

# 3. Intersecting chords theorem for convex $\mathbb{C}^2$ -domains

Objektyp: **Chapter**

Zeitschrift: **L'Enseignement Mathématique**

Band (Jahr): **48 (2002)**

Heft 1-2: **L'ENSEIGNEMENT MATHÉMATIQUE**

PDF erstellt am: **21.07.2024**

## **Nutzungsbedingungen**

Die ETH-Bibliothek ist Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Inhalten der Zeitschriften. Die Rechte liegen in der Regel bei den Herausgebern.

Die auf der Plattform e-periodica veröffentlichten Dokumente stehen für nicht-kommerzielle Zwecke in Lehre und Forschung sowie für die private Nutzung frei zur Verfügung. Einzelne Dateien oder Ausdrucke aus diesem Angebot können zusammen mit diesen Nutzungsbedingungen und den korrekten Herkunftsbezeichnungen weitergegeben werden.

Das Veröffentlichen von Bildern in Print- und Online-Publikationen ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Die systematische Speicherung von Teilen des elektronischen Angebots auf anderen Servern bedarf ebenfalls des schriftlichen Einverständnisses der Rechteinhaber.

## **Haftungsausschluss**

Alle Angaben erfolgen ohne Gewähr für Vollständigkeit oder Richtigkeit. Es wird keine Haftung übernommen für Schäden durch die Verwendung von Informationen aus diesem Online-Angebot oder durch das Fehlen von Informationen. Dies gilt auch für Inhalte Dritter, die über dieses Angebot zugänglich sind.

3. INTERSECTING CHORDS THEOREM FOR CONVEX  $C^2$ -DOMAINS

Assume that  $D$  is a bounded, convex domain in  $\mathbf{R}^n$  with  $C^2$ -smooth boundary. Let  $\rho$  be a  $C^2$ -defining function for  $D$ , that is,  $\rho$  is positive on points in  $D$ , negative outside  $\bar{D}$  and zero on  $\partial D$ . Moreover the gradient  $\nabla\rho =: \nu(x)$  is a unit vector field normal to  $\partial D$  directed inside  $D$ . The *curvature* (or *Weingarten*) operator  $W_x: T_x\partial D \rightarrow T_x\partial D$  is by definition the directional derivative of  $\nu$  in the direction  $v$ . The *second fundamental form* is the bilinear form  $II_x$  on  $T_x\partial D$  given by

$$II_x(v, w) = (w, W_x(v)) = \sum_{i,j=1}^n \frac{\partial^2 \rho}{\partial x_i \partial x_j} v_i w_j.$$

The value  $II_x(u, u) =: k_x(u)$  is called the *normal curvature* of  $\partial D$  at  $x$  in the direction of the unit tangent vector  $u$ . We will assume that the curvature of  $\partial D$  is everywhere nonzero, meaning that  $II$  is everywhere positive definite, so there is a constant  $k_D > 0$  such that

$$(3.1) \quad k_D^{-1} \leq k_x(u) \leq k_D$$

for every  $u \in UT_x\partial D$  and  $x \in \partial D$ .

In this section we will establish:

**THEOREM 3.1.** *Let  $D$  be a bounded convex domain in  $\mathbf{R}^n$ . Suppose that the boundary  $\partial D$  is smooth of class  $C^2$  and the curvature of  $\partial D$  is everywhere nonzero. Then there is a constant  $C > 0$  such that*

$$C^{-1} \leq \frac{K(x, y, z)}{K(x', y', z')} \leq C$$

for any two triples of distinct points in  $\partial D$  all lying in the same 2-dimensional plane.

In view of Corollary 1.2 and Theorem 2.1 this implies:

**COROLLARY 3.2.** *Let  $D$  be as above. Then  $D$  has the intersecting chords property and  $(D, h)$  is Gromov hyperbolic.*

## 3.1 THE TWO-DIMENSIONAL CASE

For this subsection, let  $D$  be a convex, bounded domain in  $\mathbf{R}^2$  with  $C^2$ -boundary curve  $\partial D$ . Assume in addition that the differential geometric curvature  $\kappa$  is positive (nonzero) at every point of  $\partial D$ .

LEMMA 3.3. *The Menger curvature  $K(x, y, z)$  of three points extends to a continuous function on  $\partial D \times \partial D \times \partial D$ . The value  $K(x, x, z)$  equals the curvature of the circle tangent to  $\partial D$  at  $x$  and passing through  $z$ , and the value  $K(x, x, x)$  equals  $\kappa(x)$ .*

*Proof.* The continuity for three distinct points is clear. When three points converge to one point on the boundary, it is a standard fact that  $K$  converges to  $\kappa$ , see [Sp78, Ch. 1], or [BG88, p. 304 or p. 306]. When  $y_t$  converges to  $x \neq z$ , then  $d(y_t, z) \rightarrow d(x, z)$  and  $\sin \angle(y_t x, xz) \rightarrow \sin \angle(T_x \partial D, xz)$ . This proves the continuity and it is clear that the limit circle is tangent to  $\partial D$  at  $x$ .  $\square$

The idea of the proof of the following proposition was supplied to us by M. Bucher.

PROPOSITION 3.4. *Let  $(x, y, z)$  be a global minimum or maximum point for  $K$  on  $\partial D \times \partial D \times \partial D$ . Then  $\partial D$  contains the shortest circle arc connecting  $x, y$  and  $z$ .*

*Proof.* Recall the formula (1.2) and consider the circle in question through the three boundary points  $x, y, z$  with extremal, say maximal, radius. Denote by  $\gamma$  a shortest arc on this circle connecting these three points, and assume that  $x$  and  $z$  are the boundary points of  $\gamma$ .

In the case  $x = y = z$  there is nothing to prove. Assume now that the three points are all distinct and consider first a potential boundary point  $w$  between  $\gamma$  and  $xz$ . By convexity of  $D$  it cannot lie inside the triangle  $xyz$ .

If  $\gamma$  is larger than a halfcircle, then note that (depending on which region  $w$  belongs to) either  $R(x, w, y) > R(x, y, z)$  or  $R(z, w, y) > R(x, y, z)$  (compare the angle at  $w$  with the one at either  $z$  or  $x$ ). Therefore  $w$  cannot belong to  $\partial D$ . If  $\gamma$  is less than a half-circle, then, again by looking at the angles and using the formula for  $R$ , we have  $R(x, w, z) > R(x, y, z)$ , for any such  $w$ .

Secondly, note that a potential boundary point  $w$  outside the circle in the half-plane defined by the line through  $x$  and  $z$  containing  $y$  cannot belong to  $\partial D$ , because either  $R(w, y, z)$  or  $R(x, y, w)$  (depending on where  $w$  lies) is greater than  $R(x, y, z)$ . Hence the arc  $\gamma$  must coincide with an arc of  $\partial D$ .

In the case  $x = y \neq z$ , no point outside the circle can lie on  $\partial D$ , again by the assumption on the maximality of the radius. On the other hand, a point  $w$  between  $\gamma$  and  $xz$  cannot belong to  $\partial D$  because  $R(x, w, z) > R(x, x, z)$ , and again we have the desired conclusion.

The case of maximal curvature can be treated analogously.  $\square$

In view of the continuity of  $\kappa$ , the following immediate consequence of Proposition 3.4 is somewhat analogous to a mean value theorem.

**COROLLARY 3.5.** *Denote by  $\kappa_{\min}$  and  $\kappa_{\max}$  the minimum and the maximum, respectively, of the curvature of  $\partial D$ . Then*

$$\kappa_{\min} \leq K(x, y, z) \leq \kappa_{\max},$$

for any three boundary points  $x, y, z$ .

### 3.2 THE PROOF OF THEOREM 3.1

Assume that  $D$  is as in the theorem. To simplify the notation we will only discuss the 3-dimensional case. Each 2-dimensional plane section is Gromov hyperbolic by the above so we only need an overall bound for constants  $\delta(S)$  when  $S$  runs through all the plane sections. The intersection of  $\partial D$  with a 2-dimensional plane gives rise to a smooth planar curve  $\alpha$ , which we assume is parameterized by arclength. The constant  $\delta$  of the hyperbolicity depends on the curvature of  $\alpha$ . These curves could have an arbitrarily large curvature but we need only to bound from above (and hence from below) the ratio of the curvatures at different points of the curve. The curvature vector  $\alpha''(t)$  of  $\alpha$  at a point  $x = \alpha(t)$  lies in this plane and is orthogonal to  $\alpha'(t)$ . Thus we need to bound the ratio  $\frac{|\alpha''(t)|}{|\alpha''(s)|}$ . It is a fact (Meusnier's lemma, see [K178, p.43] that

$$k_x(\alpha'(t)) = |\alpha''(t)| \cos \theta(t),$$

where  $k_x(\alpha'(t)) = II_x(\alpha'(t), \alpha'(t))$  is the normal curvature in the direction  $\alpha'(t)$  and  $\theta(t)$  is the angle between  $\alpha''(t)$  and the normal of  $\partial D$  at  $x$ . In view of the assumption (3.1) and Corollary 3.5 we therefore need to bound the ratio  $\frac{\cos \theta(s)}{\cos \theta(t)}$  independently of  $s, t$  and  $\alpha$ . Near any point  $x$  the surface  $\partial D$  is the graph of a  $C^2$  function  $z = f(x, y)$  in suitable Cartesian coordinates. Hence any small plane section  $C_\varepsilon$  is given by the equation  $f(x, y) = \varepsilon > 0$ . Expressing  $\theta$  in terms of  $f$  we arrive at the problem of bounding the ratio of the gradients  $\frac{|\nabla f(p)|}{|\nabla f(q)|}$  along the section. By rotation in the  $xy$ -plane we may assume that the  $x$ - and  $y$ -axis are along the direction of principal curvature. By developing  $f(x, y)$  into a Taylor's expansion around the origin, we obtain  $f(x, y) = \frac{1}{2}(ax^2 + by^2) + r$ , where  $r$  vanishes at  $(0, 0)$  together with all its derivatives up to second order, and where  $a = f_{xx}(0, 0), b = f_{yy}(0, 0)$  are the principal curvatures. We conclude that  $c < \frac{|\nabla f(x, y)|}{\sqrt{x^2 + y^2}} < C$  near 0 for universal  $c, C > 0$  and thus it remains to bound the ratio  $\frac{x^2 + y^2}{x'^2 + y'^2}$  on  $C_\varepsilon$ . But this ratio

is bounded in view of the estimate  $\kappa^{-1}(x^2 + y^2) < f(x, y) < \kappa(x^2 + y^2)$  for some universal  $\kappa > 0$  and of the fact that  $f(x, y) = \varepsilon$  on  $C_\varepsilon$ .  $\square$

#### 4. CONSEQUENCES OF GROMOV HYPERBOLICITY FOR THE SHAPE OF THE BOUNDARY

**PROPOSITION 4.1.** *Let  $D$  be a bounded convex domain in  $\mathbf{R}^n$  and let  $h$  be a Hilbert metric on  $D$ . If  $h$  is Gromov hyperbolic then the boundary  $\partial D$  is strictly convex, that is, it does not contain a line segment.*

This can be proven following the proof of N. Ivanov [Iv97] of Masur-Wolf's theorem [MW95] that the Teichmüller spaces (genus  $\geq 2$ ) are not Gromov hyperbolic. The proof makes use of Gromov's exponential divergence criterion, see [BH99, p.412]. For another proof of the above proposition, see [SM00].

**THEOREM 4.2.** *Let  $D$  be a bounded convex domain in  $\mathbf{R}^n$  and let  $h$  be the Hilbert metric on  $D$ . If  $h$  is Gromov hyperbolic then the boundary  $\partial D$  is smooth of class  $C^1$ .*

*Proof.* *2-dimensional case:* First, by the previous result,  $D$  is strictly convex. Let  $y = f(x)$ ,  $x \in (-a, a)$  be an equation of  $\partial D$  near some point. Then  $f$  is strictly convex and hence the one-sided derivatives  $f'_-(x)$ ,  $f'_+(x)$  exist and are strictly increasing on  $(\varepsilon, \varepsilon)$ , [RV73, §11].

We prove that  $f'_-(0) = f'_+(0)$ . Suppose not, then by choosing appropriate Cartesian coordinates we may assume that  $f'_-(0) < 0$  and  $f'_+(0) > 0$ . For each sufficiently small  $\varepsilon$  construct an ideal triangle  $\Delta = \Delta(\varepsilon)$  in  $D$  with one vertex  $0$  and two other vertices corresponding to the intersection of the line  $y = \varepsilon$  with  $\partial D$ . We assert that the slimness of  $\Delta(\varepsilon)$  tends to  $\infty$  when  $\varepsilon$  tends to zero. Namely we show that the Hilbert distance between the point  $P = (0, \varepsilon)$  and any point  $Q$  of the side  $[0, B]$  tends to  $\infty$ . Let  $f'_+(0) = \tan \alpha$ ,  $0 < \alpha < \pi/2$ . Let  $x_1 < x_2$  be the points such that  $f(x_1) = \varepsilon$  and  $f'_+(0)x_2 = \varepsilon$ . Then

$$PQ \geq \varepsilon \cos \alpha = f(x_1) \cos \alpha.$$

Let  $O, R$  be the intersection points of the line  $PQ$  with  $\partial D$ . We have therefore

$$QR \leq x_2 - x_1 = \frac{f(x_1)}{f'_+(0)} - x_1 = \frac{f(x_1) - f'_+(0)x_1}{f'_+(0)}$$

and hence, combining the last two inequalities,