}_0$. This ends the proof of the theorem.

Remark: Consider the general situation where X and S are analytic spaces, and $\pi: X \to S$ is a morphism, $\mathscr E$ an $\mathscr O_X$ -module. To study the local dependence of $\mathscr E$ on S, one can imbed an open set X' in X in the open set $U \subset \mathbb C^n$. The morphism $\phi: X' \to U$, $\pi: X' \to S$ determine the imbedding $\pi \times \phi: X' \to S \times U$ such that the diagram commutes. $\mathscr E$ can be extended by zero into a sheaf $\mathscr E'$ over $U \times S$. Obviously this sheaf $\mathscr E'$ is S-flat iff $\mathscr E$ is S-flat.

Therefore theorem 1 makes clear also this general situation.

Corollary: If $\pi: X \to S$ is a morphism and $\mathscr E$ a coherent $\mathscr O_X$ -module. Then $\pi \mid \operatorname{Supp}\,(\mathscr E)$ is an open map.

Proof: Suppose as above that X is imbedded in $S \times U$, and \mathscr{E} in extended by zero to $S \times U$. Let $x_0 \in \text{Supp } \mathscr{E}$, and V be a neighbourhood of x_0 in $S \times U$. Let $s_0 = \pi(x_0)$ and choose an $\mathscr{E}(s_0)$ -privileged polycylinder K in U, such that $\{s_0\} \times K \subset V$, over some neighbourhood W of s_0 . We have the Banach bundle $B(K, \mathscr{E}|\pi^{-1}(W))$, whose fiber over s is $B(K, \mathscr{E}(s))$. Since $x_0 \in \text{Supp } \mathscr{E}(s_0)$ and K is a neighbourhood of x_0 , $B(K; \mathscr{E}(s)) \neq 0$. As all the fibers are isomorphic, then for all $s \in U$, $B(K; \mathscr{E}(s)) \neq 0$ and therefore $\{s\} \times K \cap \text{Supp } \mathscr{E} \neq 0$, and $s \in \pi$ (Supp \mathscr{E}). This proves that π is open.