## SYMPLECTIC CHARACTERISTIC CLASSES

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#### SYMPLECTIC CHARACTERISTIC CLASSES

### by Cornelia Busch

ABSTRACT. We present a new proof of the fact that the universal symplectic classes  $d_j(\mathbf{Z}) \in H^{2j}(\mathrm{Sp}(\mathbf{Z}), \mathbf{Z})$  have infinite order. This proof uses only techniques from group cohomology. In order to obtain this result, we determine representations  $\mathbf{Z}/p\mathbf{Z} \to \mathrm{U}((p-1)/2)$  whose associated representation  $\mathbf{Z}/p\mathbf{Z} \to \mathrm{Sp}(p-1,\mathbf{R})$  factors, up to conjugation, through a representation  $\mathbf{Z}/p\mathbf{Z} \to \mathrm{Sp}(p-1,\mathbf{Z})$ .

In this article we prerequire some basic notions from the theory of cyclotomic fields. For the reader who is not familiar with this subject we recommend the books of Washington [12] and of Neukirch [9]. An introduction to the arithmetical part is also given in my thesis [6].

This article presents a result of my doctoral thesis, which I wrote at the ETH Zurich under the supervision of G. Mislin, whom I want to thank for his excellent support.

### 1. THE SYMPLECTIC GROUP

#### 1.1 DEFINITION

Let R be a commutative ring with 1. The general linear group GL(n, R) is defined to be the multiplicative group of invertible  $n \times n$ -matrices over R.

DEFINITION. The *symplectic group*  $\operatorname{Sp}(2n,R)$  over the ring R is the subgroup of matrices  $Y \in \operatorname{GL}(2n,R)$  that satisfy

$$Y^{\mathrm{T}}JY = J := \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix}$$

where  $I_n$  is the  $n \times n$ -identity matrix.

It is the group of isometries of the skew-symmetric bilinear form

$$\langle , \rangle : R^{2n} \times R^{2n} \longrightarrow R$$
  
 $(x, y) \longmapsto \langle x, y \rangle := x^{T} J y.$ 

It follows from a result of Bürgisser [5] that elements of odd prime order p exist in  $Sp(2n, \mathbb{Z})$  if and only if  $2n \ge p - 1$ .

PROPOSITION 1.1. The eigenvalues of a matrix  $Y \in \operatorname{Sp}(p-1, \mathbf{Z})$  of odd prime order p are the primitive p-th roots of unity, hence the zeros of the polynomial

$$m(x) = x^{p-1} + \dots + x + 1.$$

*Proof.* If  $\lambda$  is an eigenvalue of Y, we have  $\lambda = 1$  or  $\lambda = \xi$ , a primitive p-th root of unity, and the characteristic polynomial of Y divides  $x^p - 1$  and has integer coefficients. Since m(x) is irreducible over  $\mathbb{Q}$ , the claim follows.  $\square$ 

# 1.2 A RELATION BETWEEN $U(\frac{p-1}{2})$ AND $Sp(p-1, \mathbf{Z})$

Let  $X \in \mathrm{U}(n)$ , i.e.,  $X \in \mathrm{GL}(n, \mathbb{C})$  and  $X^*X = I_n$  where  $X^* = \overline{X}^T$  and  $I_n$  is the  $n \times n$ -identity matrix. We can write X = A + iB with  $A, B \in \mathrm{M}(n, \mathbb{R})$ , the ring of real matrices. We now define the following map

$$\phi \colon \operatorname{U}(n) \longrightarrow \operatorname{Sp}(2n, \mathbf{R})$$

$$X = A + iB \longmapsto \begin{pmatrix} A & B \\ -B & A \end{pmatrix} =: \phi(X).$$

The map  $\phi$  is an injective homomorphism. Moreover, it is well-known that  $\phi$  maps U(n) onto a maximal compact subgroup of  $Sp(2n, \mathbf{R})$ . In this section we will prove the following theorem.

THEOREM 1.2. Let  $X \in U((p-1)/2)$  be of odd prime order p. We define  $\phi \colon U((p-1)/2) \to \operatorname{Sp}(p-1,\mathbf{R})$  as above. Then  $\phi(X) \in \operatorname{Sp}(p-1,\mathbf{R})$  is conjugate to  $Y \in \operatorname{Sp}(p-1,\mathbf{Z})$  if and only if the eigenvalues  $\lambda_1, \ldots, \lambda_{(p-1)/2}$  of X are such that

$$\{\lambda_1,\ldots,\lambda_{(p-1)/2},\overline{\lambda}_1,\ldots,\overline{\lambda}_{(p-1)/2}\}$$

is a complete set of primitive p-th roots of unity.

The condition on the eigenvalues of X is necessary: It is an easy computation to show that if  $\lambda_1, \ldots, \lambda_{(p-1)/2}$  are the eigenvalues of  $X \in \mathrm{U}((p-1)/2)$ , then

$$\lambda_1, \ldots, \lambda_{(p-1)/2}, \overline{\lambda}_1, \ldots, \overline{\lambda}_{(p-1)/2}$$

are the eigenvalues of  $\phi(X) \in \operatorname{Sp}(p-1,\mathbf{R})$ . So if  $\phi(X) \in \operatorname{Sp}(p-1,\mathbf{R})$  is conjugate to  $Y \in \operatorname{Sp}(p-1,\mathbf{Z})$ , the condition on the eigenvalues of  $X \in \operatorname{U}((p-1)/2)$  holds by Proposition 1.1. That the condition on the eigenvalues is also sufficient will be proved in 1.2.2.

Note that  $X_1, X_2 \in \mathrm{U}(n)$  are conjugate in  $\mathrm{U}(n)$  if and only if  $\phi(X_1), \phi(X_2)$  are conjugate in  $\mathrm{Sp}(2n,\mathbf{R})$ , because  $\phi(\mathrm{U}(n))$  is a maximal compact subgroup of  $\mathrm{Sp}(2n,\mathbf{R})$ . The eigenvalues of a unitary matrix X determine the conjugacy class of X in  $\mathrm{U}((p-1)/2)$ . We will take any  $Y \in \mathrm{Sp}(p-1,\mathbf{Z})$  of prime order p and show, assuming Y is conjugate in  $\mathrm{Sp}(p-1,\mathbf{R})$  to  $\phi(X)$ , how to compute the eigenvalues of  $X \in \mathrm{U}((p-1)/2)$ . Then we will prove that if we run through the conjugacy classes of matrices  $Y \in \mathrm{Sp}(p-1,\mathbf{Z})$  of prime order p, we will run through the conjugacy classes of matrices  $X \in \mathrm{U}((p-1)/2)$  that satisfy the necessary condition. An interesting corollary is the following (see also 1.2.2).

COROLLARY 1.3. The number of conjugacy classes of elements of order p in  $Sp(p-1, \mathbf{Z})$  that are conjugate in  $Sp(p-1, \mathbf{R})$  to elements of the form  $\phi(X)$ , where  $X \in U((p-1)/2)$ , is greater or equal to  $2^{(p-1)/2}$ .

#### 1.2.1 INVARIANT SUBSPACES

Each matrix  $Y \in \operatorname{Sp}(p-1, \mathbf{Z})$  of odd prime order p defines an isomorphism  $\sigma \colon \mathbf{Z}^{p-1} \to \mathbf{Z}^{p-1}$ , which is an isometry of the skew-symmetric bilinear form  $q \colon \mathbf{Z}^{p-1} \times \mathbf{Z}^{p-1} \to \mathbf{Z}$  defined by  $q(x,y) := \langle x,y \rangle = x^T J y$  where  $x,y \in \mathbf{Z}^{p-1}$  and J is like in the definition of the symplectic group. From now on we will sometimes take the  $\mathbf{R}$ -linear or the  $\mathbf{C}$ -linear extensions of  $\sigma$  and of q without making any remark. But this will always be clear from the context.

Let  $v_j \in \mathbb{C}^{p-1}$  be an eigenvector corresponding to the eigenvalue  $\xi^j := e^{j2\pi i/p}$  of the  $\mathbb{C}$ -linear extension of  $\sigma$ . Then the complex conjugate  $\overline{v}_j$  is an eigenvector to the eigenvalue  $\xi^{-j}$  because  $\sigma$  is given by a real matrix. The real vectors  $v_j + \overline{v}_j$  and  $-i(v_j - \overline{v}_j)$  span a  $\sigma$ -invariant subspace of  $\mathbb{R}^{p-1}$ , which we will denote by  $V_j$ . The dimension of  $V_j$  is 2 and  $\mathbb{R}^{p-1} = V_1 \oplus \cdots \oplus V_{(p-1)/2}$ . The space  $V_j \otimes_{\mathbb{R}} \mathbb{C}$  is the sum of the eigenspaces corresponding to  $\xi^j$  and  $\xi^{-j}$ .

DEFINITION. We define the sign of  $V_j$  to be

$$sign(V_i) := sign q(x, \sigma(x)),$$

where  $x \in V_j$  is any nonzero element.

LEMMA 1.4. The sign  $sign(V_j)$  is well-defined, i.e., independent of the choice of x.

*Proof.* Let  $0 \neq x := \alpha(v_j + \overline{v}_j) + \beta(-i(v_j - \overline{v}_j)) \in V_j$  where  $\alpha, \beta \in \mathbf{R}$  and  $v_j, \overline{v}_j$  as above. Then a simple computation shows that

$$q(x, \sigma(x)) = -2i(\alpha^2 + \beta^2)q(v_j, \overline{v}_j)\sin\theta_j \neq 0,$$

with  $\theta_j := j2\pi/p$ . Therefore, sign  $q(x, \sigma(x))$  does not depend on the choice of  $0 \neq x \in V_j$ .

For  $x \in V_j$ ,  $y \in V_k$  with  $j \neq k$ , j, k = 1, ..., (p-1)/2, we have q(x, y) = 0. Therefore q is nondegenerate on  $V_j$  and  $q(v_j, \overline{v}_j) = -q(\overline{v}_j, v_j) \neq 0$ . Because  $\sin \theta_j > 0$ , we have

$$\operatorname{sign}(V_j) = \operatorname{sign}(-iq(v_j, \overline{v}_j))$$
.

This equation implies that  $-i \operatorname{sign}(V_j) q(v_j, \overline{v}_j)$  is positive. We define a new basis of  $V_j$  by:

$$u_j := (-2i\operatorname{sign}(V_j) q(v_j, \overline{v}_j))^{-1/2} (v_j + \overline{v}_j),$$
  

$$\widetilde{u}_j := -\operatorname{sign}(V_j) (-2i\operatorname{sign}(V_j) q(v_j, \overline{v}_j))^{-1/2} (-i(v_j - \overline{v}_j)).$$

LEMMA 1.5. The vectors  $u_1, \ldots, u_{(p-1)/2}, \widetilde{u}_1, \ldots, \widetilde{u}_{(p-1)/2}$  form a symplectic basis of  $\mathbf{R}^{p-1}$ .

*Proof.* It is clear that this is a basis of  $\mathbf{R}^{p-1}$ . For  $i \neq j$  with  $i,j=1,\ldots,(p-1)/2$ 

$$q(u_i, u_j) = q(\widetilde{u}_i, \widetilde{u}_j) = q(u_i, \widetilde{u}_j) = 0,$$
  
$$q(u_i, \widetilde{u}_i) = 1.$$

This shows that the basis  $u_1, \ldots, u_{(p-1)/2}, \widetilde{u}_1, \ldots, \widetilde{u}_{(p-1)/2}$  is symplectic.  $\square$ 

The matrix corresponding to  $\sigma|_{V_j}\colon V_j\to V_j$  in the basis  $u_j,\widetilde{u}_j$  is the following:

$$\begin{pmatrix} \cos \theta_j & -\operatorname{sign}(V_j) \sin \theta_j \\ \operatorname{sign}(V_j) \sin \theta_j & \cos \theta_j \end{pmatrix}$$

We want to write this matrix in the form

$$\begin{pmatrix} \cos \vartheta_j & \sin \vartheta_j \\ -\sin \vartheta_j & \cos \vartheta_j \end{pmatrix} ,$$

because in this case  $\sigma \colon \mathbf{R}^{p-1} \to \mathbf{R}^{p-1}$  is given in the basis  $u_1, \ldots, u_{(p-1)/2}, \widetilde{u}_1, \ldots, \widetilde{u}_{(p-1)/2}$  by the image of a diagonal matrix in  $X \in \mathrm{U}((p-1)/2)$  with the  $e^{i\vartheta_j}$ ,  $j=1,\ldots,(p-1)/2$ , being the eigenvalues of X. Comparing both  $2\times 2$ -matrices we see that we should put

$$\vartheta_j := \begin{cases} \theta_j & \text{if } \operatorname{sign}(V_j) = -1 \\ 2\pi - \theta_j & \text{if } \operatorname{sign}(V_j) = +1 \end{cases}$$

This proves the following

PROPOSITION 1.6. Let  $Y \in \operatorname{Sp}(p-1, \mathbf{Z})$  of odd prime order p define an isometry  $\sigma \colon \mathbf{Z}^{p-1} \to \mathbf{Z}^{p-1}$ . Let  $\xi := e^{i2\pi/p}$ ,  $\mathbf{R}^{p-1} = V_1 \oplus \cdots \oplus V_{(p-1)/2}$  where  $V_j$ ,  $j = 1, \ldots, (p-1)/2$ , is the invariant subspace corresponding to the eigenvalues  $\xi^j$ ,  $\xi^{p-j}$  of the extension of  $\sigma$  to an isomorphism of  $\mathbf{R}^{p-1}$ . Then there exists  $X \in \operatorname{U}((p-1)/2)$  such that Y is conjugate to  $\phi(X) \in \operatorname{Sp}(p-1, \mathbf{R})$ . Moreover,

if  $sign(V_j) = -1$  then  $\xi^j$  is an eigenvalue of X, and if  $sign(V_j) = 1$  then  $\xi^{-j}$  is an eigenvalue of X.

# 1.2.2 The proof of Theorem 1.2

It remains to show that the condition on the eigenvalues of  $X \in \mathrm{U}((p-1)/2)$  is sufficient. We put  $\mathbb{Z}/2\mathbb{Z} = \{\pm 1\}$ . Let  $\mathcal{M}$  be the set of  $Y \in \mathrm{Sp}(p-1,\mathbb{Z})$  of odd prime order p. We define a mapping

$$\psi \colon \mathcal{M} \longrightarrow (\mathbf{Z}/2\mathbf{Z})^{(p-1)/2}$$

$$Y \longmapsto \left( \operatorname{sign}(V_1), \dots, \operatorname{sign}(V_{(p-1)/2}) \right) ,$$

where  $V_j$  and  $\operatorname{sign}(V_j)$ ,  $j=1,\ldots,(p-1)/2$ , are defined as above. It follows from Proposition 1.6 that the necessary condition in Theorem 1.2 is sufficient if and only if  $\psi$  is surjective. Therefore we now have to prove the surjectivity of  $\psi$ . First we will prove that in each conjugacy class of matrices of order p in  $\operatorname{Sp}(p-1,\mathbf{Z}[1/p])$  one can find a matrix in  $\operatorname{Sp}(p-1,\mathbf{Z})$ . Let  $\mathcal{M}_p$  be the set of matrices of order p in  $\operatorname{Sp}(p-1,\mathbf{Z}[1/p])$ . With the same procedure as for  $Y \in \mathcal{M}$ , we can define  $V_j$ ,  $\operatorname{sign}(V_j)$ ,  $j=1,\ldots,(p-1)/2$ , for  $Y_p \in \mathcal{M}_p$ , and we get statements for  $\operatorname{Sp}(p-1,\mathbf{Z}[1/p])$  that are similar to those for

 $Sp(p-1, \mathbf{Z})$ . We will show the surjectivity of the mapping

$$\psi_p \colon \mathcal{M}_p \longrightarrow (\mathbf{Z}/2\mathbf{Z})^{(p-1)/2}$$

$$Y_p \longmapsto \left( \operatorname{sign}(V_1), \dots, \operatorname{sign}(V_{(p-1)/2}) \right) .$$

Then we have shown that  $\psi$  is surjective since matrices of  $\mathcal{M}_p$  that are in the same conjugacy class have the same image under  $\psi_p$ .

Let P be the set of pairs  $(\mathfrak{a},a)$ , where  $0 \neq \mathfrak{a} \subseteq \mathbf{Z}[\xi]$  is an ideal and  $a \in \mathbf{Z}[\xi]$  such that  $\mathfrak{a}\overline{\mathfrak{a}} = (a) \subseteq \mathbf{Z}[\xi]$  is a principal ideal. The bar denotes complex conjugation and  $\overline{\mathfrak{a}} = \{\overline{\alpha} \mid \alpha \in \mathfrak{a}\}$ . Let  $P_p$  be the set of pairs  $(\mathfrak{a}_p,a)$ , where  $0 \neq \mathfrak{a}_p \subseteq \mathbf{Z}[1/p][\xi]$  is an ideal and  $a \in \mathbf{Z}[1/p][\xi]$  such that  $\mathfrak{a}_p\overline{\mathfrak{a}}_p = (a) \subseteq \mathbf{Z}[1/p][\xi]$  is a principal ideal. We define an equivalence relation on P and on  $P_p$ :

$$(\mathfrak{a},a) \sim (\mathfrak{b},b) \Leftrightarrow \exists \lambda, \mu \in \mathbf{Z}[\xi] \setminus \{0\} \text{ such that}$$
  $\lambda \mathfrak{a} = \mu \mathfrak{b} \text{ and } \lambda \overline{\lambda} a = \mu \overline{\mu} b$  
$$(\mathfrak{a}_p,a) \sim (\mathfrak{b}_p,b) \Leftrightarrow \exists \lambda, \mu \in \mathbf{Z}[1/p][\xi] \setminus \{0\} \text{ such that}$$
  $\lambda \mathfrak{a}_p = \mu \mathfrak{b}_p \text{ and } \lambda \overline{\lambda} a = \mu \overline{\mu} b$ .

We denote by  $[\mathfrak{a},a]$  and  $[\mathfrak{a}_p,a]$  the equivalence class of  $(\mathfrak{a},a)$  and  $(\mathfrak{a}_p,a)$  respectively. Moreover,  $\mathcal{P}$  and  $\mathcal{P}_p$  denote the sets of equivalence classes in P and  $P_p$  respectively. The sets of equivalence classes  $\mathcal{P}$  and  $\mathcal{P}_p$  are abelian groups. The multiplication is given by  $[\mathfrak{a},a][\mathfrak{b},b]=[\mathfrak{ab},ab]$ , the units in  $\mathcal{P}$  and  $\mathcal{P}_p$  are  $[\mathbf{Z}[\xi],1]$  and  $[\mathbf{Z}[1/p][\xi],1]$  respectively, and the inverse of  $[\mathfrak{a},a]$  is  $[\overline{\mathfrak{a}},a]$  because

$$[\mathfrak{a}, a][\overline{\mathfrak{a}}, a] = [\mathfrak{a}\overline{\mathfrak{a}}, a^2] = [(a), a^2] = [\mathcal{O}, 1]$$

where  $\mathcal{O} = \mathbf{Z}[\xi]$  if  $[\mathfrak{a}, a] \in \mathcal{P}$ , and  $\mathcal{O} = \mathbf{Z}[1/p][\xi]$  if  $[\mathfrak{a}, a] \in \mathcal{P}_p$ .

According to the articles of Brown [4] and of Sjerve and Yang [11], a bijection exists between the elements of  $\mathcal{P}$  (resp.  $\mathcal{P}_p$ ) and the conjugacy classes of elements of order p in  $\mathrm{Sp}(p-1,\mathbf{Z})$  (resp.  $\mathrm{Sp}(p-1,\mathbf{Z}[1/p])$ ). For the convenience of the reader, we will recall how this bijection is constructed. Let  $Y \in \mathrm{Sp}(p-1,\mathbf{Z})$  be of odd prime order p. Let  $\mathfrak{a}$  be a  $\mathbf{Z}[\xi]$ -module whose underlying  $\mathbf{Z}$ -module is  $\mathbf{Z}^{p-1}$ , with the action of  $\xi$  given by Y. Such a module is a fractional ideal in  $\mathbf{Q}(\xi)$ . Let

$$v_1 = (\alpha_1, \dots, \alpha_{p-1})^{\mathrm{T}} \in \mathbf{Z}[\xi]^{p-1}$$

be an eigenvector of Y to the eigenvalue  $\xi$ , that is  $Yv_1 = \xi v_1$ . Then the module  $\mathfrak a$  we described above is the ideal

$$\mathfrak{a} = \mathbf{Z}\alpha_1 + \cdots + \mathbf{Z}\alpha_{p-1}.$$

Since the eigenvector  $v_1$  is unique up to multiples, the ideal  $\mathfrak{a}$  is unique up to fractional equivalence. Let  $Y' = GYG^{-1}$  with  $G \in \operatorname{Sp}(p-1, \mathbf{Z})$ . Then  $w_1 = Gv_1$  is an eigenvector for Y' to the eigenvalue  $\xi$  and the corresponding ideal is also  $\mathfrak{a}$ . Let  $a = D^{-1}v_1^TJv_1$ , where  $D = p\,\xi^{(p+1)/2}/(\xi-1)$ , then  $[\mathfrak{a},a]$  is the equivalence class we are searching for. So we have defined a mapping, which sends the conjugacy class of Y to the equivalence class  $[\mathfrak{a},a] \in \mathcal{P}$ . In [11] is shown that this mapping is a bijection. The construction for  $\operatorname{Sp}(p-1,\mathbf{Z}[1/p])$  is analogous.

Let  $C_0 := C_0(\mathbf{Z}[\xi])$  be the subgroup of the ideal class group  $C = C(\mathbf{Z}[\xi])$  given by

$$C_0 = \{ \mathfrak{a} \in \mathcal{C} \mid \mathfrak{a}\overline{\mathfrak{a}} = (a), \ a = \overline{a} \text{ for some } a \in \mathbf{Z}[\xi] \}.$$

Let  $C_p := C(\mathbf{Z}[1/p][\xi])$  denote the ideal class group of the Dedekind domain  $\mathbf{Z}[1/p][\xi]$ . We define a subgroup  $C_{p0} := C_0(\mathbf{Z}[1/p][\xi])$  of  $C_p$ :

$$C_{p0} = \{ \mathfrak{a}_p \in C_p \mid \mathfrak{a}_p \overline{\mathfrak{a}}_p = (a), \ a = \overline{a} \text{ for some } a \in \mathbf{Z}[1/p][\xi] \}.$$

It follows directly from the definition, that for  $a \in C_0$  (resp.  $a \in C_{p0}$ ) holds  $[a, a] \in \mathcal{P}$  (resp.  $[a, a] \in \mathcal{P}_p$ ). But here we have  $a = \overline{a}$ , which was not requested in the definition of  $\mathcal{P}$  and  $\mathcal{P}_p$ . But for an equivalence class [a, a] we can always choose a such that  $a = \overline{a}$ . For a proof of this fact see [11].

Let U be the group of units in  $\mathbf{Z}[\xi]$  and  $U^+ := \{u \in U \mid u = \overline{u}\}$  the group of units in  $\mathbf{Z}[\xi + \xi^{-1}]$ . Let  $N \colon \mathbf{Q}(\xi) \to \mathbf{Q}(\xi + \xi^{-1})$ ,  $a \mapsto N(a) = a\overline{a}$ , be the norm mapping and  $N(U) := \{u\overline{u} = N(u) \mid u \in U\}$ . Let  $U_p$  be the group of units in  $\mathbf{Z}[1/p][\xi]$  and  $U_p^+ := \{u \in U_p \mid u = \overline{u}\}$ ,  $N(U_p) := \{u\overline{u} \mid u \in U_p\}$ . Clearly  $N(U) \subset U^+$ ,  $N(U_p) \subset U_p^+$ , and we can define the abelian groups  $U^+/N(U)$  and  $U_p^+/N(U_p)$ . It is well-known (see Washington [12]) that  $U_p = U \cdot \langle 1 - \xi \rangle$  where  $\langle 1 - \xi \rangle$  is the group generated by  $1 - \xi$ , and  $U_p^+ = U^+ \cdot \langle (1 - \xi)(1 - \xi^{-1}) \rangle$  where  $\langle (1 - \xi)(1 - \xi^{-1}) \rangle$  is the subgroup of  $\langle 1 - \xi \rangle$  generated by  $(1 - \xi)(1 - \xi^{-1})$ . Hence

(\*) 
$$[U_p^+ : N(U_p)] = [U^+ : N(U)] = 2^{(p-1)/2}$$

where the last equation is a consequence of the Dirichlet unit theorem.

According to the articles of Brown [4] and of Sjerve and Yang [11], there are short exact sequences of abelian groups

$$1 \longrightarrow U^{+}/N(U) \stackrel{\delta}{\longrightarrow} \mathcal{P} \stackrel{\eta}{\longrightarrow} \mathcal{C}_{0} \longrightarrow 1,$$

$$1 \longrightarrow U_{p}^{+}/N(U_{p}) \stackrel{\delta_{p}}{\longrightarrow} \mathcal{P}_{p} \stackrel{\eta_{p}}{\longrightarrow} \mathcal{C}_{p0} \longrightarrow 1,$$

where  $\delta(uN(U)) = [\mathbf{Z}[\xi], u]$ ,  $\delta_p(uN(U)) = [\mathbf{Z}[1/p][\xi], u]$ ,  $\eta([\mathfrak{a}, a]) = [\mathfrak{a}]$  and  $\eta_p([\mathfrak{a}_p, a]) = [\mathfrak{a}_p]$ . Theorem 3 in the article of Sjerve and Yang [11] states that

the number of elements in  $\mathcal{P}$  is  $2^{(p-1)/2}h^-$ . Here  $h^-:=h/h^+$  where h and  $h^+$  are the class numbers of  $\mathbf{Q}(\xi)$  and  $\mathbf{Q}(\xi+\xi^{-1})$  respectively. It follows from Proposition 7 in the article of Brown [4] that the cardinality of  $\mathcal{P}_p$  is  $2^{(p-1)/2}h^-$  too.

Now we will define homomorphisms  $\rho_1$ ,  $\rho$  and  $\rho_2$  such that the following diagram commutes.

We define a homomorphism of abelian groups:

$$\rho_1: U^+/N(U) \longrightarrow U_p^+/N(U_p)$$
$$uN(U) \longmapsto uN(U_p).$$

We have already seen that  $U_p = U \cdot \langle 1 - \xi \rangle$  where  $\langle 1 - \xi \rangle$  is the subgroup generated by  $1 - \xi$ . This implies that

$$N(U_p) = N(U) \cdot \left\langle (1 - \xi)(1 - \xi^{-1}) \right\rangle.$$

Let  $uN(U) \neq vN(U) \in U^+/N(U)$ , then  $uN(U_p) \neq vN(U_p)$ . Indeed, if  $uN(U_p) = vN(U_p)$ , then  $w \in N(U_p)$  exists with u = wv. But  $w \notin N(U)$  since  $uN(U) \neq vN(U)$ . On the other hand u = wv and  $u, v \in U^+$  imply that  $w \in U^+$ . But  $N(U_p) \nsubseteq U^+$  and this yields a contradiction. Therefore  $\rho_1$  is injective and  $\rho_1$  is an isomorphism since the equation (\*) holds.

Now we will define  $\rho_2 \colon \mathcal{C}_0 \to \mathcal{C}_{p0}$ . Let  $\mathfrak{a} \subseteq \mathbf{Z}[\xi]$  be an ideal. Then we consider the ideal  $\mathfrak{a}_p \in \mathbf{Z}[1/p][\xi]$  generated by the elements  $\alpha z$  with  $\alpha \in \mathfrak{a}, z \in \mathbf{Z}[1/p][\xi]$ . Since each  $z \in \mathbf{Z}[1/p][\xi]$  can be written as  $z = z'/p^r$ , where  $r \in \mathbf{N}$  and  $z' \in \mathbf{Z}[\xi]$ , we get  $\mathfrak{a}_p = \mathfrak{a}\mathbf{Z}[1/p][\xi]$ . So we can define a homomorphism

$$\rho_2 \colon \mathcal{C}_0 \longrightarrow \mathcal{C}_{p0}$$
$$[\mathfrak{a}] \longmapsto [\mathfrak{a}_p].$$

Let  $[\mathfrak{a}], [\mathfrak{b}] \in \mathcal{C}_0$ ,  $[\mathfrak{a}] \neq [\mathfrak{b}]$ . Then  $[\mathfrak{a}_p] \neq [\mathfrak{b}_p]$ . Indeed, let  $\mathfrak{a}$  and  $\mathfrak{b}$  be representatives of  $[\mathfrak{a}]$  and  $[\mathfrak{b}]$  respectively. Then  $[\mathfrak{a}_p] = [\mathfrak{b}_p]$  would mean that there exist  $\lambda, \mu \in \mathbf{Z}[1/p][\xi]$  with  $\lambda \mathfrak{a}_p = \mu \mathfrak{b}_p$ . But then we would have  $[\mathfrak{a}] = [\mathfrak{b}]$ . Herewith  $\rho_2$  is injective and  $\rho_2$  is an isomorphism since  $|\mathcal{C}_0| = |\mathcal{C}_{0p}| = h^- < \infty$ .

Now it remains to define

$$\rho \colon \mathcal{P} \longrightarrow \mathcal{P}_p$$
$$[\mathfrak{a}, a] \longmapsto [\mathfrak{a}_p, a].$$

Let  $a\overline{a} = (a)$ . Then  $a_p\overline{a}_p = (a)$ , a principal ideal in  $\mathbf{Z}[1/p][\xi]$ , and herewith  $\rho$  is well-defined. It follows directly from the definitions that  $\rho \circ \delta = \delta_p \circ \rho_1$  and  $\rho_2 \circ \eta = \eta_p \circ \rho$ . So the squares commute and, as a consequence of the five-lemma,  $\rho$  is an isomorphism.

Since  $\mathcal{P}$  and  $\mathcal{P}_p$  are isomorphic, each conjugacy class of elements of order p in  $\operatorname{Sp}(p-1,\mathbf{Z}[1/p])$  contains an element of  $\operatorname{Sp}(p-1,\mathbf{Z})$ . This means that the isomorphism  $\rho\colon\mathcal{P}\to\mathcal{P}_p$  corresponds to mapping conjugacy classes of elements of order p in  $\operatorname{Sp}(p-1,\mathbf{Z})$  to conjugacy classes of elements of order p in  $\operatorname{Sp}(p-1,\mathbf{Z}[1/p])$ .

Now we will recall parts of the discussion in [11] that are important for our purposes. Let  $Y \in \operatorname{Sp}(p-1, \mathbf{Z})$  be of prime order p and let

$$v_1 = (\alpha_1, \dots, \alpha_{p-1})^{\mathrm{T}} \in \mathbf{Z}[\xi]^{p-1}$$

be an eigenvector corresponding to the eigenvalue  $\xi$ , that is  $Yv_1 = \xi v_1$ . Let  $\mathfrak{a}$  be the **Z**-module generated by  $\alpha_1, \ldots, \alpha_{p-1}$ . Then  $\mathfrak{a}$  is an integral ideal in  $\mathbf{Z}[\xi]$  where the action of  $\xi$  on the **Z**-module  $\mathfrak{a}$  is given by Y. Let  $\gamma_j \in \operatorname{Gal}(\mathbf{Q}(\xi)/\mathbf{Q})$  with  $\gamma_j(\xi) = \xi^j$ ,  $j = 1, \ldots, p-1$ , be an element of the Galois group. Then  $v_j = (\gamma_j(\alpha_1), \ldots, \gamma_j(\alpha_{p-1}))^T$  is an eigenvector to the eigenvalue  $\xi^j$ . Now let  $a = D^{-1}v_1^T J \overline{v}_1$  where  $D = p \, \xi^{(p+1)/2}/(\xi-1)$ ,  $D = -\overline{D}$ . Then Sjerve and Yang showed that  $(\mathfrak{a},a)$  is a pair with  $\mathfrak{a}\overline{\mathfrak{a}} = (a)$ . Following the same procedure, we can find for a given matrix  $Y_p \in \operatorname{Sp}(p-1,\mathbf{Z}[1/p])$  an ideal  $\mathfrak{a}_p \subseteq \mathbf{Z}[1/p][\xi]$  such that  $\mathfrak{a}_p \overline{\mathfrak{a}}_p = (a)$ .

The sign of the invariant subspace corresponding to the eigenvalues  $\xi^j, \xi^{-j}$  of Y is

$$\operatorname{sign}(V_i) = \operatorname{sign}\operatorname{Im}(q(v_i, \overline{v}_i)) = \operatorname{sign}(-i\gamma_i(Da))$$

where the sign of  $z \in \mathbf{Z}[\xi + \xi^{-1}]$  is the sign of  $\iota(z)$  for the real embedding  $\iota$  of  $\mathbf{Z}[\xi + \xi^{-1}]$  with  $\iota(\xi + \xi^{-1}) = e^{i2\pi/p} + e^{-i2\pi/p}$ . Now we see that  $\psi$  is surjective if and only if

$$\psi' : \{ a \in \mathbf{Z}[\xi] \mid \exists \, \mathfrak{a} \text{ with } (\mathfrak{a}, a) \in P \} \longrightarrow (\mathbf{Z}/2\mathbf{Z})^{(p-1)/2}$$

with

$$a \longmapsto \left(\operatorname{sign}(\gamma_1(a)), \ldots, \operatorname{sign}(\gamma_{(p-1)/2}(a))\right)$$

is surjective. We call  $a \in \mathbf{Q}(\xi)$  a Hermitian square if  $x \in \mathbf{Q}(\xi)$  exists such that  $x\bar{x} = a$ . Now we use Lemma 2.3 in the article of Alexander, Conner, Hamrick and Vick [2]. We repeat the statement of this lemma.

LEMMA 1.7. Let  $\mathfrak{a} \neq 0$  be a  $\mathbf{Z}[1/p][\xi]$ -ideal with  $\mathfrak{a}\overline{\mathfrak{a}} = a\mathbf{Z}[1/p][\xi]$ . Then a is a Hermitian square if and only if it is positive in every ordering of  $\mathbf{Q}(\xi + \xi^{-1})$ .

This implies that

$$\psi_p' \colon \{a \in \mathbf{Z}[1/p][\xi] \mid \exists \mathfrak{a} \text{ with } (\mathfrak{a}, a) \in P_p\} \longrightarrow (\mathbf{Z}/2\mathbf{Z})^{(p-1)/2}$$

with

$$a \longmapsto \left(\operatorname{sign}(\gamma_1(a)), \dots, \operatorname{sign}(\gamma_{(p-1)/2}(a))\right)$$

is surjective. But then  $\psi_p$  is surjective and therefore  $\psi$  is surjective too. Herewith we have completed the proof of Theorem 1.2.

### 1.2.3 CONCERNING LEMMA 1.7

We give here some more information on Lemma 1.7 since it is crucial in the proof of Theorem 1.2 and only a sketch of a proof is given in [2].

One direction is obvious. To see that the lemma is true, it is necessary to study Hilbert symbols in  $\mathbf{Q}(\xi+\xi^{-1})$ . We define  $\sigma:=\xi+\xi^{-1}-2$ . Then  $\mathbf{Q}(\xi)=\mathbf{Q}(\xi+\xi^{-1})(\sqrt{\sigma})$ . Let  $\mathfrak{p}$  be a prime in  $\mathbf{Q}(\xi+\xi^{-1})$ . A fundamental property of the Hilbert symbol is

$$\left(\frac{a,\sigma}{\mathfrak{p}}\right) = 1 \quad \Leftrightarrow \quad a \text{ is a norm of the extension } \mathbf{Q}(\xi)/\mathbf{Q}(\xi+\xi^{-1}).$$

A proof of this property can be found in the books [9] and [10] of Neukirch. So a is a Hermitian square if and only if

$$\left(\frac{a,\sigma}{\mathfrak{p}}\right)=1$$
 for all primes, finite or infinite, in  $\mathbf{Q}(\xi+\xi^{-1})$ .

We first consider the infinite primes. Therefore we use the connection of the Hilbert symbol with the norm residue symbol (see [9] and [10]). For infinite primes we have the norm residue symbol for  $\mathbb{C}/\mathbb{R}$ 

$$(\ ,C/R)\colon R^*\longrightarrow \text{Gal}(C/R)$$

defined by

$$(a, \mathbf{C}/\mathbf{R})\sqrt{-1} = \sqrt{-1}^{\operatorname{sign}(a)}$$
.

The kernel of this homomorphism is

$$\mathbf{R}_{>0} = N_{\mathbf{C}/\mathbf{R}}(\mathbf{C}^*) = \{ z \, \overline{z} \mid z \in \mathbf{C}^* \}$$

where  $C^*$  and  $R^*$  denote the multiplicative subgroup of C and R respectively. So the positivity required in Lemma 1.7 implies that the Hilbert symbol is 1 at infinite primes. It remains to consider the finite primes. The Hilbert symbol is also 1 at the inert primes because of the following lemma.

LEMMA 1.8. If  $a \in \mathbf{Q}(\xi + \xi^{-1})$ , then there is a fractional ideal  $\mathfrak{a} \subset \mathbf{Q}(\xi)$  with  $\mathfrak{a}\overline{\mathfrak{a}} = a\mathbf{Z}[\xi]$  if and only if at every inert prime  $\mathfrak{p} \subset \mathbf{Z}[\xi + \xi^{-1}]$  we have

$$\left(\frac{a,\sigma}{\mathfrak{p}}\right) = 1.$$

*Proof.* See [1].  $\square$ 

If  $\mathfrak{p}$  is a prime in  $\mathbf{Q}(\xi + \xi^{-1})$  that splits, then the Hilbert symbol

$$\left(\frac{a,\sigma}{\mathfrak{p}}\right) = 1$$

(see [1]). So it remains to consider the ramified primes in  $\mathbf{Q}(\xi + \xi^{-1})$ . But the only prime that ramifies is  $\sigma \mathbf{Z}[\xi + \xi^{-1}]$ . Then, by the reciprocity law of Hilbert symbols (see [9]), the Hilbert symbol at this prime is 1.

This proves Lemma 1.7.

#### 1.2.4 AN INTERESTING REMARK

Let U be the group of units in  $\mathbf{Z}[\xi]$  and  $U^+ = \{u \in U \mid u = \overline{u}\}$ . Let  $u \in U^+ \setminus N(U)$  where N is the norm map. Then  $[\mathfrak{a},a] \in \mathcal{P}$  implies that  $[\mathfrak{a},ua] \in \mathcal{P}$  and  $[\mathfrak{a},a] \neq [\mathfrak{a},ua]$ . Let Y be a representative of the conjugacy class of matrices corresponding to  $[\mathfrak{a},a]$ . We have seen that the  $\mathrm{sign}(V_j)$  of Y is given by a. Let us fix the ideal  $\mathfrak{a}$ . The question that arises now is if the restriction of  $\psi$  to the conjugacy classes of matrices corresponding to  $[\mathfrak{a},ua]$ , where u is as above, is surjective. But this restriction is not surjective for each prime. Let h and  $h^+$  be the class numbers of  $\mathbf{Q}(\xi)$  and  $\mathbf{Q}(\xi + \xi^{-1})$  respectively. Then  $h^- = h/h^+$ . Let C denote the group of cyclotomic units in  $\mathbf{Q}(\xi)$  and let  $C^+ = C \cap \mathbf{Z}[\xi + \xi^{-1}]$ . It is known that  $[\mathbf{Z}[\xi + \xi^{-1}]^* : C^+] = h^+$ . We can find in the article of Garbanati [8] that  $h^-$  is odd if and only if  $C^+$  contains units of all signatures, which means that every totally positive unit in  $C^+$  is the square of a unit of C. So in case  $h^-$  is odd,

$$\omega \colon U^+ \setminus N(U) \longrightarrow (\mathbf{Z}/2\mathbf{Z})^{(p-1)/2}$$

$$u \longmapsto \left( \operatorname{sign}(\gamma_1(u)), \dots, \operatorname{sign}(\gamma_{(p-1)/2}(u)) \right)$$

is surjective, and this implies the surjectivity of  $\psi'$ . However it may be possible that  $\mathbf{Z}[\xi+\xi^{-1}]^*$  contains units of all signatures even if  $C^+$  does not. This can only happen if  $h^+$  is even and then we do not know if  $\omega$  is surjective. If  $h^-$  is even and  $h^+$  is odd, we have no surjectivity of  $\omega$ , and the restriction of  $\psi'$  to  $\{a \in \mathbf{Z}[\xi] \mid (\mathfrak{a}, a) \in P\}$  for a fixed ideal  $\mathfrak{a}$  is not surjective either. This happens for example for the primes 29 and 113.

### 2. Symplectic characteristic classes

### 2.1 CHARACTERISTIC CLASSES AND REPRESENTATIONS

The previously defined homomorphism  $\phi \colon U(n) \to \operatorname{Sp}(2n, \mathbf{R})$  induces

$$H^*(B \operatorname{Sp}(2n, \mathbf{R}), \mathbf{Z}) \xrightarrow{\cong} H^*(B \operatorname{U}(n), \mathbf{Z})$$

such that for  $j=1,\ldots,n$  the symplectic class  $d_j\in H^{2j}(B\operatorname{Sp}(2n,\mathbf{R}),\mathbf{Z})$  maps (per definition) to the universal Chern class  $c_j\in H^{2j}(B\operatorname{U}(n),\mathbf{Z})$ . It is well-known that

$$H^*(B U(n), \mathbf{Z}) = \mathbf{Z}[c_1, \dots, c_n],$$

$$H^*(B \operatorname{Sp}(2n, \mathbf{R}), \mathbf{Z}) = \mathbf{Z}[d_1, \dots, d_n].$$

The class  $d_j = d_j(\mathbf{R})$  restricts to  $d_j(\mathbf{Z}) \in \mathrm{H}^{2j}(\mathrm{Sp}(2n,\mathbf{Z}),\mathbf{Z})$  for  $j = 1,\ldots,n$ . Note that, strictly speaking, the class  $d_j(\mathbf{Z}) \in \mathrm{H}^{2j}(\mathrm{Sp}(2n,\mathbf{Z}),\mathbf{Z})$  depends also on n. But Charney has proven in [7] that for n > 2j+4 the inclusion

$$\operatorname{Sp}(2n, \mathbf{Z}) \longrightarrow \operatorname{Sp}(2n + 2, \mathbf{Z})$$

induces an isomorphism

$$H_j(\operatorname{Sp}(2n, \mathbf{Z}), \mathbf{Z}) \xrightarrow{\cong} H_j(\operatorname{Sp}(2n+2, \mathbf{Z}), \mathbf{Z}).$$

It is a consequence of the universal coefficient theorem that her result implies the existence of an isomorphism

$$H^{j}(Sp(2n, \mathbf{Z}), \mathbf{Z}) \xrightarrow{\cong} H^{j}(Sp(2n + 2, \mathbf{Z}), \mathbf{Z})$$

for n > 2j + 4. This implies that  $H^{2j}(\operatorname{Sp}(2n, \mathbf{Z}), \mathbf{Z})$  is independent of n for n > 4j + 4. Representations

$$\rho \colon \mathbf{Z}/p\mathbf{Z} \longrightarrow \operatorname{Sp}(2n, \mathbf{Z}),$$

$$\widetilde{\rho} \colon \mathbf{Z}/p\mathbf{Z} \longrightarrow \operatorname{U}(n)$$

induce homomorphisms

$$\rho^* : \operatorname{H}^*(\operatorname{Sp}(2n, \mathbf{Z}), \mathbf{Z}) \longrightarrow \operatorname{H}^*(\mathbf{Z}/p\mathbf{Z}, \mathbf{Z}),$$
  
 $\widetilde{\rho}^* : \operatorname{H}^*(B\operatorname{U}(n), \mathbf{Z}) \longrightarrow \operatorname{H}^*(\mathbf{Z}/p\mathbf{Z}, \mathbf{Z}).$ 

We define  $d_j(\rho) := \rho^* d_j(\mathbf{Z})$ , the symplectic class of the representation  $\rho$ , and  $c_j(\widetilde{\rho}) := \widetilde{\rho}^*(c_j)$ , the Chern class of the representation  $\widetilde{\rho}$ . We can consider any representation  $\widetilde{\rho}$  of  $\mathbf{Z}/p\mathbf{Z}$  in  $\mathrm{U}(n)$  as a representation  $\phi \circ \widetilde{\rho}$  of  $\mathbf{Z}/p\mathbf{Z}$  in  $\mathrm{Sp}(2n,\mathbf{R})$ . We say that  $\widetilde{\rho}$  factors through  $\mathrm{Sp}(2n,\mathbf{Z})$  if the image

 $\phi(\widetilde{\rho}(z))$  of any generator  $z \in \mathbf{Z}/p\mathbf{Z}$  is conjugate to a  $Y \in \operatorname{Sp}(2n, \mathbf{Z})$ . Then  $d_j(\rho) = \widetilde{\rho}^*(c_j) = c_j(\rho)$ . We define the total Chern class of a representation  $\widetilde{\rho}$  to be

$$c(\widetilde{\rho}) := 1 + c_1(\widetilde{\rho}) + c_2(\widetilde{\rho}) + \cdots + c_n(\widetilde{\rho}).$$

It has the well-known properties  $c(\rho \oplus \sigma) = c(\rho)c(\sigma)$ ,  $c(m\rho) = c(\rho)^m$ , where  $\rho$ ,  $\sigma$  are representations and m is a positive integer.

### 2.2 Symplectic characteristic classes and Chern classes

THEOREM 2.1. Let p be an odd prime. Then for any  $n = 1, \ldots, (p-1)/2$  there exists a representation  $\widetilde{\rho} \colon \mathbf{Z}/p\mathbf{Z} \to \mathrm{U}((p-1)/2)$  such that the n-th Chern class  $c_n(\widetilde{\rho})$  is nonzero and the representation  $\phi \circ \widetilde{\rho} \colon \mathbf{Z}/p\mathbf{Z} \to \mathrm{Sp}(p-1,\mathbf{R})$  factors, up to conjugation, through a representation  $\rho \colon \mathbf{Z}/p\mathbf{Z} \to \mathrm{Sp}(p-1,\mathbf{Z})$ .

The representation  $\widetilde{\rho}$  factors through  $\operatorname{Sp}(p-1,\mathbf{Z})$  if the image  $\widetilde{\rho}(z)$  of a generator  $z \in \mathbf{Z}/p\mathbf{Z}$  satisfies the condition stated in Theorem 1.2. Then, because  $c_n(\widetilde{\rho}) \neq 0$ , we have  $d_n(\rho) \neq 0$  where  $\rho \colon \mathbf{Z}/p\mathbf{Z} \to \operatorname{Sp}(p-1,\mathbf{Z})$  is the representation corresponding to  $\widetilde{\rho}$ .

Proof of Theorem 2.1. Let  $\mathcal{U}$  be the set of subsets  $\mathcal{I} \subset (\mathbf{Z}/p\mathbf{Z})^*$  of cardinality  $|\mathcal{I}| = (p-1)/2$ , and  $j \in \mathcal{I}$  implies  $p-j \notin \mathcal{I}$ . The cardinality of  $\mathcal{U}$  is  $2^{(p-1)/2}$ . We always assume the elements  $j \in \mathcal{I}$  to be represented by integers j with  $1 \leqslant j < p$ . Note that we will use the same notation for the elements of  $\mathcal{I}$  and their representatives. For  $j=1,\ldots,p-1$  let  $\widetilde{\rho}_j\colon \mathbf{Z}/p\mathbf{Z} \to \mathrm{U}(1)$  be the one-dimensional representation with  $\widetilde{\rho}_j(z):=e^{j2\pi i/p}$  for a fixed generator  $z \in \mathbf{Z}/p\mathbf{Z}$ . For a given  $\mathcal{I}$  we define  $\widetilde{\rho}_{\mathcal{I}}$  to be the direct sum of the representations  $\widetilde{\rho}_j$ ,  $j \in \mathcal{I}$ . Let  $x:=c_1(\widetilde{\rho}_1)$ , then the total Chern class of  $\widetilde{\rho}_{\mathcal{I}}$  is

$$c(\widetilde{\rho}_{\mathcal{I}}) = c\left(\bigoplus_{j\in\mathcal{I}}\widetilde{\rho}_{j}\right) = \prod_{j\in\mathcal{I}} (1+jx).$$

The representations  $\widetilde{\rho}_{\mathcal{I}}$  are those which factor through  $\operatorname{Sp}(p-1,\mathbf{Z})$ . For a given  $\mathcal{I} \in \mathcal{U}$  we define  $-\mathcal{I} := \{p-j \mid j \in \mathcal{I}\}$ . Then  $-\mathcal{I} \in \mathcal{U}$  and  $\mathcal{I} \cup -\mathcal{I} = (\mathbf{Z}/p\mathbf{Z})^*$ . Moreover, we get  $c(\widetilde{\rho}_{\mathcal{I}})c(\widetilde{\rho}_{-\mathcal{I}}) = 1 - x^{p-1}$ . The *n*-th Chern class  $c_n(\widetilde{\rho}_{\mathcal{I}})$  is nonzero if and only if the coefficient  $a_n$  of  $x^n$  in the total Chern class  $c(\widetilde{\rho}_{\mathcal{I}})$  is nonzero. Let  $\mathcal{I} := \{j_1, \ldots, j_{(p-1)/2}\} \in \mathcal{U}$ ; then we define

$$\mathcal{I}_l := \{j_1, \ldots, j_{l-1}, -j_l, j_{l+1}, \ldots, j_{(p-1)/2}\} \in \mathcal{U}.$$

We assume that  $1 \le n \le (p-1)/2$  exists such that for each set  $\mathcal{I} \in \mathcal{U}$  the coefficient  $a_n$  of  $x^n$  in  $c(\widetilde{\rho}_{\mathcal{I}})$  is zero. It is impossible that n = (p-1)/2

because  $a_{(p-1)/2}$  is the product of the  $j \in \mathcal{I}$  and therefore nonzero. Now let  $n \neq 0$ ,  $n \neq (p-1)/2$ ; then we define for any  $l = 1, \ldots, (p-1)/2$ 

$$b_n^l := \sum_{\substack{J \subseteq \mathcal{I} \setminus \{j_l\} \ |J| = n}} \prod_{j \in J} j, \qquad b_0^l := 1.$$

Then the coefficient of  $x^n$  in  $c(\widetilde{\rho}_{\mathcal{I}})$  is  $a_n = b_n^l + j_l b_{n-1}^l$ . Because of our assumption, the coefficients of  $x^n$  in  $c(\widetilde{\rho}_{\mathcal{I}})$  and in  $c(\widetilde{\rho}_{\mathcal{I}})$  are  $b_n^l + j_l b_{n-1}^l = 0$  and  $b_n^l - j_l b_{n-1}^l = 0$  respectively. This implies that  $b_n^l = 0$ ,  $b_{n-1}^l = 0$  and

$$a_{n+1} = \sum_{\substack{J \subseteq \mathcal{I} \\ |J| = n+1}} \prod_{j \in J} j$$

$$= \frac{1}{n+1} \sum_{j_l \in \mathcal{I}} \left( j_l \sum_{\substack{J \subseteq \mathcal{I} \setminus \{j_l\} \\ |J| = n}} \prod_{j \in J} j \right) = \frac{1}{n+1} \sum_{j_l \in \mathcal{I}} j_l b_n^l = 0.$$

The factor 1/(n+1) appears because in the second line we have n+1 times each term appearing in the sum of the first line. Therefore  $a_{n+1}=0$  for each set  $\mathcal{I} \in \mathcal{U}$ , and by induction we get  $a_{(p-1)/2}=0$  for each set  $\mathcal{I} \in \mathcal{U}$ , which is impossible.  $\square$ 

Let 
$$\operatorname{Sp}(\mathbf{Z}) := \bigcup_{n \geqslant 1} \operatorname{Sp}(2n, \mathbf{Z}).$$

THEOREM 2.2. For every  $j \ge 1$ ,  $d_i(\mathbf{Z}) \in H^{2j}(\mathrm{Sp}(\mathbf{Z}), \mathbf{Z})$  has infinite order.

*Proof.* This theorem is a corollary of Theorem 2.1. A consequence of the stability result stated in section 2.1 is that for p - 1 > 8j + 8 the inclusion

$$\operatorname{Sp}(p-1, \mathbf{Z}) \longrightarrow \operatorname{Sp}(\mathbf{Z})$$

induces an isomorphism

$$H^{2j}(\operatorname{Sp}(\mathbf{Z}), \mathbf{Z}) \xrightarrow{\cong} H^{2j}(\operatorname{Sp}(p-1, \mathbf{Z}), \mathbf{Z}).$$

In Theorem 2.1 we have shown that for any odd prime p and any integer  $j=1,\ldots,(p-1)/2$  a representation  $\widetilde{\rho}_{\mathcal{I}}\colon \mathbf{Z}/p\mathbf{Z}\to \mathrm{U}((p-1)/2)$  exists that factors through  $\mathrm{Sp}(p-1,\mathbf{Z})$  and for which the j-th Chern class  $c_j(\widetilde{\rho}_{\mathcal{I}})$  is nonzero. Then the j-th symplectic class  $d_j(\rho_{\mathcal{I}})$  is also nonzero. Here the

representation  $\rho_{\mathcal{I}} \colon \mathbf{Z}/p\mathbf{Z} \to \operatorname{Sp}(p-1,\mathbf{Z})$  is the one corresponding to  $\widetilde{\rho}_{\mathcal{I}}$ . We have an induced homomorphism

$$\rho_{\mathcal{I}}^* \colon \operatorname{H}^{2j}(\operatorname{Sp}(p-1, \mathbf{Z}), \mathbf{Z}) \longrightarrow \operatorname{H}^{2j}(\mathbf{Z}/p\mathbf{Z}, \mathbf{Z})$$

$$d_j(\mathbf{Z}) \longmapsto d_j(\rho_{\mathcal{I}}).$$

Herewith for any p the class  $d_j(\mathbf{Z}) \in H^{2j}(\mathrm{Sp}(p-1,\mathbf{Z}),\mathbf{Z})$  is nonzero and has either infinite order or finite order divisible by p, since it restricts non-trivially to  $H^{2j}(\mathbf{Z}/p\mathbf{Z},\mathbf{Z})$ . This shows that  $d_j(\mathbf{Z}) \in H^{2j}(\mathrm{Sp}(\mathbf{Z}),\mathbf{Z})$  has infinite order.  $\square$ 

This is a new proof of a result of A. Borel [3]. He proved that  $H^*(Sp(\mathbf{Z}), \mathbf{Q}) = \mathbf{Q}[d_1, d_3, ...]$ . Moreover, each  $d_{2i}$  can be expressed as a polynomial in the  $d_{2j+1}$ 's. This implies that all the  $d_i(\mathbf{Z})$ 's have infinite order.

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