

# §5. Weyl Groups

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## § 4. APPENDIX

$R = R_{(0)} \oplus R_{(1)} \oplus \dots$  is a graded  $k$ -algebra with  $R_{(0)} = k$ . Let  $\mathfrak{m}$  be the maximal ideal  $\sum_{i=1}^{\infty} R_{(i)}$ . We assume that  $\hat{R}$  is a power series ring in finitely many variables. Obviously  $\hat{\mathfrak{m}}$  corresponds to the unique maximal ideal of the power series ring, whence  $\hat{R}/\hat{\mathfrak{m}}^d$  is always finite dimensional. Since  $\hat{\mathfrak{m}}^d$  is homogeneous, some tail  $\prod_{i=2}^{\infty} R_{(i)}$  must then lie in  $\hat{\mathfrak{m}}^d$ . It follows that the graded algebra of  $R$  for the  $\mathfrak{m}$ -adic filtration is isomorphic to the graded algebra of  $\hat{R}$  for the  $\hat{\mathfrak{m}}$ -adic filtration. The power series assumption implies that the latter is simply a polynomial ring with the standard grading.

Clearly  $\mathfrak{m}^2 \subset \sum_{j=2}^{\infty} R_{(j)}$ . Hence  $R_{(1)}$  injects into  $\mathfrak{m}/\mathfrak{m}^2$ . Choose a basis for  $R_{(1)}$  over  $k$  and extend it to a list of homogeneous elements  $x_1, \dots, x_n$  in  $\mathfrak{m}$  whose images constitute a basis for  $\mathfrak{m}/\mathfrak{m}^2$ . It is generally true for any commutative  $k$ -algebra  $R$  that when  $R/\mathfrak{m} = k$  and when the associated graded ring for the  $\mathfrak{m}$ -adic filtration is the symmetric algebra on  $\mathfrak{m}/\mathfrak{m}^2$ , that any basis for  $\mathfrak{m}/\mathfrak{m}^2$  pulls back to a set of algebraically independent elements in  $R$ . In particular,  $x_1, \dots, x_n$  are algebraically independent.

We use the given grading on  $R$  to prove that  $R = k[x_1, \dots, x_n]$ . Vacuously,  $R_{(0)} \subset k[x_1, \dots, x_n]$ . We have chosen the  $x_i$  so that  $R_{(1)}$  lies in their span, so  $R_{(1)} \subset k[x_1, \dots, x_n]$ . Assume, inductively, that  $d \geq 1$  and  $R_{(s)} \subset k[x_1, \dots, x_n]$  for all  $s \leq d$ . If  $y \in R_{(d+1)}$  then

$$y = \sum \lambda_i x_i + \sum u_j v_j$$

for some  $\lambda_i \in k$  and  $u_j, v_j \in \mathfrak{m}$ . Without loss of generality  $u_j$  and  $v_j$  are homogeneous and all the  $x_i$  and  $u_j v_j$  which appear in the formula lie in

$\bigcup_{t=1}^{d+1} R_{(t)}$ . This can only happen when  $u_j$  and  $v_j$  are in  $R_{(s)}$  for some  $s \leq d$ .

By induction,  $u_j$  and  $v_j$  are elements of  $k[x_1, \dots, x_n]$ . Therefore  $y \in k[x_1, \dots, x_n]$ .

## § 5. WEYL GROUPS

It seems to be part of the folklore for Lie theory that the converse of Theorem 8 fails to be true (cf. [4] VI§ 3 Ex. 2). Rather than being dead-ends, these examples serve as inspiration: the machinery of root systems will allow us to determine the correct necessary and sufficient conditions

for a multiplicative Shephard-Todd-Chevalley analogue. For the most part, we will follow the notation in [8].

Suppose that  $V$  is an  $n$ -dimensional complex vector space and  $G \subset GL(V)$ . By a  $G$ -lattice we mean a lattice in  $V$  (of rank  $n$ ) which is invariant under the action of  $G$ . The  $G$ -lattice  $A$  is effective if zero is the only element fixed by all members of  $G$ . Notice that  $A$  is effective if and only if the units of  $\mathbb{C}[A]^G$  are precisely the nonzero elements of  $\mathbb{C}$ .

PROPOSITION 12. *Let  $A$  be an effective  $G$ -lattice. If  $G$  is a finite group generated by reflections then*

(i) *there is a reduced root system  $\Phi$  lying in  $A$  so that  $G$  is the Weyl group for  $\Phi$ , and*

(ii)  *$A$  (considered inside  $V$ ) lies between the root lattice for  $\Phi$  and the weight lattice.*

*Proof.* Endow  $V$  with an inner product which makes members of  $G$  orthogonal transformations. If  $\sigma$  is a reflection in  $G$  and  $a \in A$  is such that  $a \neq \sigma(a)$  then  $a - \sigma(a) \neq 0$  and  $\sigma(a - \sigma(a)) = -(a - \sigma(a))$ . Thus  $\{b \in A \mid \sigma(b) = -b\}$  is an infinite cyclic subgroup of  $A$ . Its two possible generators,  $a_\sigma$  and  $-a_\sigma$ , are the nonzero vectors of smallest length in  $A$  which are "reflected" by  $\sigma$ . It is not difficult to check that  $\Phi = \{\pm a_\sigma \mid \sigma \text{ is a reflection in } G\}$  is a root system, whence  $G$  is its Weyl group. Moreover, if  $x \in A$  and  $\alpha = \pm a_\sigma \in \Phi$  then  $\sigma(x) \in A$ . Thus  $x - \frac{2(x, \alpha)}{(\alpha, \alpha)} \alpha \in A$ . Now  $\frac{2(x, \alpha)}{(\alpha, \alpha)} \alpha \in A$  implies that  $\frac{2(x, \alpha)}{(\alpha, \alpha)}$  is an integer. This is just the statement that  $x$  is a weight. □

Although we have "located" the effective  $G$ -lattices, there are still quite a few of them: every lattice between the root lattice and the weight lattice is invariant under  $G$ . On the positive side, it turns out that the group algebra of the weight lattice has well-behaved invariants.

THEOREM ([4], VI § 3.4). *Let  $G$  be a Weyl group and let  $\Lambda$  be its weight lattice. Then  $\mathbb{C}[\Lambda]^G$  is a polynomial ring.* □

This theorem of Bourbaki can be generalized just enough to suggest its own converse. Fix a root system with base  $\Delta$ . Let  $\Lambda_r$  and  $\Lambda$  denote the root lattice and weight respectively and let  $w_1, \dots, w_n$  be the fundamental

dominant weights. Then  $\Lambda^+$  is the collection of dominant weights: the non-negative integer combinations of  $w_1, \dots, w_n$ . Write  $W$  for the Weyl group.

In [5], we introduced the notion of *stretched weight lattice* for a root system. It is a  $W$ -lattice lying between  $\Lambda_r$  and  $\Lambda$  which has a basis of the form  $r_1 w_1, r_2 w_2, \dots, r_n w_n$  for positive integers  $r_1, \dots, r_n$ . A stretched weight lattice can always be built up from ordinary weight lattices and certain root lattices ([5]). More unexpectedly, we found an abstract characterization. Suppose  $G$  is a finite subgroup of  $GL(n, \mathbf{Z})$ ; then the corresponding action on  $\mathbf{Z}^n$  has the non-negative "quadrant" as fundamental domain (in Bourbaki's strong sense) if and only if  $G$  is a Weyl group and  $\mathbf{Z}^n$  is isomorphic to a stretched weight lattice for  $G$ .

To talk about the group algebra  $\mathbf{C}[\Lambda]$ , we will have to switch from additive to multiplicative notation for elements of  $\Lambda$ . If we think of  $\lambda$  as a weight then  $\lambda^*$  will be its image in  $\mathbf{C}[\Lambda]$ , e.g.  $(\lambda_1 - \lambda_2)^* = (\lambda_1^*) (\lambda_2^*)^{-1}$ .

For  $\lambda \in \Lambda$  we set  $X(\lambda) = (\text{constant}) \cdot \text{av}(\lambda^*)$  where the normalizing constant is chosen so that each element of  $\Lambda$  appears with coefficient 0 or 1 in  $X(\lambda)$ . Using this notation, we state the appropriate form of Bourbaki's Theorem. (The proof carries over verbatim from [4].)

**THEOREM 13.** *If  $S$  is a stretched weight lattice with basis  $r_1 w_1, \dots, r_n w_n$  then*

$$\mathbf{C}[S]^W = \mathbf{C}[X(r_1 w_1), \dots, X(r_n w_n)].$$

Moreover,  $X(r_1 w_1), \dots, X(r_n w_n)$  are algebraically independent. □

We shall frequently use the consequence that  $X(w_1), \dots, X(w_n)$  are irreducible elements of the unique factorization domain  $\mathbf{C}[\Lambda]^W$ .

For the rest of this paper,  $M$  will be a  $W$ -lattice with

$$\Lambda_r \subset M \subset \Lambda.$$

**LEMMA 14.** *Suppose  $\lambda_1, \dots, \lambda_t$  are (not necessarily distinct) dominant weights. If  $\lambda_1 + \dots + \lambda_t \in M$  then  $(g_1 \cdot \lambda_1) + \dots + (g_t \cdot \lambda_t) \in M$  for all choices  $g_1, \dots, g_t \in W$ .*

*Proof.* For  $\alpha \in \Delta$  let  $\sigma_\alpha$  denote reflection in the hyperplane perpendicular to  $\alpha$ . Then  $\sigma_\alpha(\lambda_j) = \lambda_j - \langle \lambda_j, \alpha \rangle \alpha$ . The definition of "weight" implies that  $\langle \lambda_j, \alpha \rangle$  is an integer. Thus

$$\sigma_\alpha(\lambda_j) \equiv \lambda_j \pmod{\Lambda_r}$$

and so,

$$\sigma_\alpha(\lambda_j) \equiv \lambda_j \pmod{M}.$$

Now  $W$  is generated by  $\{\sigma_\alpha \mid \alpha \in \Delta\}$ . An easy induction on the length of  $g \in W$  as a word in the generators yields

$$g(\lambda_j) \equiv \lambda_j \pmod{M}.$$

Hence

$$\sum_{j=1}^t g_j(\lambda_j) \equiv \sum_{j=1}^t \lambda_j \pmod{M}. \quad \square$$

LEMMA 15. Suppose  $\lambda_1, \dots, \lambda_t$  are (not necessarily distinct) dominant weights. If  $\lambda_1 + \dots + \lambda_t \in M$  then

$$X(\lambda_1)X(\lambda_2) \cdots X(\lambda_t) \in \mathbf{C}[M]^W.$$

*Proof.* A typical element of  $\Lambda$  in the support of  $X(\lambda_1) \cdots X(\lambda_t)$  has the form  $(g_1(\lambda_1) + \dots + g_t(\lambda_t))^*$  where  $g_1, \dots, g_t \in W$ . According to Lemma 14,  $\sum g_j(\lambda_j) \in M$ . Thus

$$X(\lambda_1)X(\lambda_2) \cdots X(\lambda_t) \in \mathbf{C}[M] \cap \mathbf{C}[\Lambda]^W. \quad \square$$

We say that an element  $w \in M \cap \Lambda^+$  is *M-indecomposable* if it cannot be written as a sum of two nonzero elements of  $M \cap \Lambda^+$ . Clearly, every element of  $M \cap \Lambda^+$  is a sum of *M-indecomposable* elements.

THEOREM 16. The following statements are equivalent:

- (i)  $M$  is a stretched weight lattice for  $W$ .
- (ii)  $\mathbf{C}[M]^W$  is a polynomial ring.
- (iii)  $\mathbf{C}[M]^W$  is a UFD.

*Proof.* (i)  $\Rightarrow$  (ii) is Theorem 13 and (ii)  $\Rightarrow$  (iii) is classical. Thus we assume that  $\mathbf{C}[M]^W$  is a UFD and prove (i).

Suppose  $\sum_{j=1}^n a_j w_j$  is *M-indecomposable*. According to Lemma 15,

$$Y = X(w_1)^{a_1} X(w_2)^{a_2} \cdots X(w_n)^{a_n}$$

is an element of  $\mathbf{C}[M]^W$ . Every coefficient appearing in  $X(w_j)$  is 1; hence any subproduct

$$X(w_1)^{b_1} X(w_2)^{b_2} \cdots X(w_n)^{b_n}$$

with  $0 \leq b_j \leq a_j$  contains  $(\sum_{j=1}^n b_j w_j)^*$  in its support. If  $Y$  factors in  $C[M]^W$  then each factor is one such subproduct by the *UFD* property of  $C[\Lambda]^W$ . Therefore, a factoring provides  $b_j$  for  $j = 1, \dots, n$  such that  $0 \leq b_j \leq a_j$ , not all  $b_j = a_j$ , and both  $\sum b_j w_j$  and  $\sum (a_j - b_j) w_j$  lie in  $M$ . This contradicts the  $M$ -indecomposability of  $\sum a_j w_j$ . In summary,  $Y$  is an irreducible element in  $C[M]^W$ .

Let  $d$  be the index of  $M$  in  $\Lambda$ . Then  $d w_j \in M$  for each fundamental dominant weight  $w_j$ . Again, Lemma 15 yields

$$X(w_j)^d \in C[M]^W \quad \text{for } j = 1, \dots, n.$$

Consider the equation

$$Y^d = [X(w_1)^d]^{a_1} [X(w_2)^d]^{a_2} \cdots [X(w_n)^d]^{a_n}$$

inside  $C[M]^W$ . Since  $Y$  is irreducible,  $Y \mid X(w_k)^d$  for some  $k$ . Interpret this in  $C[\Lambda]^W$  and use unique factorization there:  $Y = X(w_k)^{a_k}$ . That is, the  $M$ -indecomposable weights all have the form  $a_k w_k$ .

If  $a_k w_k$  and  $a'_k w_k$  lie in  $M$ , so does  $GCD(a_k, a'_k) w_k$ . But  $GCD(a_k, a'_k)$  divides both  $a_k$  and  $a'_k$ . By indecomposability, there are no such repeats:

$$r_1 w_1, \dots, r_n w_n \quad (r_j > 0 \text{ an integer})$$

is a complete list of the  $M$ -indecomposable elements. (Notice that some positive integer multiple of each  $w_j$  *must* be  $M$ -indecomposable.) They are clearly linearly independent over  $\mathbf{Z}$ . The argument is completed by showing that they span  $M$ . Suppose  $\sum_{i=1}^n c_i w_i \in M$ . Choose a large positive integer  $N$

such that  $\frac{c_i}{r_i} \leq N$  for  $i = 1, \dots, n$ . Since  $r_i w_i \in M$  we have  $N(\sum_{i=1}^n r_i w_i) \in M$ .

Thus  $\sum_{i=1}^n (Nr_i - c_i) w_i \in M$ . Since  $Nr_i - c_i \geq 0$ ,

$$\sum_{i=1}^n (Nr_i - c_i) w_i \in M \cap \Lambda^+.$$

Now every member of  $M \cap \Lambda^*$  is a sum of  $M$ -indecomposable elements. Solve for  $\sum c_i w_i$ .  $\square$

Finally, we can put together Theorem 8, Proposition 12, and Theorem 16. We cite the fact that a reflection group may appear as the Weyl group for more than one root system. By replacing certain component root systems of type  $B_n$  with those of type  $C_n$ , every stretched weight lattice over a given reflection group becomes isomorphic, as an abstract module, to some ordinary weight lattice. (See § 1 and the "note added in proof" of [5].)

MAIN THEOREM. Assume  $A$  is a  $\mathbf{Z}$ -lattice and  $G \subset GL(A)$  is a finite group. Then  $\mathbf{C}[A]^G$  is a polynomial ring if and only if  $G$  is a reflection group and, for some choice of root system, it becomes a Weyl group with  $A$  as its weight lattice.

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NOTE ADDED IN PROOF: As occasionally happens when a mathematician wanders from his area of expertise, he re-invents the wheel. The appendix (§ 4) can be eliminated by invoking a theorem of Serre [B] to the effect that the fixed ring of a suitably nice regular local ring under the action of a finite group is also regular local if and only if the group acts as a pseudo-reflection group on the tangent space of the original local ring. The fifth section is, to a large extent, implicit in work of Steinberg [C]. A statement closer to mine can be found in [A].

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