

6. The Atiyah-Hodge theorem

Objektyp: **Chapter**

Zeitschrift: **L'Enseignement Mathématique**

Band (Jahr): **14 (1968)**

Heft 1: **L'ENSEIGNEMENT MATHÉMATIQUE**

PDF erstellt am: **21.07.2024**

Nutzungsbedingungen

Die ETH-Bibliothek ist Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Inhalten der Zeitschriften. Die Rechte liegen in der Regel bei den Herausgebern. Die auf der Plattform e-periodica veröffentlichten Dokumente stehen für nicht-kommerzielle Zwecke in Lehre und Forschung sowie für die private Nutzung frei zur Verfügung. Einzelne Dateien oder Ausdrucke aus diesem Angebot können zusammen mit diesen Nutzungsbedingungen und den korrekten Herkunftsbezeichnungen weitergegeben werden. Das Veröffentlichen von Bildern in Print- und Online-Publikationen ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Die systematische Speicherung von Teilen des elektronischen Angebots auf anderen Servern bedarf ebenfalls des schriftlichen Einverständnisses der Rechteinhaber.

Haftungsausschluss

Alle Angaben erfolgen ohne Gewähr für Vollständigkeit oder Richtigkeit. Es wird keine Haftung übernommen für Schäden durch die Verwendung von Informationen aus diesem Online-Angebot oder durch das Fehlen von Informationen. Dies gilt auch für Inhalte Dritter, die über dieses Angebot zugänglich sind.

where f is the meromorphic function determined by s_a by the procedure described just before Th. 4.1.

Now let X be a compact submanifold of \mathbf{P}^n and consider hyperplanes H_c in \mathbf{P}^n , given in homogeneous coordinates z_0, \dots, z_n by equations

$$\sum_0^n c_j z_j = 0 \text{ where } c = (c_0, \dots, c_n) \neq 0.$$

Theorem 5.2. There is an open dense set Ω in \mathbf{C}^{n+1} such that if $c = (c_0, \dots, c_n) \in \Omega$, the hyperplane section $D_c = H_c \cap X$ is a non-singular analytic subset of X .

The proof is omitted here.

Let $D = H \cap X$ be a non-singular hyperplane section of X . To D is then associated a positive line bundle F on X (see Sect. 4). By Kodaira's vanishing theorem there is a k_0 such that

$$H^q(X, \Omega^p \otimes \underline{F}^k) = 0, \quad (\forall q \geq 1, \forall k \geq k_0).$$

Using the isomorphism in Lemma 5.1, we have therefore proved.

Lemma 5.3. If D is a non-singular hyperplane section of a compact submanifold X of \mathbf{P}^n , then there exists k_0 such that

$$H^q(X, \Omega^p(k, D)) = 0, \quad (\forall q \geq 1, \forall k \geq k_0).$$

6. THE ATIYAH-HODGE THEOREM

We first recall two well-known theorems.

Let X be a paracompact C^∞ manifold and let \mathcal{E}^p be the sheaf of germs of C^∞ p -forms on X ($p=0, 1, \dots$).

Then the sequence

$$0 \rightarrow \mathbf{C} \xrightarrow{i} \mathcal{E}^0 \xrightarrow{d} \mathcal{E}^1 \xrightarrow{d} \mathcal{E}^2 \xrightarrow{d} \dots \quad (6.1)$$

is exact (Poincaré's lemma), and

$$H^q(X, \mathcal{E}^p) = 0, \quad (\forall q \geq 1, \forall p \geq 0), \quad (6.2)$$

because the \mathcal{E}^p are fine sheaves, i.e. they have partitions of unity. From (6.1) we get the sequence

$$0 \rightarrow \Gamma(X, \mathcal{E}^0) \rightarrow \Gamma(X, \mathcal{E}^1) \rightarrow \dots,$$

which need not be exact. Put

$$H^p(\mathcal{E}) = \frac{\text{Ker}(\Gamma(X, \mathcal{E}^p) \rightarrow \Gamma(X, \mathcal{E}^{p+1}))}{\text{Im}(\Gamma(X, \mathcal{E}^{p-1}) \rightarrow \Gamma(X, \mathcal{E}^p))}, \quad (p \geq 0), \quad (6.3)$$

with $\mathcal{E}^{-1} = 0$. Then one has the following theorem of de Rham:

Theorem 6.1. There are natural isomorphisms

$$H^p(X, \mathbf{C}) \simeq H^p(\mathcal{E}), \quad (p \geq 0).$$

If X is a Stein manifold and Ω^p the sheaf of germs of holomorphic p -forms on X ($p=0, 1, \dots$), then the sequence

$$0 \rightarrow \mathbf{C} \xrightarrow{i} \Omega^0 \xrightarrow{d} \Omega^1 \xrightarrow{d} \Omega^2 \rightarrow \dots \quad (6.4)$$

is exact (Gnothendieck's lemma), and

$$H^q(X, \Omega^p) = 0, \quad (\forall q \geq 1, \forall p \geq 0) \quad (6.5)$$

(Cartan's Theorem B). Put

$$H^p(\Omega) = \frac{\text{Ker}(\Gamma(X, \Omega^p) \rightarrow \Gamma(X, \Omega^{p+1}))}{\text{Im}(\Gamma(X, \Omega^{p-1}) \rightarrow \Gamma(X, \Omega^p))}, \quad (p \geq 0)$$

with $\Omega^{-1} = 0$. Then one has the following theorem

Theorem 6.2. There are natural isomorphisms

$$H^p(X, \mathbf{C}) \simeq H^p(\Omega), \quad (p \geq 0).$$

Theorems 6.1 and 6.2 both follow if one applies the following lemma to the exact sequences (6.1) and (6.4), respectively:

Lemma 6.3. Let X be a paracompact Hausdorff space and

$$0 \rightarrow F \xrightarrow{i} F_0 \xrightarrow{d_0} F_1 \xrightarrow{d_1} \dots \quad (6.6)$$

an exact sequence of sheaves of abelian groups, such that

$$H^q(X, F_p) = 0, \quad (\forall q \geq 1, \forall p \geq 0). \quad (6.7)$$

Then there are natural isomorphisms

$$H^p(X, F) \simeq \text{Ker } d_p^* / \text{Im } d_{p-1}^*, \quad (p \geq 0),$$

where d_p^* is the mapping $\Gamma(X, F_p) \rightarrow \Gamma(X, F_{p+1})$ induced by (6.6) (with $F_{-1}=0$).

Proof. Put $Z_p = \text{Ker } d_p \subset F_p$. Then the exactness of (6.6) gives short exact sequences

$$0 \rightarrow Z_{p-1} \rightarrow F_{p-1} \rightarrow Z_p \rightarrow 0, \quad (p \geq 1), \quad (6.8)$$

from which we get long exact sequences of cohomology groups, which we write in part:

$$H^q(X, F_{p-1}) \rightarrow H^q(X, Z_p) \rightarrow H^{q+1}(X, Z_{p-1}) \rightarrow H^{q+1}(X, F_{p-1}),$$

$$(q \geq 0, p \geq 1). \quad (6.9)$$

When $q \geq 1$, we get from (6.7) and (6.9)

$$H^q(X, Z_p) \simeq H^{q+1}(X, Z_{p-1}), \quad (p \geq 1).$$

Since F is isomorphic to Z_0 , we therefore have

$$H^p(X, F) \simeq H^{p-1}(X, Z_1) \simeq \dots \simeq H^1(X, Z_{p-1}), \quad (p \geq 1). \quad (6.10)$$

When $q = 0$, (6.9) gives an exact sequence

$$\Gamma(X, F_{p-1}) \xrightarrow{d_{p-1}^*} \Gamma(X, Z_p) \rightarrow H^1(X, Z_{p-1}) \rightarrow 0,$$

and thus

$$H^1(X, Z_{p-1}) \simeq \Gamma(X, F_{p-1}) / \text{Im } d_{p-1}^* = \text{Ker } d_p^* / \text{Im } d_{p-1}^*,$$

which together with (6.10) proves the lemma when $p \geq 1$.

To prove it for $p = 0$, we observe that the exact sequence

$$0 \rightarrow F = Z_0 \rightarrow F_0 \rightarrow Z_1 \rightarrow 0$$

gives an exact sequence

$$0 \rightarrow \Gamma(X, F) \rightarrow \Gamma(X, F_0) \xrightarrow{d_0^*} \Gamma(X, Z_1)$$

and thus

$$H^0(X, F) = \Gamma(X, F) \simeq \text{Ker } d_0^* = \text{Ker } d_0^* / \text{Im } d_{-1}^*.$$

Now let V be a compact submanifold of \mathbf{P}^n and D a non-singular hyperplane section of V . Then $X = V - D$ is imbedded as a closed submanifold of \mathbf{C}^n , and in particular it is a Stein manifold.

Let $\Omega^p(D) = \Omega^p(V, D)$ be the sheaf of germs of meromorphic p -forms on V with poles only on D , $p = 0, 1, \dots$. Then we have a sequence (not necessarily exact)

$$0 \rightarrow \mathbf{C} \rightarrow \Omega^0(D) \xrightarrow{d'_0} \Omega^1(D) \xrightarrow{d'_1} \dots$$

Define

$$\tilde{H}^p = \text{Ker } d'_p / \text{Im } d'_{p-1}, \quad (p \geq 0),$$

where d'_p is the induced mapping $\Gamma(V, \Omega^p(D)) \rightarrow \Gamma(V, \Omega^{p+1}(D))$, (with $\Omega^{-1}(D) = 0$). We shall prove the following theorem of Atiyah and Hodge:

Theorem 6.4. There are natural isomorphisms

$$H^p(X, \mathbf{C}) \simeq \tilde{H}^p, \quad (p \geq 0).$$

Proof. Let $\mathcal{E}^p(D) = \mathcal{E}^p(V, D)$ be the sheaf on V , which is defined by the presheaf that to every open subset U of V associates the module of C^∞ p -forms on $U - D$. Then we have a commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & \Omega^0(D) & \xrightarrow{d'_0} & \Omega^1(D) & \xrightarrow{d'_1} & \dots \\ & & \downarrow & & \downarrow & & \\ 0 & \rightarrow & \mathcal{E}^0(D) & \xrightarrow{d_0} & \mathcal{E}^1(D) & \xrightarrow{d_1} & \dots, \end{array} \quad (6.11)$$

where the vertical mappings are the inclusions.

For every p , we can regard $\Omega^p(D)$ as the direct limit of $\Omega^p(k, D) = \Omega^p(V, k, D)$ as $k \rightarrow \infty$. Now, by Lemma 5.3, there is a k_0 such that $H^q(V, \Omega^p(k, D)) = 0$ for $q \geq 1$ and $k \geq k_0$. Hence we can conclude that

$$H^q(V, \Omega^p(D)) = 0, \quad (\forall q \geq 1, \forall p \geq 0). \quad (6.12)$$

We also have

$$H^q(V, \mathcal{E}^p(D)) = 0, \quad (\forall q \geq 1, \forall p \geq 0), \quad (6.13)$$

because $\mathcal{E}^p(D)$ are fine sheaves.

From (6.11) we get a diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & \Gamma(V, \Omega^0(D)) & \xrightarrow{d_0'^*} & \Gamma(V, \Omega^1(D)) & \xrightarrow{d_1'^*} & \dots \\ & & \downarrow & & \downarrow & & \\ 0 & \rightarrow & \Gamma(V, \mathcal{E}^0(D)) & \xrightarrow{d_0^*} & \Gamma(V, \mathcal{E}^1(D)) & \xrightarrow{d_1^*} & \dots \end{array} \quad (6.14)$$

The cohomology groups of the upper row in (6.14) are \tilde{H}^p , ($p=0, 1, \dots$), and those of the lower row are the groups $H^p(\mathcal{E})$ in (6.3), because one can obviously identify $\Gamma(V, \mathcal{E}^p(D))$ with $\Gamma(X, \mathcal{E}^p)$. In view of de Rham's theorem, it is therefore sufficient to prove that the vertical mappings in (6.14) induce isomorphisms between the cohomology groups of the rows.

To do this, we will use the following theorem:

Theorem 6.5. Let X be a paracompact Hausdorff space and suppose that two complexes \mathcal{E} and \mathcal{E}' of sheaves over X are given, together with mappings h such that the diagram

$$\begin{array}{ccccccc} 0 & \xrightarrow{d'_{-1}} & \mathcal{E}'_0 & \xrightarrow{d'_0} & \mathcal{E}'_1 & \xrightarrow{d'_1} & \mathcal{E}'_2 \rightarrow \dots \\ & & \downarrow h_0 & & \downarrow h_1 & & \downarrow h_2 \\ 0 & \xrightarrow{d_{-1}} & \mathcal{E}_0 & \xrightarrow{d_0} & \mathcal{E}_1 & \xrightarrow{d_1} & \mathcal{E}_2 \rightarrow \dots \end{array} \quad (6.15)$$

is commutative. (The rows are not supposed to be exact, but we have $dd = 0$ and $d'd' = 0$.)

Suppose further that

$$H^q(X, \mathcal{E}_k) = 0 \text{ and } H^q(X, \mathcal{E}'_k) = 0, \quad (\forall q \geq 1 \quad \forall k \geq 0), \quad (6.16)$$

and that for all $k \geq 0$, h induces *isomorphisms* of the cohomology sheaves

$$h_k : \text{Ker } d'_k / \text{Im } d'_{k-1} \rightarrow \text{Ker } d'_k / \text{Im } d'_{k-1}. \quad (6.17)$$

Then it follows that h induces *isomorphisms* for all $k \geq 0$:

$$h_k^* : \text{Ker } d'_k / \text{Im } d'_{k-1} \rightarrow \text{Ker } d'_k / \text{Im } d'_k, \quad (6.18)$$

where d^* and d'^* are the mappings induced by d and d' between the groups of global sections of the given sheaves:

$$\begin{array}{ccccccc} 0 & \rightarrow & \Gamma(X, \mathcal{E}'_0) & \xrightarrow{d'_{0^*}} & \Gamma(X, \mathcal{E}'_1) & \xrightarrow{d'_{1^*}} & \Gamma(X, \mathcal{E}'_2) \xrightarrow{d'_{2^*}} \dots \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \rightarrow & \Gamma(X, \mathcal{E}_0) & \xrightarrow{d_{0^*}} & \Gamma(X, \mathcal{E}_1) & \xrightarrow{d_{1^*}} & \Gamma(X, \mathcal{E}_2) \xrightarrow{d_{2^*}} \dots \end{array} \quad (6.19)$$

Proof of Theorem 6.5: Taking $F = 0$ in Lemma 6.3, we see that exactness of a sequence

$$0 \rightarrow F_0 \xrightarrow{\delta_0} F_1 \xrightarrow{\delta_1} \dots \quad (6.20)$$

together with the conditions (6.7) implies exactness of the sequence

$$0 \rightarrow \Gamma(X, F_0) \xrightarrow{\delta_{0^*}} \Gamma(X, F_1) \xrightarrow{\delta_{1^*}} \dots \quad (6.21)$$

With the help of the “mapping cylinder” construction we will reduce the proof of Theorem 6.5 to an application of this fact. We define the sheaves and mappings in (6.20) as follows (where we take $\mathcal{E}_{-1} = 0$).

$$F_k = \mathcal{E}'_k \oplus \mathcal{E}_{k-1}; \quad \delta_k(a', a) = (d'_k a', d_{k-1} a + (-1)^k h_k a').$$

Since (6.7) follows from (6.16), it is enough to prove that the fact that (6.17) are isomorphisms for all $k \geq 0$ implies that (6.20) is exact, and that the exactness of (6.21) implies that (6.18) are isomorphisms. But we see that (6.21) is obtained from (6.19) by the same construction which lead from (6.15) to (6.20). Thus the proof of Theorem 6.5 will be complete if we apply the following lemma in one direction to (6.15) and (6.20) and in the other direction to (6.19) and (6.21).

Lemma 6.6. Let (6.15) be any diagram of the type considered above (with no condition (6.16) supposed) and such that (6.17) are isomorphisms,

and let (6.20) be the corresponding sequence given by the above construction. Then (6.18) are isomorphisms if and only if (6.20) is exact.

Proof of Lemma 6.6. By straightforward calculation we see that $\delta\delta = 0$. Clearly h_k^* is injective if and only if

(i) For every $a' \in \mathcal{E}'_k$ and $a \in \mathcal{E}_{k-1}$ with $d'a' = 0$ and $ha' = da$ there exists $b' \in \mathcal{E}_{k-1}$ with $a' = d'b'$.

Similarly, h_{k-1}^* is surjective if and only if

(ii) For every $b \in \mathcal{E}_{k-1}$ with $db = 0$ there exist $f' \in \mathcal{E}'_{k-1}$ and $c \in \mathcal{E}_{k-2}$ with $d'f' = 0$ and $dc = b - hf'$.

Finally we want to express in a similar way the condition that (6.20) is exact at F_k . If $\alpha \in F_k$ and $\delta\alpha = 0$, the condition is that $\alpha = \delta\gamma$ for some $\gamma \in F_{k-1}$. To get rid of the signs we write $\alpha = ((-1)^{k-1} a', a)$ and $\gamma = ((-1)^{k-1} c', c)$. Then the condition may be written:

(iii) For every $a' \in \mathcal{E}'_k$ and $a \in \mathcal{E}_{k-1}$ with $d'a' = 0$ and $ha' = da$, there exist $c' \in \mathcal{E}'_{k-1}$ and $c \in \mathcal{E}_{k-2}$ such that $d'c' = a'$ and $dc = a - hc'$.

Trivially, (iii) \Rightarrow (i). Taking $a' = 0$ and $a = b$, we see that (iii) \Rightarrow (ii). To complete the proof we will then assume that (i) and (ii) holds and prove (iii).

Let a' and a be as in (iii). From (i) we get b' . Then $d(a - hb') = da - ha' = 0$ by hypothesis. Apply (ii) with $b = a - hb'$ and define $c' = b' - f'$. Then $d'c' = a'$ and $a - hc' = a - hb' - hf' = dc$, which completes the proof of Theorem 6.5.

Continuation of the proof of Theorem 6.4. It only remains to prove that we may take $\mathcal{E}' = \Omega(V, D)$ and $\mathcal{E} = \mathcal{E}(V, D)$ in Theorem 6.5. In view of (6.12) and (6.13), it suffices to check that the mappings (6.17) are isomorphisms for all $k \geq 0$.

At any point of $V - D$, both cohomologies are trivial, and there is nothing to prove. Thus it only remains to consider points in D . Let us choose a neighbourhood U of such a point a and local coordinates (z_1, \dots, z_n) in U in such a way that U is the polycylinder given by $|z_i| < 1$, ($i=1, 2, \dots, n$), $U \cap D$ is the part of U where $z_1 = 0$, and a is the point where all $z_i = 0$. Now $U - D = (E - \{0\}) \times E^{n-1}$, where E is the open unit disk in \mathbb{C} . Since the second factor is contractible, the mapping $(E - \{0\}) \times E^{n-1} \rightarrow E - \{0\}$ induces isomorphisms of $\mathcal{H}'^k = \text{Ker } d'_k / \text{Im } d'_{k-1}$. Thus, by de Rham's theorem, $\mathcal{H}'^k = 0$ if $k \geq 2$, and $\frac{dz_1}{z_1}$ forms a basis for \mathcal{H}'^1 . We claim

that the same is true for $\mathcal{H}^k = \text{Ker}d_k / \text{Im}d'_{k-1}$. Since h is the natural inclusion, this would complete the proof.

All forms considered in the sequel are meromorphic in U and have poles at most on D . If $\gamma = \sum a_{i_1 \dots i_k} dz_{i_1} \wedge \dots \wedge dz_{i_k}$ is a k -form, we set $\frac{\partial \gamma}{\partial z_v}$

$$= \sum \frac{\partial a_{i_1 \dots i_k}}{\partial z_v} dz_{i_1} \wedge \dots \wedge dz_{i_k}. \text{ Then } d\gamma = \sum_{v=1}^n dz_v \wedge \frac{\partial \gamma}{\partial z_v}.$$

We also introduce the norm $|\gamma| = \sup |a_{i_1 \dots i_k}|$. If γ does not involve dz_1 , we define $\delta\gamma = \sum_{v=2}^n dz_v \wedge \frac{\partial \gamma}{\partial z_v}$. We will need the following lemma.

Lemma 6.8. If γ is a k -form ($k \geq 1$) not involving dz_1 , and if $\delta\gamma = 0$, then there exists a form γ' not involving dz_1 and such that $\delta\gamma' = \gamma$.

Proof of Lemma 6.8. We first suppose that γ is a holomorphic. Then we have $\gamma = \sum_{v \geq 0} z_1^v \beta_v$ and $0 = \sum z_1^v \delta \beta_v$ with convergence for $|z_1| < 1$.

Thus for any $\rho > 1$ we have $|\beta_v| \leq C \rho^v$.

By the ordinary lemma in a polydisk, there exists β'_v such that $\beta_v = \delta\beta'_v$. The mapping $\beta_v \rightarrow \beta'_v$ is a mapping onto the Fréchet space of all closed $(k-1)$ -forms. Thus, by the open mapping theorem, we see that the equation $\delta\beta'_v = \beta_v$ has a solution β'_v with $|\beta'_v| \leq C' \rho^v$ on any smaller polydisk P , (C' being a constant which may depend on P). Thus

$\gamma' = \sum_{v \geq 0} z_1^v \beta'_v$ is convergent in $|z_1| < \frac{1}{\rho}$, which proves the lemma in the

holomorphic case. In the general case we have $\gamma = \sum_{i=0}^k z_1^{-i} \gamma_i$ with holo-

morphic forms γ_i . We apply the first case to the γ_i and get $\gamma' = \sum_{i=0}^k z_1^{-i} \gamma'_i$

which completes the proof of the lemma.

End of proof of Theorem 6.4. Let ω be any k -form. Then we may write $\omega = dz_1 \wedge \alpha + \beta$, where α and β do not involve dz_1 . Suppose now that $d\omega = 0$. This condition takes the form

$$dz_1 \wedge \delta\alpha + dz_1 \wedge \frac{\partial \beta}{\partial z_1} + \delta\beta = 0, \tag{6.22}$$

which implies that $\delta\beta = 0$. By Lemma 6.8, we have $\beta = \delta\beta'$ for some $(k-1)$ -form β' .

Now ω takes the form

$$\omega - d\beta' = dz_1 \wedge \alpha'. \quad (6.23)$$

We distinguish the two cases $k > 1$ and $k = 1$. In the first case we get from (6.23)

$$dz_1 \wedge \delta\alpha' = 0,$$

which implies that $\delta\alpha' = 0$. Since α' is a form of type $q - 1 \geq 1$, we can apply once again Lemma 6.8 and get $\alpha' = \delta\alpha''$. Thus $dz_1 \wedge \alpha' = d(dz_1 \wedge \alpha'')$, and we get $\omega = d(\beta' + dz_1 \wedge \alpha'')$. This proves that the cohomology under consideration is trivial for $k > 1$.

Finally, in the case $k = 1$, α' is a meromorphic function, independent of z_2, \dots, z_n . Thus by (6.23), $\omega = d\gamma$ for some γ if and only if in the Laurent expansion of α' the coefficient of z_1^{-1} is zero. Thus the cohomology in dimension 1 is generated by $z_1^{-1} dz_1$, which completes the proof of Theorem 6.4.

7. LEFSCHETZ' THEOREM ON HYPERPLANE SECTIONS

The Lefschetz theorem in the slightly more general setting proved by Andreotti and Frankel [1], is the following:

Theorem 7.1. Let V be a submanifold of \mathbf{P}^n of complex dimension d and let D be a hyperplane section of V (not necessarily non-singular). Then there are natural isomorphisms

$$H^q(V, \mathbf{Z}) \simeq H^q(D, \mathbf{Z}), \quad (\forall q < d - 1),$$

and a natural injection

$$H^{d-1}(V, \mathbf{Z}) \rightarrow H^{d-1}(D, \mathbf{Z}).$$

Proof. $X = V - D$ is a Stein manifold, since it is imbedded as a closed submanifold of \mathbf{C}^n . Now one knows that

$$H^q(V, D, \mathbf{Z}) \simeq H_c^q(X, \mathbf{Z}), \quad (7.1)$$

where the c indicates cohomology with compact support. On the other hand, since X is a topological manifold of dimension $2d$, Poincaré duality gives

$$H_c^q(X, \mathbf{Z}) \simeq H_{2d-q}(X, \mathbf{Z}). \quad (7.2)$$

Now we shall use the following theorem: