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i.e. mod  $\psi_{\Sigma}(s)$  and for  $s = 0$ ,  $e_2 \equiv ... \equiv e_{\kappa_1} \equiv e_{n+1} \equiv 0$  but  $e_1 \neq 0$  and for  $s = \infty$ ,  $e_1 \equiv ... \equiv e_{\kappa_1} \equiv 0$  and  $e_{n+1} \neq 0$ . It follows that the vectors

$$
\varepsilon_1(\psi_{\Sigma}(s)),\,...,\,\varepsilon_{\kappa_1}(\psi_{\Sigma}(s)),\,\varepsilon_{n+1}(\psi_{\Sigma}(s))
$$

span a one-dimensional subspace of  $\xi_m(\psi_{\Sigma}(s))$  for all s so that  $E(\Sigma) \simeq \psi_{\Sigma}^{\perp} \xi_m$ contains a line bundle  $L_1$  which admits at least  $\kappa_1 + 1$  linearly independent holomorphic sections viz. the  $\varepsilon_1$ , ...,  $\varepsilon_{\kappa_1}$ ,  $\varepsilon_{n+1}$ . Similar relations hold for

$$
\varepsilon_{\kappa_1+\ldots+\kappa_{i-1}+1},\ldots,\varepsilon_{\kappa_1+\ldots+\kappa_i},\varepsilon_{n+1}
$$

for all  $i = 1, ..., m$  giving us subbundles  $L_i$ ,  $i = 1, ..., m$  which admit at least  $\kappa_i$  $+$  1 linearly independent holomorphic sections. This exhausts the  $\varepsilon_i$  and because the  $\varepsilon_1(x)$ , ...,  $\varepsilon_{n+m}(x)$  span  $\xi_m(x)$  for all  $x \in G_n(C^{n+m})$  it follows that  $E(\Sigma)$  $= \bigoplus L_i$ . As the pullback of the bundle  $\xi_m$ ,  $E(\Sigma)$  itself is a subbundle of an  $(n + m)$ dimensional trivial bundle. Because  $P<sup>1</sup>(C)$  is projective it follows (as before) that  $E(\Sigma)$  has at most  $n + m$  linearly independent holomorphic sections. But L<sub>i</sub> has at least  $\kappa_i + 1$  linearly independent sections, hence  $\bigoplus L_i$  has at least  $\Sigma(\kappa_i + 1) = n$ + m linearly independent sections which proves that  $L_i$  has precisely  $\kappa_i + 1$ linearly independent sections and hence identifies  $L_i$  as the bundle  $L(\kappa_i)$ described above in (8.5). We have reproved the theorem of Hermann and Martin [14].

8.12. Theorem. Keeping the notations introduced above in (8.10) and (8.5) we have  $E(\Sigma) \simeq \bigoplus_{i=1}^{m} L(\kappa_i)$ .  $i = 1$ 

Still another proof of this theorem, using the Riemann-Roch theorem is found in Byrnes [33].

8.13. The Correspondence B. (cf. the diagram in section <sup>5</sup> above). The mapping  $\Sigma \mapsto E(\Sigma)$  is obviously continuous. Thus the result  $U(\kappa) \supset U(\lambda) \leftrightarrow \kappa$  $\lambda > \lambda$  can be deduced from Shatz's theorem (cf. 2.9). Inversely Shatz's theorem for positive bundles over  $P^1(C)$  can be deduced from the result on feedback orbits because every positive bundle arises as an  $E(\Sigma)$ . By tensoring with a suitable  $L(r)$ , r high enough, the result is then extended to arbitrary bundles over  $P^1(C)$ .

# 9. Vectorbundles, systems and Schubert cells

9.1. Partitions and Schubert-cells. Let  $\kappa$  be a partition of n. To  $\kappa$  we associate the following increasing sequence of *n* numbers  $\tau(\kappa)$ .



Let  $\tau_j(\kappa)$ ,  $j = 1, ..., n$ , be the *j*-th element of this sequence. It is an easy exercise to check that

(9.3)  $\kappa > \lambda \leftrightarrow \tau_i(\kappa) \geq \tau_i(\lambda)$  for all  $i = 1, ..., n$ .

Thus the specialization order is <sup>a</sup> suborder of the inclusion ordering between closed Schubert cells, because

$$
SC(\tau) \supset SC(\tau') \leftrightarrow \tau_i \geq \tau'_i, i = 1, ..., n.
$$

And in turn, as we saw above in section 4, the Schubert-cell order is <sup>a</sup> quotient of the Bruhat order on the Weyl group  $S_{n+m}$ .

9.4. Systems and Schubert Cells. Let  $(A, B) \in L_{m,n}^{cr}$  be a system and as in section 8.8 consider the associated holomorphic morphism  $\psi_{\Sigma} : \mathbf{P}^{1}(\mathbf{C})$  $\rightarrow G_n(C^{n+m})$ . Suppose that  $(A, B)$  are in Brunovsky canonical form. Then simple inspection of the matrix  $(sI - A; B)$  (cf. the example below proposition 8.11) shows that  $Im \psi_{\Sigma} \subset SC(\tau(\kappa))$ , where  $\kappa = \kappa(A, B)$ . Now let  $(A, B)$  be any system in  $L_{m,n}^{cr}$ . Then it is feedback equivalent to one in Brunovsky canonical form so that  $(sI - A; B) = P(sI - A_0; B_0)Q$  for certain constant invertible matrices P, Q where  $(A_0, B_0)$  is a canonical pair. Premultiplication with P does not change  $\psi_{\Sigma}$ and postmultiplication with Q induces an automorphism of  $G_n(C^{n+m})$  taking Schubert-cell  $SC(\tau(\kappa))$  into another Schubert-cell of the same dimension type. Thus we have shown :

9.5. Theorem. Let  $\Sigma \in L_{m,n}^{cr}$ ,  $\kappa = \kappa(\Sigma)$  and let  $\psi_{\Sigma} : \mathbf{P}^1(\mathbf{C}) \to \mathbf{G}_n(\mathbf{C}^{n+m})$ be the Hermann-Martin morphism of  $\Sigma$ . Then there is a Schubert-cell  $SC(A)$ ,  $A = (A_1, ..., A_n)$  such that  $Im \psi_{\Sigma} \subset SC(A)$  and dim  $A_i = \tau_i(\kappa)$ , where  $\tau_i(\kappa)$ is defined by (9.2).

We will now show that the Schubert-cell  $SC(A)$  obtained in 9.5 is the smallest possible in the sense of the associated sequence of dimension numbers. We first prove a technical lemma.

9.6. Lemma. Let  $X(s)$  be the matrix, defined by a partition

 $\kappa_1 \geq \kappa_2 \geq ... \geq \kappa_m$ ,  $\kappa_1 + ... + \kappa_m = n$ ,

consisting of blocks  $X_i(s)$  where

$$
\underline{X}_{i}(s) = \begin{bmatrix} s & -1 & & & 0 \\ & s & -1 & & 0 \\ & & & \ddots & \\ 0 & 0 & & s & 1 \end{bmatrix} \kappa_{i} \times (\kappa_{i} + 1)
$$

and

$$
\underline{X}(s) = \begin{bmatrix} \underline{X}_1(s) & \cdots & 0 \\ 0 & \cdots & \underline{X}_1(s) \end{bmatrix} \quad n \times (n+m)
$$

Let B be an  $(m+n) \times \tau$  matrix of rank  $\tau$ . Then  $X(s)$ B has rank greater than or equal to  $\tau - t$  for almost all s where t is the largest number such that

 $\kappa_m$  +  $\kappa_{m-1}$  + ... +  $\kappa_{m-t+1}$  +  $t \le \tau$ .

*Proof.* We first consider the case that there is only one  $\kappa$ , i.e.,  $m = 1$ . We can assume that  $B$  is in column echelon form by postmultiplying by a nonsingular matrix if necessary. So  $B$  has the following form:

$$
\begin{bmatrix}\n0 & \dots & 0 \\
I_{\lambda_1} & 0 & \dots & 0 \\
x & 0 & \dots & 0 \\
0 & I_{\lambda_2} & 0 \\
x & x & 0 \dots & 0 \\
\vdots & \vdots & \vdots \\
0 & \dots & 0 & I_{\lambda_u} \\
x & x & x\n\end{bmatrix}\n\begin{bmatrix}\nr_1 \\
\lambda_1 \\
r_2 \\
\lambda_2 \\
\lambda_3 \\
\vdots \\
r_{u+1}\n\end{bmatrix}
$$

The  $x$ 's stand for possibly nonzero blocks. Write

$$
X(s) = s \begin{bmatrix} 1 & 0 \\ \vdots & \vdots \\ 0 & 1 & 0 \end{bmatrix} + \begin{bmatrix} 0 & -1 & 0 \\ \vdots & \vdots \\ 0 & 0 & 1 \end{bmatrix} = sA_1 + A_2
$$

where  $b_i$  is the *i*-th row.

and write

$$
B = \begin{bmatrix} b_1 \\ \vdots \\ b_{n+1} \end{bmatrix}
$$

$$
\begin{bmatrix} sh_1 - b_2 \end{bmatrix}
$$

Now  $X(s)B$ 

 $sb_1 - b_2$  $sb_{n-1}$   $b_n$  $sb_n + b_{n+1}$ 

We need to prove that  $X(s)B$  has the required rank. Assume that B has rank  $\tau$  and  $\tau \leq n$ . Let x be a  $\tau$  vector and assume that

 $X(s)Bx = 0$ 

We will show that either  $x = 0$  or the equation only holds for finitely many values of s. We first note that

$$
b_2x = sb_1x
$$
  
\n
$$
\vdots
$$
  
\n
$$
b_nx = s^{n-1}b_1x
$$
  
\n
$$
b_{n+1}x = -s^n b_1x
$$

Thus if  $b_1x = 0$  then  $b_ix = 0$  for all x. But since B has full rank this implies that  $x = 0$ . Thus we may assume that  $b_1x = 1$  and thus that  $r_1 = 0$ . So we have that  $x_1 = 1, x_2 = s, ..., x_{\lambda_1} = s^{\lambda_1-1}$ . If  $r_2 = 0$ , B is of the form  $\binom{I_{\tau}}{x}$  and the result

is obvious, so we can assume  $r_2 \neq 0$ . Then we have

$$
s b_{\lambda_1} x = b_{\lambda_1 + 1} x
$$

so that

$$
s^{\lambda_1} = b_{\lambda_1+1, 1} + b_{\lambda_1+1, 2} s + \dots + b_{\lambda_1+1, \lambda_1} s^{\lambda_1-1}
$$

and this question is satisfied for only finitely many s. Therefore we have shown that if there is a nonzero solution of  $X(s)Bx = 0$  then  $b_1x \neq 0$  and the solution can exist only for finitely many values of s. Thus in this case the rank of  $X(s)B$  is equal to  $\tau$  for almost all s. If B is invertible (rank of B equal to  $n + 1$ ) then the rank of  $X(s)B$  is equal to  $n = \text{rank } X(s) = (\text{rank } B) - 1$ .

Now let m be greater than or equal to two. Again put  $B$  into column echelon form and partition B in such a way that the pieces  $B_1$ , ...,  $B_m$  are still in column echelon form.



The product  $X(s)B$  has the form

 $X_1(s)B_1$  0 0  $X_2(s)B_2$  0  $\rm 0$  $X_m(s)B_m$  $\gamma$ 

It follows that the rank of  $X(s)B$  is equal to the sum of the ranks of the  $X_i(s)B_i$ . From before we have that rank  $X_i(s)B_i$  = rank  $B_i$  for all but finitely many s unless  $B_i$  is invertible in which case  $X_i(s)B_i$  = rank  $B_i - 1$ . This proves the proposition. We can now prove the theorem that relates the ordering on the Schubert cells to the ordering on the orbits of the feedback group.

9.7. Theorem. Let  $(F, G)$  be a controllable pair and let  $\psi$  be the associated morphism from  $\mathbf{P}^1(\mathbf{C})$  into  $\mathbf{G}_n(\mathbf{C}^{n+m})$ . Let  $A_1 \dots A_n$  be a sequence of subspaces of  $C^{n+m}$  such that  $\psi(P^1(C))$  is contained in the Schubert cell  $SC(A_1, ..., A_n)$ . Let  $\kappa_1$ , ...,  $\kappa_m$  be the Kronecker indices of  $(F, G)$  and for each i let  $p(i) = j$  iff

$$
\kappa_1 + \ldots + \kappa_j < i \leq \kappa_1 + \ldots + \kappa_{j+1} \, .
$$

Then dim  $A_i \geq i + p(i) = \tau_i(\kappa)$ .

*Proof.* It is a simple matter to check that  $\tau_i(\kappa)$  (cf. (9.2) above) is equal to i  $+$  p(i). We can assume that  $(F, G)$  is in Brunovsky canonical form. Suppose that dim  $A_i = t < i + p(i)$ . Then

$$
A_i = \{x \in \mathbb{C}^{n+m} : < b_j, x > = 0, j = 1, ..., n + m - t\}
$$

for certain linearly independent  $b_i$ . Let B be matrix whose columns are the  $b_i$ 's. Let  $P(s)$  be the space spanned by the rows of  $X(s)$ . Since  $\psi(P^1(C))$  is contained in  $SC(A_1, ..., A_n)$  we must have that  $dim(A_i \cap P(s)) \geq i$ . Thus the dimension of  $P(s)B$  is less than or equal to  $n - i$  which is the same as

$$
\text{rank } X(s)B \leqslant n-i \, .
$$

Now by the previous proposition rank  $X(s)B \geq n + m - t - l$  where l is the largest number such that

 $K_m + K_{m-1} + ... + K_{m-l+1} + l \leq n + m - t$ .

- (1)  $t < i + p(i)$  (by hypothesis)
- (2)  $n i \ge n + m t l$  or equivalently  $i \le t + l m$
- (3)  $\kappa_m + ... + \kappa_{m-l+1} + l \leq n + m t$
- (4)  $\kappa_1 + ... + \kappa_{p(i)} < i \leq \kappa_1 + ... + \kappa_{p(i)+1}$ .

Using (2) and (3) we have that

 $\kappa_m$  + ... +  $\kappa_{m-l+1} \leq n - i = \kappa_1 + ... + \kappa_m - i$ 

so we have  $i \leq \kappa_1 + ... + \kappa_{m-l}$  which implies  $m - l \geq p(i) + 1$  thus

$$
p(i) + i \leq m - l - 1 + i \leq (m - l - 1) + (t + l - m) = t - 1
$$

which contradicts (1). This proves the theorem.

9.7. Vectorbundles and Schubert cells. Because every positive vectorbundle over  $\mathbf{P}^1(\mathbf{C})$  arises as the bundle  $E(\Sigma)$  of some system  $\Sigma$  one has the obvious analogues of theorems 9.5 and 9.6 for positive bundles over  $P^1(C)$ . Here the morphism  $\psi_{\Sigma}$  must, of course, be replaced by the classifying morphism (cf. section 3.2 above) of a positive vector bundle E, and  $n + m$  and m are determined respectively as dim  $\Gamma(E, \mathbf{P}^1(\mathbf{C}))$  and dim E.

# 10. Deformations of representation homomorphisms AND SUBREPRESENTATIONS

10.1 On proving Inclusion Results for Representations. Suppose we have given <sup>a</sup> continuous family of homomorphisms of finite dimensional representations over C of <sup>a</sup> finite group <sup>G</sup>

$$
\pi_t: M \to V
$$

Suppose that  $Im \pi_t \simeq \rho$  for  $t \neq 0$  (and small) and that  $Im \pi_0 \simeq \rho_0$ . Then the representation  $\rho_0$  is a direct summand of the representation  $\rho$ . This is seen as follows. Because the category of finite dimensional complex representations of G is semisimple there is a homomorphism of representations  $\phi_0 : Im \pi_0 \rightarrow M$  such that  $\pi_0 \circ \phi_0 = id$ . Then  $\pi_t \circ \phi_0 : Im \phi_0 \to Im \pi_t$  is still injective for small t (by the continuity of  $\pi_t$ ) which gives us  $\rho_0$  as a subrepresentation and hence a direct summand of p.

It is almost equally easy to construct a surjective homomorphism  $Im \pi_t$  $\rightarrow$  Im  $\pi_0$ .